

not listed
old phoneAugust 31, 19
McLaurie, La.U.S. Dept of Justice
Washington, D.C.

Dear Sirs;

McLaurie, La.

Arthur Levitt has been the state comptroller for New York State for 20 years. And I'll quote from a newspaper column written by John T. Loft Rocky (Nelson Rockefeller), says Levitt also fashioned devices whereby debt was imposed on the people without their approving it at the polls. This was done, he says, despite the fact that the state constitution forbids the assumption of debt or guaranty of debt, without the vote of the people. I strenuously resisted this then and I still do now."

Who does Nelson Rockefeller think is? And why should he be allowed to circumvent the law? Is he allowed to wear spikes on his shoes, while everyone else is restricted to cleats? The F.B.I. should completely and minutely examine the financial

ack
9-10-74
rbb

structure of Rockefeller Center in New York.
Were any of the moneys illegally used? Is
there any evidence of "kickbacks"?
Is there strict accountability for all
moneys allegedly spent? Is there any
evidence of extortion, or blackmail? And
are all ownerships, lease agreements,
and proper disbursement of funds strictly
Hoyle?

You may think that I am only
"boiling holes in the air". But if you
have the courage, and authorization,
to institute a complete investigation,
you will unearth the greatest scandal
that New York has ever had! For
if Nelson Rockefeller would deliberately
break the law regarding the State
Constitution, he would also break any
other laws!

I have written to several Senators
yesterday Senator Weicker. - tomorrow
Senator Baker. And today I was encouraged
by learning the House must approve all
under the provisions of the 25th Amendment.

This Nation is in trouble deep. But
our problems cannot be solved by kissing
Kissinger, or rocking Rocky.

Yours sincerely,

Q.C.'S: 2

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b7c

90 Federal Bureau of Investigation

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 1-2-92 BY 9803 RDD/KSR Washington,

C.N. 293,981

D.C.

346

, Louisiana 70001

Sincerely yours,

Clarence M. Kelley
Director

rkk:slr (3)

MAILED 6
SEP 10 1974
-FBI

MAIL ROOM ☒ TELETYPE UNIT ☐

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 11 1974

TELETYPE

FTB021 4:25PM 9/11/74 GHS

FBA 293

4:00 PM URGENT 9/11/74 SRO

TO DIRECTOR (161-6197) NR 187-11

FROM LEGAT, PARIS (161-3)

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY

REBUCAB SEPTEMBER 5, 1974.

THE FOLLOWING INFORMATION WAS FURNISHED BY A CONFIDENTIAL

SOURCE (C) (U)

ACCORDING TO THE SOURCE, A MULTI-VOLUME WORK DEALING WITH

SUBVERSION IN LATIN AMERICA IS CURRENTLY BEING PREPARED BY PIERRE
DE VILLEMAREST, A FRENCH AUTHOR WHO HAS PREVIOUSLY WRITTEN A BOOK
DEALING WITH SOVIET ESPIONAGE IN FRANCE DURING THE PERIOD 1945-1958.

NO INFORMATION CONCERNING THE CONTEMPLATED DATE OF PUBLICATION OF
THIS WORK IS CURRENTLY AVAILABLE TO THE SOURCE WHO OPINED, NEVER-
THE LESS, THAT THE PREPARATION OF MULTIPLE VOLUMES DEALING WITH
THE TOPIC OF SUBVERSION IN LATIN AMERICA COULD CONCEIVABLY REQUIRE
MANY MONTHS OR YEARS. (C) (U)

THE SOURCE DESCRIBED DE VILLEMAREST AS A WELL-KNOWN WRITER LONG
INTERESTED IN MATTERS OF ESPIONAGE AND SUBVERSION WHO CURRENTLY

WRITES FEATURES FOR "VALEUR ACTUEL", A WEEKLY FRENCH PUBLICATION
CONSIDERED AS RIGHT-OF-CENTER IN POLITICAL ORIENTATION. 14 DEC 31 1974

DE VILLEMAREST CURRENTLY RESIDES AT AN UNSPECIFIED LOCATION IN THE (C) (U)

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

Mr. Coleman
Room 1246

Autograph

CONTAINED

1-2-92
9803 RDD/KSR

08 JAN 4 1975

PAGE TWO

SOUTH OF FRANCE, ACCORDING TO THE SOURCE. ~~(C)~~ (U)

ALTHOUGH NO SPECIFIC INFORMATION IS CURRENTLY AVAILABLE TO THE SOURCE CONCERNING THE NATURE OF COMMENTS MADE BY DE VILLEMAREST CONCERNING NELSON A. ROCKEFELLER, THE SOURCE BELIEVES THE PRINCIPAL THESIS OF DE VILLEMAREST SET FORTH IN ONE OF THE VOLUMES OF HIS WORK WOULD ALLUDE THAT BOTH ROCKEFELLER AND SECRETARY OF STATE HENRY KISSINGER HAVE BEEN "SOFT" ON THE ISSUE OF EASTERN BLOC NATIONS IN THE PAST. ~~(C)~~ (U)

ADMINISTRATIVE: [THE CONFIDENTIAL SOURCE [REDACTED]

[REDACTED]

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INFORMATION SET FORTH CONCERNING THE THESIS OF DE VILLEMAREST RE ROCKEFELLER AND KISSINGER WAS [REDACTED] ON PUBLICATIONS DEALING WITH ESPIONAGE, SUBVERSION AND RELATED MATTERS.

[REDACTED] HAS EXPRESSED WILLINGNESS TO INTERVIEW DE VILLEMAREST IF SO REQUESTED BY BUREAU BUT BELIEVES ALL OTHER MEANS POSSIBLE OF DETERMINING NATURE OF STATEMENTS CONCERNING ROCKEFELLER SHOULD BE EXHAUSTED PRIOR TO THE INTERVIEW BEING CONDUCTED.] CLASSIFY ~~(C)~~ (U)

~~CONFIDENTIAL - CATEGORY ONE.~~

END

*not necessary to
classify if paraphrased
per [unclear] & [unclear]
ent*

SECRETARY OF STATE
U.S. DEPARTMENT OF STATE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:
Date:SA [REDACTED]
9/11/74

Office: Newark, New Jersey

b6
b7C

Field Office File #:

161-2191

Bureau File #: 161-6197

Title:

NELSON ALDRICH ROCKEFELLER

Character:

SPECIAL INQUIRY

Synopsis:

Clerk of Court Office, Burlington County, Mt. Holly, NJ, revealed Deed Book 1825, Page 708, regarding "Moorestown Property", recorded 12/12/72, reflects owners as; JOHN, NELSON, LAURANCE and DAVID ROCKEFELLER. No covenants contained therein. Deed Book 1627, Page 765, regarding "Moorestown Property", recorded 10/17/66, reflects owner as Hills Realty Co. Inc., 30 Rockefeller Plaza, NY, NY. Deed contained no covenants.

-RUC-

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1-2-92 BY 9803 RDD/KSDDETAILS:

On September 10, 1974, [REDACTED]

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[REDACTED], New Jersey, advised that Deed Book number 1825, Page 708, reflects sale of property known as "Moorestown Property", described as 86.243 acres, south line of New Jersey, Route 138, 156.65 feet northeast of Lenilia Road, Moorestown, New Jersey, from Hills Realty Company Incorporated, 30 Rockefeller Plaza, New York, New York, to; JOHN, NELSON, LAURANCE, and DAVID ROCKEFELLER. Consideration given of said property listed as \$12,053,351.15, pursuant to complete liquidation adopted by the Board of Directors of Hills Realty Company Incorporated. Deed contained no covenants. Deed dated December 5, 1972, and recorded on December 12, 1972.

NK 161-2191

Deed Book 1627, Page 765, reflected property known as "Coorestown Property", transferred from Grantor, 981 Madison Avenue Corporation, New York, New York, to Grantee, Hills Realty Company Incorporated, for consideration of \$40,000. Deed dated October 11, 1966, and recorded October 17, 1966. No covenants stated in deed.

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland

DATE: 9-11-74

FROM : O. E. Coleman

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

Asst. Dir. ☒
Dep. AD Adm. ☒
Dep. AD Inv. ☒
Asst. Dir.:
Adm. ☒
Comp. Syst. ☒
Ext. Affairs ☒
Files & Com. ☒
Gen. Inv. ☒
Ident. ☒
Inspection ☒
Intell. ☒
Laboratory ☒
Plan. & Eval. ☒
Spec. Inv. ☒
Training ☒
Legal Coun. ☒
Telephone Rm. ☒
Director Sec'y ☒

NM
Robert Douglass, counsel to the nominee, was contacted yesterday with regard to the trust that was set up for attorney Oscar M. Ruebhausen and the [redacted] to the adult Rockefeller children. Douglass advised he was the trustee for the Ruebhausen trust and this resulted from the desire of the nominee to do something for Ruebhausen to pay him for the many hours of work Ruebhausen had performed without compensation. Ruebhausen is a good friend of the nominee and in the past has refused to accept any compensation for the help he gives and does not know that the trust exists. The trust will be paid to Ruebhausen at the death of the nominee or to Ruebhausen's estate upon the latter's death. Douglass assured that there was nothing of a derogatory nature involved with this trust and they desired that its existence not be disclosed as they feel it would embarrass the beneficiary. With regard to the [redacted] to the adult Rockefeller children, Douglass advised that this was part of a longtime plan on the part of the nominee to transfer part of his estate to his children. None of the children were in trouble and there was nothing derogatory involved with this recent transaction. Douglass was not advised that we had information that the Rockefeller daughter allegedly was in desperate need of funds as we did not desire to disclose the source of this information. However, without specifically asking him he insisted that the distribution was in the planning stage for a long time and was not motivated by any specific need of the children or any problem they were involved with.

Our verification work is continuing and we continue to encounter problems resulting from the extremely poor condition of the Rockefeller accounting records. Thus far our accountants have located 14 adjusting entries that are necessary in order

1 - Mr. Callahan
1 - Mr. McDermott

REC-100
161-6197-349
1 - Mr. Gebhardt (Attention: Mr. Long)
1 - Mr. Cleveland
1 - Mr. Coleman

JEH:dc

-6-

CONTINUED - OVER

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1-2-92 BY 980301 RDD/KSR

58 JAN 14 1975

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

to get the supporting records to verify entries in the net worth statement. As you will recall we were promised a revised Statement of Net Worth incorporating some minor changes which as yet have not been furnished to us. We have been told each day that they hoped to give it to us by the close of business on that day; however, this morning Howard Bolton, tax counsel, advised that he "hoped to have it by the end of the week." Bolton apologized for the delay and was told of the necessity to complete our review as soon as possible. We are currently working with the initial Statement of Net Worth and hope that they will make the major changes in the revised one to be submitted. However, in view of the way things have been going we now propose to incorporate both statements into the FBI investigative report showing the changes made during the review conducted by the FBI. Samuel H. Gillespie, our liaison contact, will be contacted today for the purpose of pointing out to him the necessity for receiving the statement as soon as possible, as well as summarizing once again the material we are awaiting to receive from them.

Another typical example of the problems we have encountered is the account receivable listed as being due from CORE (Congress of Racial Equality) for which we received Bureau authority to make a direct contact for verification purposes. We were to be furnished a specific name of an individual at CORE rather than making an unannounced general inquiry. Each day we were advised that they could not locate the Rockefeller staff member who had the individual's name. Late yesterday Howard Bolton advised that in reviewing the matter they had determined that the account receivable had been "relieved" by the nominee in December, 1972, and the entry had never been made on the supporting ledgers. This item will now not appear on the revised Statement of Net Worth. We will attempt to look at any underlying documentation they have for this item and verify the fact that it was written off in December, 1972. It appears that the books are being maintained mainly for tax purposes and the Statement of Net Worth has presented a problem to them.

The Exhibits Section, Administrative Division, has been requested to prepare four exhibits setting forth the sources of income and nature of asset holdings of the two main Rockefeller trusts. These exhibits will be incorporated into the investigative report and we hope to have approximately four others depicting the nature of the other Rockefeller holdings and sources of income.

RECOMMENDATION: For information.

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 11 1974

TELETYPE

Asst. Dir. _____
Dep. A.D.-Adm. _____
Dep. A.D.-Inv. _____
Asst. Dir.: _____
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. _____
Ident. _____
Inspection _____
Intell. _____
Laboratory _____
Plan. & Eval. _____
Spec. Inv. _____
Training _____
Legal Coun. _____
Telephone Rm. _____
Director's Sec'y _____

NR 007 OC PLAIN

1130AM URGENT SEPTEMBER 11, 1974 EPM

TO DIRECTOR (161-6147)

NEW YORK (161-2961)

FROM OKLAHOMA CITY (161-495) -RUC- 2P

Mr. Callahan
Room 1146

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY (REVIEW OF FINANCES)

RE NEW YORK TELETYPE TO BUREAU AND OKLAHOMA CITY,
SEPTEMBER 10, 1974.

[REDACTED], SECURITY OFFICE, FEDERAL AVIATION ADMINISTRATION (FAA), OKLAHOMA CITY, ADVISED RECORDS AIRCRAFT REGISTRATION DIVISION REFLECT AERO COMMANDER N NUMBER 1180Z MODEL 1121, SERIAL NUMBER 33, IS REGISTERED TO LAWRENCE S. ROCKEFELLER, DAVID ROCKEFELLER, AND NELSON A. ROCKEFELLER, WESTCHESTER COUNTY AIRPORT, WHITE PLAINS, NEW YORK. REGISTRATION WAS ISSUED JANUARY 5, 1966, AND BASED ON BILL OF SALE DATED DECEMBER 1965 FOR \$1.00 AND OVC FROM SAMUEL C. DUNLAP CORPORATION, 405 LEXINGTON AVENUE, NEW YORK, NEW YORK.

GRUMMAN GULFSTREAM, MODEL 1159, SERIAL NUMBER 77, N NUMBER 100WK, IS REGISTERED TO SAME THREE ROCKEFELLERS, ADDRESS IN CARE OF WAYFARER KETCH CORPORATION, HANGER G, WESTCHESTER COUNTY AIRPORT, WHITE PLAINS, NEW YORK. REGISTRATION ISSUED.

END PAGE ONE

98 JAN 14 1975

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1-2-92 BY 9803 RDD/KSL

PAGE TWO

JANUARY 31, 1970, AND BASED ON BILL OF SALE DATED DECEMBER 22, 1969, FOR \$1.00 AND OVC FROM GRUMMAN AERO SPACE CORPORATION.

BELL HELICOPTER, MODEL 205A-1, SERIAL NUMBER 30033, N
NUMBER 4764R, REGISTERED TO LAWRENCE S. ROCKEFELLER, NELSON A.
ROCKEFELLER, DAVID ROCKEFELLER, AND TIME, INCORPORATED, HANGER G,
WESTCHESTER COUNTY AIRPORT, WHITE PLAINS, NEW YORK.
REGISTRATION WAS ISSUED APRIL 28, 1969, AND BASED ON BILL OF
SALE DATED FEBRUARY 21, 1969, FOR \$10.00 PLUS OVC FROM
ATLANTIC AVIATION CORPORATION, WILMINGTON, DELAWARE.

[REDACTED] ADVISED FAA FILES DO NOT CONTAIN THE MARKET
VALUE OF AIRCRAFTS REGISTERED IN THE U. S. AND SUGGESTED
CURRENT VALUES FOR THE ABOVE LISTED PLANES COULD BE OBTAINED
FROM THE ORIGINAL SELLERS OR FROM AN AVIATION COMPANY ENGAGED
IN SELLING EXECUTIVE TYPE PLANES IN THE NEW YORK AREA.

END

BEH FBIHQ CLR

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TELETYPE

URGENT

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION
SEPTEMBER 11, 1974

TO SACS NEWARK (161-2191)
NEW YORK (161-2921)
FROM DIRECTOR FBI (161-6917)

SEP 11 1974
MIS 547P
TELETYPE

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY, (REVIEW
OF FINANCES)

REBUCAL TODAY.

THIS WILL CONFIRM INSTRUCTIONS FOR NEWARK TO CONTACT
HERBERT E. ALEXANDER, DIRECTOR, CITIZENS' RESEARCH FOUNDATION,
PRINCETON, NEW JERSEY, TO DETERMINE INFORMATION THAT FOUNDA-
TION COMPILED REGARDING CONTRIBUTIONS MADE TO NOMINEE'S
1970 GUBERNATORIAL CAMPAIGN.

AN ARTICLE APPEARED IN THE "NEW YORK TIMES" APRIL 13,
1972, SHOWING THAT THE CITIZENS' RESEARCH FOUNDATION HAD
COMPLETED A DETAILED STUDY OF CAMPAIGN CONTRIBUTIONS TO
VARIOUS POLITICAL CANDIDATES, INCLUDING THE NOMINEE. THE
ARTICLE POINTED OUT THAT OF THE \$7.7 MILLION SPENT ON
NOMINEE'S CAMPAIGN, \$4.5 MILLION WAS DONATED BY MEMBERS OF
HIS FAMILY.

NOT RECORDED

NOTE: Newark has been instructed to deliver a copy of study
by above foundation to the New York Office in order that
accountants working on review of finances will be able to
include this in their review.

JTB:vp (9)

MAIL ROOM ☐

TELETYPE UNIT ☒ RETURN TO MR.

ROOM 1260.

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. _____
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Laboratory _____
Plan. & Eval. _____
Spec. Inv. _____
Training _____
Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

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TELETYPE TO SACS NEWARK, NEW YORK
RE: NELSON ALDRICH ROCKEFELLER,
SPECIAL INQUIRY,
(REVIEW OF FINANCES)

NEWARK IS INSTRUCTED TO MAKE EVERY EFFORT TO OBTAIN
A COPY OF THE STUDY AND FURNISH IT TO THE NEW YORK OFFICE
AT ONCE.

NEWARK FURNISH INFORMATION CONCERNING CONTACT WITH
ABOVE FOUNDATION TO BUREAU AND NEW YORK.

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 11 1974

TELETYPE

NR 004 PH PLAIN

IN 30 PM NITEL SEPTEMBER 11, 1974 PAM 7P

TO DIRECTOR (161-6197)

NEW YORK (161-2961)

FROM PHILADELPHIA (161-1373) (P)

NELSON ALDRICH ROCKEFELLER; SPIN (REVIEW OF FINANCES)

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	
Admin.	_____
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Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director's Sec'y	_____

Mr. Coleman
Room 1246

RE NEW YORK TELS TO BUREAU AND PHILADELPHIA, SEPTEMBER 6 AND 10, 1974.

ON SEPTEMBER 11, 1974, MR. [REDACTED], ESQUIRE, VICE-PRESIDENT AND SECRETARY, PROVIDENT NATIONAL BANK, ROOM 401, LAND TITLE BUILDING, BROAD AND CHESTNUT STREETS, PHILADELPHIA, PA., ADVISED THAT THE "TRUST BY JANE AUDENRIED FITLER FOR MARGARETTA FITLER ROCKEFELLER", ACCOUNT NUMBER 68910-59, HAD DIVIDEND INCOME AS FOLLOWS FOR THE FOLLOWING YEARS LISTED:

1964 - \$4,332; 1965 - \$4,707; 1966 - \$5,111; 1967 - \$5,002;
1968 - \$5043; 1969 - \$4,303; 1970 - \$2,180; 1971 - \$3,111;
1972 - \$4,384.

END PAGE ONE

161-6197-352

NOT RECORDED

14 JAN 2 1975

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DATE 1-2-92 BY 9803 RDD/KSR

58 JAN 14 1975

PH 161-1373

PAGE TWO

IT IS NOTED THAT THE 1968, 1970, 1971 AND 1972 FIGURES DIFFER FROM THOSE PROVIDED IN NEW YORK TEL DATED SEPTEMBER 6, 1974.

ABOVE FIGURES ARE BASED ON A FISCAL YEAR BEGINNING SEPTEMBER 30 AND ENDING SEPTEMBER 29 OF THE FOLLOWING CALENDAR YEAR.

THE DISTRIBUTED DIVIDEND INCOME FROM SEPTEMBER 30, 1974 TO SEPTEMBER 10, 1974, TOTALS \$5,612.17. THIS INCOME PLUS YET UNDISTRIBUTED INCOME OF \$658.50 IS THE TOTAL INCOME FOR THE ABOVE FISCAL YEAR. THIS TOTAL IS \$6,270.67.

MR. [REDACTED], IN ADDITION TO FURNISHING ABOVE DIVIDEND INCOME INFORMATION, ALSO ADVISED THAT THE TRUST DID NOT DISTRIBUTE ANY OTHER INCOME TO THE NOMINEE'S WIFE FOR ABOVE TIME PERIODS.

MR. [REDACTED] PROVIDED THE FOLLOWING ADDITIONAL INFORMATION REGARDING THE TRUST AS REQUESTED IN NEW YORK TEL DATED SEPTEMBER 6, 1974:

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RE NEW YORK TEL, NUMBER TWO PHILADELPHIA LEAD:

ASSET	MARKET VALUE
PRINCIPAL CASH	451
BONDS	

END PAGE TWO

PH 161-1373

PAGE THREE

PENNA. GENL. STATE AUTHORITY	7,229
MASSACHUSETTS COMNWLTH.	3,996
NEW YORK STATE POWER AUTHORITY	9,400
TOTAL BONDS	20,625
COMMON SCKS	
PUBLIC UTILITIES	
MIDDLE SOUTH UTILITIES INC.	9,900
TOTAL PUBLIC UTILITIES	9,900
INDUSTRIALS	
BUILDING MATERIALS	
LENOX INC.	12,750
TOTAL BUILDING MATERIALS	12,750
CHEMICALS	
NATIONAL CHEMSEARCH CORP.	32,000
TOTAL CHEMICALS	32,000
DRUG	

END PAGE THREE

PH 161-1373

PAGE FOUR

MERCK & CO INC.	30,500
TOTAL DRUG	30,500
FOODS	
KRAFTCO CORP.	16,400
TOTAL FOODS	16,400
MACHINERY - INCLUDING MACHINE TOOLS	
BUCYRUS-ERIE CO.	24,252
JOY MFG. CO.	6,525
TOTAL MACHINERY - INCLUDING MACHINE TOOLS	30,777
METALS AND MINING	
NEWMONT MINING CORP.	11,938
TOTAL METALS AND MINING	11,938
OFFICE BUSINESS EQUIPMENT	
XEROX CORP.	10,813
TOTAL OFFICE BUSINESS EQUIPMENT	10,813
RETAIL	
END PAGE FOUR	

PH 161-1373

PAGE FIVE

A T CROSS CO. CL A	17,765
TOTAL RETAIL	17,765
TOTAL INDUSTRIALS	162,943
FINANCIAL	
MARYLAND NATIONAL CORP.	11,100
TOTAL FINANCIAL	11,100
TOTAL COMMON STOCKS	183,943
TOTAL SECURITIES	204,568
FINAL TOTAL	205,019

RE NEW YORK TEL, NUMBER THREE PHILADELPHIA LEAD:

THE IDENTITIES OF THE TRUSTEES ARE THE PROVIDENT NATIONAL BANK AND MARGARETTA FITLER ROCKEFELLER. THERE IS NO COMMITTEE INVOLVED IN THE TRUST.

MARGARETTA FITLER ROCKEFELLER RECEIVES THE NET INCOME DISTRIBUTION FOR LIFE AND RECEIVES NO INTEREST ON THE PRINCIPAL. THE PROPERTY IS DISTRIBUTED TO MARGARETTA FITLER ROCKEFELLER'S DESCENDANTS PER STIRPS AFTER HER DEATH.

END PAGE FIVE

PH 161-1373

PAGE SIX RE NEW YORK TEL, NUMBER FOUR PHILADELPHIA LEAD:

THE TRUSTEE DOES NOT HAVE CONTROL OVER ANY BUSINESSES AS
THE TRUST CONSISTS OF ALL SECURITIES.

RE NEW YORK TEL, NUMBER FIVE PHILADELPHIA LEAD:

THE TRUST COMMITTEE OF THE PROVIDENT NATIONAL BANK TOGETHER
WITH THE INDIVIDUAL TRUSTEE, VOTES THE SHARES IN THE TRUST.

RE NEW YORK TEL, NUMBER SIX PHILADELPHIA LEAD:

THE ORIGINAL SOURCE OF ASSETS IN THE TRUST WAS FROM THE
ESTATE OF JANE AUDENRIED FITLER. THE TRUST WAS ACQUIRED THE
DATE OF HER DEATH, NOVEMBER 20, 1942.

RE NEW YORK TEL, NUMBER SEVEN PHILADELPHIA LEAD:

THE NOMINEE HAS NO INVOLVEMENT IN THE TRUST AND IS IN NO
WAY A BEFICIARY.

RE NEW YORK TEL, NUMBER EIGHT PHILADELPHIA LEAD:

THERE HAVE BEEN NO SIGNIFICANT TRANSACTIONS INVOLVING THE
TRUST IN THE PAST TWO MONTHS.

LEADS: PHILADELPHIA AT PHILADELPHIA, PA.

WILL REVIEW TRUST AT THE FIRST PENNSYLVANIA BANKING AND
END PAGE SIX

PH 161-1373

PAGE SEVEN

TRUST COMPANY UPON RECEIPT OF RELEASE FROM THE ATTORNEY OF NELSON
A. ROCKEFELLER.

NO REPORT BEING SUBMITTED, UACB.

END

F B I

Date: SEPTEMBER 11, 1974

Transmit the following in PLAINTEXT
(Type in plaintext or code)

TELETYPE

URGENT

via _____
(Priority)

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
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Admin.	_____
Comp. Syst.	_____
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Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

TO: DIRECTOR, FBI (161-6147) (MAIL) AND
SAC, NEW YORK (161-2961)

FROM: SAC, WFO (161-5674) (P) (4P)

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY, BUDED: AUGUST
28, 1974, PAST.

RE NEW YORK TELETYPE TO WFO DATED SEPTEMBER 10, 1974.

ON SEPTEMBER 11, 1974, MRS. JOAN BRADEN WAS INTERVIEWED
AT HER HOME AT 101 EAST MELROSE STREET, CHEVY CHASE, MARYLAND,
BY SPECIAL AGENTS (SA) [REDACTED] AND [REDACTED]

[REDACTED] AND PROVIDED THE FOLLOWING INFORMATION:

SHE HAS KNOWN THE NOMINEE, NELSON A. ROCKEFELLER, SINCE
1946 BOTH PROFESSIONALLY AND SOCIALLY. SHE FIRST BECAME
ACQUAINTED WITH HIM THROUGH HER EMPLOYMENT AT THE INTER-
NATIONAL BASIC ECONOMY CORPORATION WHICH WAS ORGANIZED BY
THE NOMINEE. SHE WAS EMPLOYED BY THE NOMINEE UNTIL 1955
AND HAS ACTIVELY WORKED FOR THE NOMINEE IN VARIOUS POLITICAL
CAMPAIGNS SINCE THAT PERIOD. THE NOMINEE IS THE GODFATHER

END PAGE ONE

- ①- Bureau (Mail)
- 1- Tickler
- 1- WFO

RJR:jea
(3)

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HEREIN IS UNCLASSIFIED
DATE 1-2-92 BY 9803 RDD/KSR/AM

Approved: Dum/AM
8 JAN 14 1975 Special Agent in Charge

Sent _____ M Per _____

F B I

Date:

Transmit the following in _____
(Type in plaintext or code)Via _____
(Priority)

PAGE TWO (161-5674)

TO HER ELDEST SON AND SHE HAS THE HIGHEST RESPECT AND ADMIRATION FOR HIM.

MRS. BRADEN ADVISED THAT IN APPROXIMATELY APRIL, 1972, SHE SECURED A LOAN FROM THE NOMINEE TO FINANCE A PILOT TELEVISION SHOW. MRS. BRADEN IS A FORMER EMPLOYEE OF WTOP-TV, WASHINGTON, D. C. (WDC), AND SHE WANTED TO BEGIN A TV SERIES OF PERSONAL INTERVIEWS WITH POLITICAL FIGURES IN THEIR HOMES. WITH THE MONEY, OBTAINED FROM THE NOMINEE, MRS. BRADEN HIRED A PRODUCER AND CAMERA CREW AND CONDUCTED AN INTERVIEW WITH GOVERNOR GOERGE WALLACE (ALABAMA). SHE STATED THAT THE INTERVIEW WAS CONDUCTED IN PERHAPS APRIL, 1972, AS IT WAS SHORTLY BEFORE THE ASSASSINATION ATTEMPT ON GOVERNOR WALLACE'S LIFE AT LAUREL, MARYLAND. A SEGMENT OF THIS INTERVIEW LATER APPEARED ON THE TV SHOW "60 MINUTES" HOSTED BY MIKE WALLACE. SHE ADVISED THAT A SERIES OF THIS NATURE IS STILL PENDING ACCEPTANCE; BY A TV NETWORK.

MRS. BRADEN ADVISED THAT SHE THOUGHT THE ORIGINAL AMOUNT OF THE LOAN WAS APPROXIMATELY \$5,000.00 AND STATED THAT SHE BELIEVES SHE HAS PAID BACK APPROXIMATELY \$2,000.00 TO DATE; ALTHOUGH SHE COULD NOT RECALL THE EXACT FIGURES. WHEN AN

END PAGE TWO

Approved: _____

Special Agent in Charge

Sent _____ M Per _____

F B I

Date:

Transmit the following in _____
(Type in plaintext or code)Via _____
(Priority)

PAGE THREE (161-5674)

ORIGINAL LOAN FIGURE OF \$16,000.00 WAS MENTIONED, MRS. BRADEN STATED THAT THAT COULD BE CORRECT; HOWEVER, SHE THOUGHT THE FIGURE WAS CLOSER TO \$5,000.00. SHE CONSIDERS THE LOAN TO BE A PERSONAL LOAN FROM THE NOMINEE FOR HER PROJECT AND DID NOT KNOW IF THE MONEY CAME THROUGH THE CHASE MANHATTAN BANK. SHE STATED THAT THE FINANCES IN THIS MATTER WERE HANDLED BY A MR. [REDACTED] (SHE WAS UNSURE OF THE SPELLING) AND STATED THAT MR. [REDACTED] COULD HAVE BEEN EMPLOYED BY THAT BANK. SHE ADVISED THAT SHE MAKES A PAYMENT ON THE LOAN EACH YEAR AND THAT YEARLY PAYMENTS WILL BE MADE UNTIL THE DEBT IS PAID OFF. IF THE SERIES IS ACCEPTED THEN THE DEBT WILL BE PAID OFF IMMEDIATELY.

MRS. BRADEN STATED THAT SHE HAD NO RECORDS AVAILABLE TO VERIFY THE AMOUNT OF THE LOAN OR AMOUNT PAID AS ALL SUCH PAPERS ARE IN THE HANDS OF HER HUSBAND'S TAX ATTORNEYS. SHE STATED THAT SHE WOULD BE ABLE TO VERIFY THE AMOUNTS IF IT BECAME NECESSARY TO DO SO; HOWEVER, THE AMOUNT STATED

END PAGE THREE

Approved: _____ Sent _____ M Per _____
Special Agent in Charge

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland

DATE: 9-12-74

FROM : O. E. Coleman

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
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Files & Com. _____
Gen. Inv. _____
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Spec. Inv. _____
Training _____
Telephone Rm. _____
Director Sec'y _____

Reference is made to prior memoranda advising Senator Howard W. Cannon, Chairman, Senate Committee on Rules and Administration, had commenced his review of the results of our investigation of Rockefeller to date.

On 9-11-74, in the presence of SA [redacted] and Mr. [redacted] of the Lands Division of the Department, Senator Cannon reviewed Part 5 of this investigation from 3:00 p.m. to 5:15 p.m. No one else was present in Senator Cannon's office during this review and the material was returned to the Department by Mr. [redacted].

Part 5 of this investigation contains, among other things, considerable correspondence from [redacted], an attorney in Dallas, Texas, who alleges Rockefeller and other members of his family have been involved in a huge fraud concerning the merger of two firms. Portions of this correspondence have been directed to Senator Cannon and the remaining items were sent by [redacted] to various individuals in the Government. Senator Cannon advised he would like to secure this material in toto as it is set forth in Part 5 of our investigation and indicated it is his intention to send a member of his staff to Dallas to interview [redacted] concerning his allegations. He implied he intends to take this action in order to preclude [redacted], at a later date, from saying he furnished this information to the FBI and later to the Senate Committee on Rules and Administration and no action was taken.

In addition to the foregoing, Senator Cannon noted Part 5 contains two reports from the Washington Field Office dated 9-4-74 and 9-7-74 which set forth the results of interviews

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt (Attn: Mr. Long)

1 - Mr. Cleveland
1 - Mr. Coleman
1 - Mr. [redacted]

RER:dc

-7-

CONTINUED - OVER

ALL INFORMATION CONTAINED
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DATE 1-2-92 BY 9803 RDD/KSR

88 JAN 4 1975

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b7C

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

with Rockefeller concerning the various allegations received during the course of this investigation. He stated he would also like to secure copies of these two reports as they would greatly assist the committee in preparing its questions to be asked of Rockefeller. He indicated that inasmuch as these reports contain information supplied by the nominee himself it would not appear there would be a breach of confidentiality if these reports were made available.

Mr. [] made the foregoing requests known to [] b6
[], Executive Assistant to the Deputy Attorney General b7c
who indicated he would make the Deputy Attorney General aware of the requests. Mr. [] observed he is of the opinion compliance with these requests could set a dangerous precedent in that compliance in this instance would subject the Bureau to possible additional such requests. He commented that whatever adjustments are made in the agreement to review the results of the investigation by the Senate would have to necessarily apply to the House Judiciary Committee in its review of this material.

ACTION:

For information. No action will be taken regarding Senator Cannon's requests pending advice from the Department. This appears to be a matter for resolution between the Department and Senator Cannon.

WVC
Jma
amsc

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 12 1974

TELETYPE

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Telephone Rm. _____
Director's Sec'y _____

NR 001 NK PLAIN

12:02PM IMMEDIATE SEPTEMBER 12, 1974 AOC

TO: DIRECTOR (161-6917)

NEW YORK (161-2921)

FROM NEWARK (161-2191) (RUC)

NELSON ALDRICH ROCKEFELLER, SPIN (REVIEW OF FINANCES)

RE BUTEL TO NEWARK, 9/11/74.

ON SEPTEMBER 11, 1974, HERBERT E. ALEXANDER, DIRECTOR,

CITIZENS RESEARCH FOUNDATION (CRF) PRINCETON, NJ, WAS CONTACTED

AT 245 NASSAU ST., PRINCETON, NJ. ALEXANDER ADVISED THAT THE

FOUNDATION HAS COMPILED EXTENSIVE INFORMATION REGARDING POLITICAL

CONTRIBUTIONS ON STATE AND NATIONAL SCOPE SINCE 1958. INFORMATION

CONCERNING THE ROCKEFELLERS WAS AVAILABLE FOR YEARS 1960 THROUGH

A PORTION OF 1972.

ALL PUBLICATIONS CONCERNING ROCKEFELLER SINCE 1960 WERE JAN 2 1975

OBTAINED AND ARE BEING HAND CARREID TO NEW YORK THIS AM. IN THE

EVENT THAT QUESTIONS ARISE IN REVIEW OF THESE PUBLICATIONS, ALL

INQUIRIES SHOULD BE DIRECTED TO HERBERT E. ALEXANDER, TEL. NO.

609-924-0246.

END PAGE ONE

ALL INFORMATION CONTAINED
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REC-100

101-6197 355
RECORDED

JAN 2 1975

Mr. Coleman
Room 1246

PAGE TWO

NK 161-2191

THE ABOVE EMNTIONED PUBLICATIONS ARE AS FOLLOWS:

CRF LISTING OF POLITICAL CONTRIBUTORS OF \$500 OR MORE IN
1972.

CRF LISTING OF POLITICAL CONTRIBUTORS OF \$500 OR MORE IN
1970.

CRF LISTING OF POLITICAL CONTRIBUTORS OF \$500 OR MORE IN
1968.

THE BOOK " MONEY IN POLITICS" BY HERBERT E. ALEXANDER,
COPYWRIGHTED IN 1972.

A BOOKLET BE CRF ENTITLED "FINANCING THE 1964 ELECTION", BY
HERBERT E. ALEXANDER.

CRF SUMMARY OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
NEW YORK, 1970.

CRF LISTING OF POLITICAL CONTRIBUTORS OF \$500 OR MORE IN
1971 AND JANUARY - FEBRUARY, 1972.

A BOOKLET BY CRF ENTITLED "FINANCING THE 1960 ELECTION", BY
HERBERT E. ALEXANDER

CRF LISTING OF POLITICAL CONTRIBUTORS OF \$500 OR MORE, 1969.
END PAGE TWO

PAGE THREE

NK 161-2191

COMPILATION BY CRF OF POLITICAL CONTRIBUTORS OF \$500 OR MORE
IN 1972 IN NEW YORK.

COMPILATION BY CRF OF POLITICAL CONTRIBUTORS OF \$500 OR MORE
IN 1970 IN NEW YORK.

CRF LISTING OF POLITICAL CONTRIBUTION BY THE ROCKEFELLER
FAMILY IN 1972.

13 XEROX PAGES FROM THE BOOK "FINANCING THE 1968 ELECTION",
BY HERBERT E. ALEXANDER, PERTAINING TO THE ROCKEFELLER FAMILY.

EXERPT OF ARTICLE FROM MARCH, 1970 ISSUE OF FORTUNE MAGAZINE,
ENTITLED "A FINANCIAL LANDSLIDE FOR THE GOP", BY HERBERT E.
ALEXANDER AND HAROLD B. MEYERS.

END

NJ

TMA FBIHQ CLR

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland

DATE: 9-12-74

FROM : O. E. Coleman

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

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Director Sec'y _____

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DE [REDACTED], a major in the United States Army assigned to the Pentagon Telecommunications Center, was interviewed at his request on 9-4-74. [REDACTED], who said he is interested in international relations and politics, advised he has been a member of the John Birch Society for about 3 or 4 years and receives a weekly publication of that organization, "Review of the News." He said an article in a recent issue of "Review of the News" concerned Rockefeller and contained a paragraph stating he "has never been exactly what you would call an anticommunist."

[REDACTED] advised he recalls a conversation he had in June, 1974, in Paris, *France*, with Pierre De Villemarest, a French citizen. He said De Villemarest has expertise in counterintelligence operations and is writing a book on Soviet espionage in Latin America. According to [REDACTED], during their conversation De Villemarest stated "Rockefeller was very highly involved with Soviet espionage activities in South America," but he did not elaborate on this comment. He mentioned that De Villemarest previously authored a book entitled "L'Espionage Sovietique en France, 1944-1969," published in Paris, France, in 1969. [REDACTED] advised he has never personally met Rockefeller. The results of the interview with [REDACTED] have been incorporated in the material disseminated concerning Rockefeller.

The Legat, Paris, has now advised that, according to a confidential source in the [REDACTED], Pierre De Villemarest is currently preparing a multivolume work dealing with subversion in Latin America. No contemplated date as to its publication is available and this source indicated it could conceivably take many months or years to complete. De Villemarest was described as a well-known writer long interested in *Review* of espionage and *Review*.

- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Wannall

1-2-92
9803 RDD/KSR
Classified by
Declassify on: GADR

- Mr. Cleveland
- Mr. Coleman
- 1 - Mr. Auerswald

RECORDED
54 DEC 31 1974

OJA:dmc (7)

CONTINUED - OVER

58 JAN 4 1975

~~CONFIDENTIAL~~

RECORDED
OTHERWISE, *JK*

Memorandum to Mr. Cleveland
RE: NELSON ALDRICH ROCKEFELLER

~~CONFIDENTIAL~~

subversion, who writes features for "Valeur Actuel," a weekly French publication considered "right of center." He reportedly resides in the south of France, exact address unknown to the source of the Legat, Paris. This source advised he has no specific information as to the nature of the comments made by De Villemarest concerning Rockefeller, but he believes one of the volumes would allude to both Rockefeller and Secretary of State Henry A. Kissinger having been "soft" on the issue of eastern bloc nations in the past. (S) (U)

Bureau files contain no information concerning De Villemarest. Rockefeller is not mentioned in his 1969 book dealing with Soviet espionage in France.

OBSERVATIONS:

Bureau files contain no information indicating involvement of Rockefeller in Soviet espionage activities in South America or elsewhere. It is noted he is considered an expert on South America and has served in the past as Coordinator of Inter-American Affairs and Assistant Secretary of State. During our investigation of Rockefeller no allegations have been received which would lend credence to the aforementioned statement attributed to De Villemarest. It is not deemed advisable to have De Villemarest interviewed in connection with this investigation, it being noted he is a citizen of France and a writer for a weekly French publication. The Legat, Paris, stated the [redacted] has expressed a willingness to interview De Villemarest if requested, but believes all other means of determining the nature of his statements concerning Rockefeller should first be exhausted. b7D

ACTION:

The information developed by the Legat, Paris, to the effect De Villemarest is preparing a multivolume work on subversion in Latin America, which may mention Rockefeller, will be included in the results of our investigation of Rockefeller. No action will be taken, however, to interview De Villemarest. (S) (U)

OK *as* *True* *WVC* *Jma* *dy*

~~CONFIDENTIAL~~

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 12 1974

TELETYPE

NR 005 SF PLAIN

5:05PM NITEL SEPTEMBER 12, 1974 KCK

TO: DIRECTOR (161-6197)

WFO (161-5674)

FROM: SAC, SAN FRANCISCO (161-2450) (P)

NELSON ALDRICH ROCKEFELLER; SPECIAL INQUIRY

RE WFO TELETYPE, SEPTEMBER 9, 1974.

ON SEPTEMBER 12, 1974, MR. R. HARRIS SMITH, AUTHOR OF
THE BOOK "ROSS, A SECRET HISTORY OF AMERICA'S FIRST INTELLIGENCE
AGENCY," WAS INTERVIEWED AT [REDACTED]

[REDACTED], CALIFORNIA. HE RECALLED THE STATEMENT THAT
GENERAL WALTER BEDELL SMITH ONCE WARNED EISENHOWER THAT
ROCKEFELLER WAS A COMMUNIST. R. HARRIS SMITH STATED THAT
THIS INFORMATION CAME FROM A WELL-KNOWN JOURNALIST IN
WASHINGTON, D. C. THE JOURNALIST IS ON LEAVE BUT WILL RETURN
TO WASHINGTON, D. C. THIS WEEKEND. SMITH STATED THAT HE DID
NOT WISH TO DISCLOSE THE NAME OF THE JOURNALIST BUT WOULD CON-
TACT HIM AND ASK HIM TO CONTACT THE FBI, WASHINGTON FIELD OFFICE.
ON MONDAY, SEPTEMBER 16, 1974.

MR. SMITH STATED THAT THE INCIDENT WHEN GENERAL SMITH
WARNED EISENHOWER THAT ROCKEFELLER WAS A COMMUNIST TOOK PLACE
AT THE BEGINNING OF THE EISENHOWER ADMINISTRATION WHEN EISENHOWER

Assoc. Dir. _____
Dep. A.D. Adm. _____
Dep. A.D. Inv. _____
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Legal Coun. _____
Telephone Rm. _____
Director's Sec'y _____

Mr. Coleman
Room 1246

REC-100

161-6197-357
RECORDED

DEC 31 1974

ALL INFORMATION CONTAINED
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DATE 12-19-91 BY 9803 RDD/KSP

UNITED STATES GOVERNMENT

Memorandum

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Telephone Rm. _____
Director Sec'y _____

TO : Mr. Cleveland *WVC*

DATE: 9-12-74

FROM : O. E. Coleman *OEC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

Reference is made to my memorandum dated 9-4-74 which indicated that a Dallas attorney, [redacted], a chronic complainant whose letters we do not acknowledge, has been complaining since 1963, claiming that the "Rockefeller Empire" was engaged in a "huge fraud" involving an alleged merger in 1961 of the Sabre-Pinon Corporation and the United Nuclear Corporation. [redacted] has sent reams of material on this matter to numerous Government officials, Government agencies, Laurance Rockefeller, and the FBI. It appears that he was a stockholder in the Sabre-Pinon Corporation and that as a result of the merger, his stock was reduced in value. Apparently that is the real basis for all his complaints. He has also charged the Securities and Exchange Commission and the Internal Revenue Service with irregularities in covering up this supposed fraud. [redacted]'s information has been reviewed and contains no violation within our jurisdiction.

Since Rockefeller has been nominated Vice President, [redacted] has written numerous individuals including Representative Peter Rodino, Senator Howard Cannon, Senator Barry Goldwater, The White House, and the Bureau repeating his charges with regard to the alleged merger. He has demanded of Senator Cannon that he be allowed to testify during Rockefeller's confirmation hearing. He has apparently enlisted the aid of one Earl Golz, a reporter for "The Dallas Morning News" and claims Golz is going to expose the entire matter in his paper.

Regarding Earl Golz, SAC Shanklin in Dallas has advised he is acquainted with Golz and deals with him at arms length as he is considered to be an irresponsible reporter who prints stories without checking facts. *161-6197-357X1*

Encs. *Sent 9-17-74*

1 - Mr. Callahan
1 - Mr. McDermott

1 - Mr. Gebhardt
1 - Mr. Cleveland

1 - Mr. Coleman
1 - Mr. Young
1 - Mr. Auerswald

DHY:dc *dc*

-8-

CONTINUED - OVER

ALL INFORMATION CONTAINED

18 SEP 20 1974

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DATE 12-19-91 BY 9803 RDD/HCSK

SEP 24 1974

58 JAN 15 1975

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

On 9-3-74 and 9-10-74 in transmitting the results of the Rockefeller investigation thus far to The White House, the Attorney General, and the Deputy Attorney General, we included information concerning [] and his charges as well as copies of some of the correspondence which he has been disseminating since 1963.

b6
b7C

The Director has now received a 10-page letter from [] which is insulting in nature and practically accuses the FBI of "whitewashing" Rockefeller during our investigation. He has sent copies of this letter to the President; Golz; Phillip W. Buchen, Counsel to the President; William E. Casselman III, at The White House; Robert Hartmann, Counsellor to the President; and "members of the Senate and House of Representatives."

b6
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ACTION:

Since we have previously included information concerning [] in disseminating the results of the Rockefeller investigation, enclosed are letters to General Alexander M. Haig, Jr., at The White House, the Attorney General, and the Deputy Attorney General, furnishing them copies of []'s current letter for their information. []'s letter is not being acknowledged.

b6
b7C

OK *[Signature]* *[Signature]* *[Signature]* *[Signature]* *[Signature]*

PAGE TWO, SF 161-2450

WAS CONSIDERING MAKING ROCKEFELLER SPECIAL ASSISTANT TO THE PRESIDENT FOR COLD WAR OPERATIONS. GENERAL SMITH, WHO WAS THEN DIRECTOR OF CIA, OBJECTED AND MADE THE STATEMENT THAT ROCKEFELLER WAS A COMMUNIST WHEN THE AFOREMENTIONED JOURNALIST WAS A MEMBER OF THE CIA. THE JOURNALIST BECAME CONCERNED REGARDING THE STATEMENT MADE BY THE DIRECTOR OF THE CIA AND THREATENED TO QUIT.

ALLEN DULLES, WHO WAS TAKING OVER AS DIRECTOR OF CIA, CONVINCED THE JOURNALIST TO STAY ON AND SAID THAT BEDELL SMITH DID NOT MEAN IT. R. HARRIS SMITH STATED THAT HE PUT THIS QUOTE IN HIS BOOK IN ORDER TO SHOW THAT GENERAL SMITH MADE IRRESPONSIBLE REMARKS, AS IT WAS RIDICULOUS TO MAKE A STATEMENT THAT ROCKEFELLER, A MAN WHOSE LOYALTY TO THE UNITED STATES IS UNQUESTIONED, WOULD BE CALLED A COMMUNIST.

R. HARRIS SMITH STATED HE IS NOW WRITING A BIOGRAPHY OF ALLEN DULLES AND THAT HE HAS LEARNED OF OTHER IRRESPONSIBLE OFF-THE-CUFF STATEMENTS BY THE LATE GENERAL SMITH, SUCH AS "WORLD WAR III IS TO START TOMORROW."

MR. R. HARRIS SMITH RECONTACTED THE SAN FRANCISCO OFFICE

SAC, New York
(Attention: SA Joseph E. Henehan)

9/12/74

Director, FBI

O
NELSON A. ROCKEFELLER
SPECIAL INQUIRY (REVIEW OF FINANCES)

per file
Re New York telephone call 9/11/74.

Referenced telephone call requested preparation of four graphic charts presenting information concerning Mr. Rockefeller's finances. Enclosed are 16 copies each of four charts as requested per referenced telephone call.

Enclosures - 64

JFM/jj
(4)

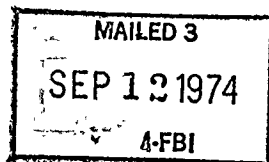
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- Telephone Rm. _____
- Director Sec'y _____

JAN 17 1975

MAIL ROOM ☐

TELETYPE UNIT ☐

PAGE THREE, SF 161-2450

OF THE FBI LATER ON SEPTEMBER 12, 1974. HE STATED THAT HE HAD CONTACTED HIS SOURCE, THE JOURNALIST IN WASHINGTON, D. C., WHO HAD REITERATED TO HIM THE INFORMATION WHICH R. HARRIS SMITH HAD PREVIOUSLY FURNISHED THE SAN FRANCISCO OFFICE OF THE FBI.

MR. SMITH STATED THAT HIS SOURCE HAD REQUESTED THAT HIS IDENTITY BE WITHHELD AND THAT HE WOULD NOT CONTACT THE FBI WASHINGTON FIELD OFFICE.

REPORT FOLLOWS.

END

HOLD

Assoc. Dir.	_____
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Director Sec'y	_____

NR030 NY PLAIN

11:22 P.M. NITEL 9-13-74 FLQ

TO DIRECTOR

FROM NEW YORK

ATT: MR. DAVIDSON, ADMINISTRATIVE DIVISION

NELSON A. ROCKEFELLER, SPECIAL INQUIRY (REVIEW OF FINANCES)

NOMINEE'S RECORDS HAVE BEEN REVIEWED AND A REPORT IS CURRENTLY BEING PREPARED. IN ORDER TO HAVE REPORT READY FOR DISSEMINATION TO WHITE HOUSE EARLY NEXT WEEK, IT WILL NECESSITATE HAVING STENOGRAPHIC EMPLOYEES AVAILABLE THIS SATURDAY AND SUNDAY.

THE FOLLOWING NEW YORK STENOGRAPHIC EMPLOYEES WILL BE WORKING ON SATURDAY:

[REDACTED]

ON SUNDAY, [REDACTED] WILL WORK AND [REDACTED]

IS AVAILABLE SHOULD WORK LOAD JUSTIFY HER PRESENCE.

UACG THESE EMPLOYEES WILL BE CONSIDERED ELIGIBLE FOR RELATED OVERTIME PAY.

END

HOLD

OK
fwe

12-19-91

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101-6117-358

NOT RECORDED

46 JAN 8 1975

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TELETYPE

URGENT

SEPTEMBER 13, 1974

TO LEGAT CARACAS (161-1)
FROM DIRECTOR FBI (161-6197)

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY.

REURTEL SEPTEMBER SIX, NINETEEN SEVENTY-FOUR.

BY RETURN TELETYPE FURNISH RESULTS OF ADDITIONAL
INVESTIGATION CONDUCTED IN THIS MATTER TO DATE. ADVISE
STATUS OF REMAINING INVESTIGATION WHICH SHOULD BE COMPLETED
AS QUICKLY AS POSSIBLE.

b6
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1 - Foreign Liaison Unit (Detached)

to
OJA
161-6197-359

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FBI - NEW YORK

SFP 121
70210 PLB

RETURN TO MR. AUERSWALD, ROOM 1258.

WPC
OEC
OM

JAN 4 1975

MAIL ROOM ☐

TELETYPE UNIT ☐

123mm

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WNC*

DATE: 9-13-74

FROM : O. E. Coleman *OC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

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Director Sec'y _____

Ny
Samuel H. Gillespie, attorney for nominee, advised this morning that his boss, William E. Jackson, had received word from Senator Cannon's Office that the content of the nominee's Statement of Net Worth would appear in the newspaper this morning. Gillespie did not specifically identify the individual who contacted Jackson but indicated it was a member of the legislative staff in Washington, and the Rockefeller staff considered the release of this information as "most unfortunate." Gillespie stated that they never suspected the FBI as the source of this news leak. They were concerned with the reaction the figure \$33 million will bring about. They felt the information was presented in the best possible way they could expect and appeared to be content with the fact that it is apparently not being challenged as too low a figure for Rockefeller's net worth. *Collection*

Gillespie advised that the revised Statement of Net Worth was not as yet ready and the best estimate he could make was "it would be well into the weekend" before it is completed. Gillespie was advised that the major stumbling block for the FBI completing their review was the lack of the revised Statement and that we had hoped to assemble our report over this coming weekend and if the delay were extended it may require the Bureau to present both Statements with all our verification work being attributed to the first. Gillespie stated that there would not be a significant change in the new Statement; however, the main problem was determining a value for the art collection. Apparently the nominee will set the valuations for the individual pieces of art, included therein, and this may be causing the delay. Howard Bolton, Tax Counsel, will prepare the Statement and the best information we have at this time is he is still working on it. *o*

- 1 - Mr. Callahan
- 1 - Mr. Bassett
- 1 - Mr. McDermott
- 1 - Mr. Gebhardt (Attention: Mr. Long)
- 1 - Mr. Cleveland
- 1 - Mr. Coleman

REC-100

101-6197-360

RECORDED

14 DEC 31 1974

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED - OVER

DATE 12-19-91 BY 9803 RDD/KSL

JEH:dmc (7)

58 JAN 14 1975

Memorandum to Mr. Cleveland
RE: NELSON ALDRICH ROCKEFELLER

The following is a summary of the status of the individual items making up the FBI review:

STATEMENT OF NET WORTH

Cash	Insert typed, currently being corrected
Cash Advances	Completed
Notes Receivable	Insert typed, being corrected
Accounts Receivable	Insert typed, being corrected
New York Retirement Fund	Completed
Securities	Insert typed, being corrected
Partnerships	Completed
Art	Awaiting supporting detail for verification
Real Estate	Will be completely dictated by noon today. Stenos will be brought in on Saturday if necessary.
Furnishings	Insert typed, being corrected
Automobiles	Completed
Jewelry	Insert being dictated this a.m. Typing should be completed by Saturday noon.
Coins	Insert typed, being corrected
Notes Payable	Completed, except for a write-up on gift tax due. Details to be furnished today.

Memorandum to Mr. Cleveland
RE: NELSON ALDRICH ROCKEFELLER

TRUSTS

Dictation complete by noon today. Should be typed by noon Saturday.

CAMPAIGN FINANCES

Completed, except for review of new material received from Newark on Thursday which will be in dictation by noon today and typed by COB today.

TAX RETURNS

Completed.

INCOME STATEMENT

Previous rough draft awaiting additional information. Hope to have review completed today and typed by COB Saturday.

EXHIBITS

Four completed, two went to Bureau this a.m. to have completed and returned some time Saturday.

It appears that we will be in a position to start assembling the report on Sunday after complete proofreading and verification and it is hoped that the revised Statement of Net Worth can be placed in the report without requiring major auditing. It is not anticipated that the art figure will require too much work as we really cannot do too much with the valuations furnished and we will have to qualify our report indicating same. It is hoped that we will have something on the art today or Saturday.

ACTION:

For information.

D.A.
9/13

[Handwritten signatures]

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WNC*

FROM : O. E. Coleman *OC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9/13/74

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Dep. AD Inv. _____
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Spec. Inv. *WNC*
Training _____
Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

Today, SA [redacted], assigned to the Employees Security and Special Inquiry Section, accompanied K. William O'Connor, Deputy Assistant Attorney General, Civil Rights Division, Department of Justice, to the residence of Hugh Scott, United States Senator from Pennsylvania and Republican Minority Leader, as well as member of the Senate Committee on Rules and Administration, for the purpose of Senator Scott's review of the investigation conducted to date of Rockefeller. Senator Scott resides at [redacted] Northwest, Washington, D. C.

The review commenced at 10:30 a.m. and concluded at 2:30 p.m. He reviewed the entire investigation which has been disseminated to date.

Senator Scott was extremely complimentary of the FBI's thorough investigation, and he will be available prior to the aforementioned committee's hearings on the Rockefeller nomination to review any additional investigation, including the financial review which is forthcoming.

RECOMMENDATION:

For information.

- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Gebhardt (Attention: Mr. Long)
- 1 - Mr. Cleveland
- 1 - Mr. Coleman
- 1 - Mr. [redacted]

JTB:vp (7)

JB

Jim

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803RDD/KSC

161-6197-361
NOT RECORDED
14 DEC 31 1974

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58 JAN 14 1975

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WC*

FROM : O. E. Coleman *OC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-13-74

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
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Comp. Syst. _____
Ext. Affairs _____
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Training _____
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Telephone Rm. _____
Director Sec'y _____

An informant of the San Diego Office advised on 9-5-74, he had a conversation [redacted] who was then [redacted]. [redacted] claimed he had attended a party at the home of Sascha Brastoff, believed to be a well-known artist and homosexual who operates a business in Beverly Hills, California. [redacted] told the informant Brastoff said he had [redacted] and also exhibited a [redacted] [redacted] indicated Brastoff stated this [redacted] was obtained from Happy Rockefeller to [redacted] for her. The informant advised [redacted] also claimed Mrs. Rockefeller was in attendance at the aforementioned party and he saw her [redacted] and [redacted] assumed she possibly [redacted]

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During the course of another investigation, [redacted] was described as a pathological liar, a "wheeler-dealer," and as a person who cannot be trusted. On February 22, 1974, [redacted] admitted participating in a jewelry store armed robbery in Los Angeles, California, and subsequently in assisting the fencing of this stolen jewelry which was valued at approximately \$200,000. He is presently confined in the California Institution for Men, Chino, California, as a result of his participation in this armed robbery.

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[redacted] was interviewed during the investigation of Rockefeller concerning the aforementioned allegations. He advised that in approximately December, 1972, he attended a party in Beverly Hills, California, at which Sascha Brastoff was present. He said Brastoff told him he, Brastoff, [redacted] from the [redacted]. He stated Brastoff also said he had attended a party on Fire Island, New York, at which Happy Rockefeller was in attendance. According to [redacted], Brastoff implied [redacted] at that party. [redacted]

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- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Cleveland
- 1 - Mr. Coleman
- 1 - Mr. [redacted]

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DATE 12-19-91 BY 9803 RDD/KSR

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14 DEC 21 1974

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CONTINUED - OVER

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Memorandum to Mr. Cleveland
RE: NELSON ALDRICH ROCKEFELLER

stated he has never met any members of the Rockefeller family and said Happy Rockefeller was not present at the party held in Beverly Hills, California. He also advised that on one occasion prior to the Beverly Hills party, he was in a jewelry store in Beverly Hills, California, owned by Brastoff. He said Brastoff's partner showed him a [redacted] but did not indicate what Brastoff intended to do with this [redacted].

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Homosexual *NM*
Sascha Brastoff, 2522 Military Road, Westwood, California, advised he has met Nelson Rockefeller on one occasion but has never met Rockefeller's wife, Happy. He stated neither Rockefeller or his wife have ever attended a party at his residence; that he does not own and has never had in his possession a [redacted] and has never [redacted].

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Brastoff stated he has never [redacted]. He said, however, that after World War II and for about twenty years, Winthrop Rockefeller, Nelson Rockefeller's deceased brother, had financially backed a ceramics business he, Brastoff, operated in Los Angeles, California. He stated he was a friend of the late Winthrop Rockefeller for many years and has [redacted]. Brastoff advised he has entertained Winthrop Rockefeller and wife at parties in his residence and has attended parties at their residences in Arkansas and on Fire Island, New York. He stated Winthrop Rockefeller and his wife were of the highest moral character and said any allegations to the effect he has [redacted] Winthrop Rockefeller's wife or Happy Rockefeller are absolutely ridiculous.

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Brastoff advised that one time he had been in the process of liquidating a jewelry business and had consigned some jewelry items to [redacted] which were to be placed in [redacted]'s showroom in Beverly Hills, California. He said that among the jewelry items consigned to [redacted]'s showroom was a gold nugget stamped "Bank of Paris;" however, this gold nugget was not to be [redacted] for Mrs. Winthrop Rockefeller. Brastoff stated he continues to be acquainted with Mrs. Winthrop Rockefeller and has spoken to her within the past few days. He concluded by stating he is not acquainted with [redacted] and has never been associated with anyone using that name, much less telling him he [redacted] Mrs. Rockefeller, which he categorically denied.

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Memorandum to Mr. Cleveland
RE: NELSON ALDRICH ROCKEFELLER

ACTION:

For information. Inasmuch as the aforementioned allegations have not been corroborated and the fact the allegations appear to pertain to the late Winthrop Rockefeller and his wife rather than the nominee and Happy Rockefeller, if approved, this information will not be included in the results of our investigation of Nelson Rockefeller. The information, however, will be included in our transmittal letters to General Alexander M. Haig, Jr. at The White House and to the Attorney General when we transmit the results of additional outstanding investigation of Rockefeller.

WVC
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gma

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K



memorandum

SEP 13 1974

to: Special Agent in Charge
Washington Field Office
Federal Bureau of Investigation

from: Commissioner CP:D

Dr

subject: Tax Check Report - File No. 161- 5674

Taxpayer: Nelson Aldrich Rockefeller
Pocantico Hills North
Tarrytown, New York

Years: 1971, 1972, and 1973 .

Our records show that income tax returns were filed. There is no record of unpaid taxes, liens, criminal tax investigations, or civil penalties for fraud or negligence.

This completes our report.

Donald C. Alexander

161-6197-363

NOT RECORDED

14 DEC 31 1974

58 JAN 14 1975

Internal Revenue Service

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: DONALD W. KUNO
Date: 9-12-74

Office: San Francisco, California

Field Office File #: 161-2450

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis: R. HARRIS SMITH, author of OSS, A Secret History of America's First Central Intelligence Agency, stated he had included the statement General WALTER BEDELL SMITH once warned EISENHOWER that ROCKEFELLER was a communist in his book in order to show that General SMITH made irresponsible off-the-cuff remarks, because it was ridiculous to believe that as loyal an American as ROCKEFELLER would be accused of being a communist.

- RUC -

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DATE 12-19-91 BY 9803 RDD/KSEDETAILS:

On September 12, 1974, R. HARRIS SMITH, author of the book OSS, A Secret History of America's First Central Intelligence Agency, was interviewed at [REDACTED], Emeryville, California. He recalled the statement in his book that General WALTER BEDELL SMITH once warned EISENHOWER that ROCKEFELLER was a communist. Mr. SMITH stated that this information came from a well-known journalist in Washington, D. C., whose name he did not wish to disclose. He advised that he would contact that individual and ask him to contact the FBI concerning this matter.

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Mr. SMITH stated that the incident when General SMITH, now deceased, warned the late President DWIGHT D. EISENHOWER that ROCKEFELLER was a communist took place at the beginning of the EISENHOWER administration when President EISENHOWER was considering making ROCKEFELLER Special Assistant to the President for Cold War Operations.

SF 161-2450
DWK:ert

General SMITH, who was then Director of the Central Intelligence Agency (CIA), objected and made the statement that ROCKEFELLER was a communist. The aforementioned journalist, who was then a member of the CIA, became concerned regarding this statement made by the Director of the CIA and threatened to quit, according to Mr. SMITH. He stated ALLEN DULLES, who was taking over as Director of the CIA, convinced the journalist to stay on and said that General SMITH did not mean it. Mr. SMITH advised that he put this quote in his book in order to show that General SMITH made irresponsible remarks, as it was ridiculous to make a statement that ROCKEFELLER, a man whose loyalty to the United States is unquestioned, was a communist.

Mr. SMITH stated he is now writing a biography of ALLEN DULLES and he has learned of other irresponsible, off-the-cuff statements by General SMITH, such as "World War III is to start tomorrow."

Mr. SMITH contacted the San Francisco Office of the FBI later on September 12, 1974. He stated that he had contacted his source, the previously mentioned journalist in Washington, D. C., who reiterated to him the information he had previously furnished. Mr. SMITH stated his source had requested that his identity be withheld and had advised he would not contact the FBI concerning this matter.

September 13, 1974

NELSON ALDRICH ROCKEFELLER

The report of Special Agent dated September 5, 1974, at Alexandria, Virginia, in this matter contains the results of an interview with , Major, United States Army. He furnished information that one Pierre De Villemarest, a French citizen, is writing a book on Soviet espionage in South America. Major advised that during a discussion in Paris, France, in June, 1974, Mr. De Villemarest stated that "Rockefeller was very highly involved with Soviet espionage activities in South America."

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In connection with the foregoing, information has been received that Pierre De Villemarest, a citizen of France who previously authored a book dealing with Soviet espionage in France, is currently preparing a multivolume work dealing with subversion in Latin America. One of these volumes will reportedly contain information alluding to both Governor Rockefeller and Secretary of State Henry A. Kissinger having been "soft" on the issue of eastern bloc nations in the past. (C) (U)

No information is available as to the contemplated date of publication of the aforementioned material. Mr. De Villemarest is reported to be a well-known writer who has long been interested in matters pertaining to espionage and subversion. Information has been received that he currently writes features for "Valeur Actuel," a weekly French publication, described as "right of center" in political orientation. (C) (U)

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Director Sec'y _____

OJA:dc

NOT RECORDED

24 JAN 7 1975

RETURN TO MR. AUERSWALD, ROOM 1258.

MAIL ROOM ☐

TELETYPE UNIT ☐

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN BUREAU	DATE 9/13/74	INVESTIGATIVE PERIOD 8/21/74 - 9/13/74
TITLE OF CASE NELSON ALDRICH ROCKEFELLER		REPORT MADE BY JOHN E. WARREN	TYPED BY ks
		CHARACTER OF CASE SPECIAL INQUIRY	

REFERENCE

New York report of SA JOHN E. WARREN, dated 9/6/74.

- P -

ADMINISTRATIVE

This report is being placed in a pending status inasmuch as the Financial Report in connection with the nominee has not been completed as of 9/6/74.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803
RDD/KSC

ACCOMPLISHMENTS CLAIMED						☐ NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR ☐ YES ☐ NO
								PENDING PROSECUTION OVER SIX MONTHS ☐ YES ☐ NO
APPROVED <i>[Signature]</i>						SPECIAL AGENT IN CHARGE		
COPIES MADE:						DO NOT WRITE IN SPACES BELOW		
⑥ - Bureau (161-6197)						161-6197-366		
1 - New York (161-2961)						RECORDED		
						14 JAN 2 1975		
						REC-100		
Dissemination Record of Attached Report						Notations		
Agency	3cc Ag, 1cc NY, 1cc W:K					<i>[Signature]</i>		
Request Recd.								
Date Fwd.	9-20-74							
How Fwd.								
JAN 14 1975								

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: JOHN E. WARREN
Date: 9/13/74

Office: New York, New York

Field Office File #: 161-2961

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis: Comments of New York State Assemblyman G. OLIVER KOPPELL, (D), 84th Assembly District, set forth. VICTOR GOTBAUM, American Federation of State, County and Municipal Workers, New York, New York, advised nominee "absolutely ruthless" in his desire to become President. GOTBAUM'S comments concerning nominee's handling of computerization of welfare payments set forth. Comments of JOSEPH RICHARDSON DILWORTH, Senior Financial Advisor, ROCKEFELLER Family, pertaining to VICTOR LASKY, author of book regarding ARTHUR J. GOLDBERG, set forth. [REDACTED] Attorney, New York, New York, advised he anticipates filing a civil suit in New York County Supreme Court and among defendants in this suit would be the nominee, along with STEPHEN GOTTLIEB, Commissioner, New York State Liquor Authority. Ownership of list of real property pertaining to nominee individually and as a Tenant in Common set forth. On 4/18/74, the judgment of the USDC, SDNY, pertaining to a suit by the inmates of Attica Prison against the nominee was affirmed by the United States Court of Appeals, Second Circuit.

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- P -

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 9803 RDD/KSR

NY 161-2961

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II. INTERVIEW OF LABOR LEADER	4
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NY 161-2961

I. INTERVIEW OF NEW YORK STATE ASSEMBLYMAN

On August 22, 1974, New York State Assemblyman G. OLIVER KOPPELL, Democrat, 84th Assembly District, advised Special Agent (SA) [REDACTED] that he has known the nominee on a political level since 1970. He stated that NELSON A. ROCKEFELLER is a man of extensive executive experience and since the nominee's reputation is a matter of such public scrutiny, he felt that his comments in this connection would be superfluous.

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KOPPELL stated that he is not aligned politically with the nominee and therefore has disagreed with many of the nominee's programs, such as the nominee's narcotics program, which would include rehabilitation of drug addicts and New York State's recent drug law. KOPPELL was also of the opinion that the nominee handled the Attica Prison riot badly, and he disagreed with the nominee's housing, transportation and prison reform programs. He concluded by stating that he could not comment further concerning the nominee since he was not personally acquainted with NELSON A. ROCKEFELLER.

JJD:mmz

1.

II. INTERVIEW OF LABOR LEADER

On September 9, 1974, VICTOR GOTBAUM, Executive Director, District Council #37, American Federation of State, County and Municipal Workers in New York, 140 Park Place, New York, New York, stated that he has been acquainted with NELSON ROCKEFELLER for the past ten years. He mentioned that he has had very little personal contact with ROCKEFELLER and his knowledge of ROCKEFELLER stems mainly from his involvement in labor matters in New York.

It was GOTBAUM's opinion that ROCKEFELLER is "absolutely ruthless" in his desire to become President of the United States. He states ROCKEFELLER wants to be President above everything else and would do just about anything to get the job.

He maintained that ROCKEFELLER believes in power politics and expects to get his own way when it comes to matters that concern him. GOTBAUM advised that recently there was an effort made by an appropriate New York City agency to computerize the payment of welfare checks to people in the New York City area. Shortly after this effort was taken by the City, New York State, under the leadership of Governor ROCKEFELLER, decided to computerize welfare payments on a State basis. GOTBAUM recalled that three bids were made and the computer contract was awarded to a Texas firm which was not the lowest bidder. GOTBAUM blames ROCKEFELLER for this and states that although he has no knowledge that the Governor did anything illegal, according to GOTBAUM the entire matter "smelled".

ROCKEFELLER then designated GEORGE BERLINGER, who was the New York State Welfare Inspector to conduct an independent investigation of the matter in order to determine if there was any misconduct on the part of anyone in awarding the contract. This, GOTBAUM stated, was a "joke" inasmuch as BERLINGER had been appointed to his job as Welfare Inspector by ROCKEFELLER. BERLINGER contacted GOTBAUM and told him that if he had any unfavorable information that he should come before the committee to testify. This GOTBAUM refused to do because he considered BERLINGER to be a "lackey" for ROCKEFELLER.

GOTBAUM said that in his opinion ROCKEFELLER has a brilliant mind, is intelligent and loyal to the United States. However, because of his great wealth and influence, he does not feel that such an individual should be made Vice President of the United States. He would not recommend him for the job.

NY 161-2961

III. MISCELLANEOUS INTERVIEWS

JOSEPH RICHARDSON DILWORTH, Senior Financial Advisor, ROCKEFELLER Family, Room 5600, 1 Rockefeller Plaza, New York, New York, was interviewed by Special Agents (SAs) JOSEPH E. HENEHAN and [redacted] at his place of employment on September 11, 1974.

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DILWORTH advised some time during the 1970 campaign of NELSON A. ROCKEFELLER for Governor for the State of New York he was asked by "someone to make contact in Pennsylvania so as to secure an individual to act as nominee for an unrecalled corporation". DILWORTH described "someone" as possibly an employee of Room 5600 who would possibly be either JOHN E. LOCKWOOD, an attorney with the firm of Milbank, Tweed, Hadley and McCloy, 1 Rockefeller Plaza, or ROBERT ORR, an attorney employed by Room 5600. As a result of this request, he contacted his uncle, RICHARDSON DILWORTH (now deceased), who was an attorney associated with a Philadelphia, Pennsylvania, law firm and also the former Mayor of Philadelphia, Pennsylvania, regarding the possible securing of a member of this law firm to act as nominee. DILWORTH could not recall the purpose for which the corporation was formed, nor could he recall the name of said corporation. DILWORTH denied knowing VICTOR LASKY, the author of a book regarding ARTHUR J. GOLDBERG, who was NELSON A. ROCKEFELLER'S opponent in the 1970 contest for Governor of the State of New York. DILWORTH denied knowing if the unrecalled corporation was to involve LASKY in any manner whatsoever.

DILWORTH advised he was familiar with JACK WELLS, an attorney with the law firm of Roger and Wells, Park Avenue, New York, New York. WELLS was a political advisor to NELSON A. ROCKEFELLER.

DILWORTH advised he was recently telephonically contacted by [redacted], an attorney associated with the law firm of his deceased uncle, RICHARDSON DILWORTH. [redacted] stated he had just been contacted by the Federal Bureau of Investigation regarding a corporation for which he [redacted] was nominee. DILWORTH stated he never personally met [redacted] and it was only because of this telephone call that he was aware that [redacted] was the individual who became nominee of the unrecalled corporation.

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NY 161-2961

DILWORTH concluded that he was not aware why the nominee of the unrecalled corporation was desired to be from Pennsylvania. DILWORTH denied knowing who, if anyone, financed this corporation. In addition, DILWORTH could not recall if he was ever a nominee of the unrecalled corporation, but it was his opinion that he was not since he has no recollection of same.

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NY 161-2961

On August 30, 1974, a letter, dated August 29, 1974, with twelve (12) pages of enclosures was received at the New York Office (NYO) of the Federal Bureau of Investigation (FBI). In essence, the letter, authored by [redacted], Attorney, 6 Clinton Street, New York, New York, set forth legalistic reasoning by [redacted] in support of his proposition that ROCKEFELLER, in appointing STEPHEN GOTTLIEB to the position of Commissioner of the New York State Liquor Authority in May, 1972, was feloniously guilty of violating two sections of the New York State Election Laws. In explanation of the above, it is noted that GOTTLIEB, in May, 1972, was engaged in a Democratic primary race with Assemblyman JOSEPH ZARETZKI for the right to run for the New York State Assembly in November, 1972. GOTTLIEB withdrew from the primary race on May 18, 1972, and on May 26, 1972, was appointed by ROCKEFELLER as a Commissioner of the New York State Liquor Authority.

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[redacted] alleged in his letter that the above facts circumstantially and reasonably set forth a prima facie case for presentation to a grand jury irregardless of any direct testimony from the parties involved. The author claimed that he sent copies of his letter, with enclosures, to every member of the House Judiciary Committee and Senate Rules Committee.

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With respect to the above, [redacted], supra, advised SA [redacted] on September 10, 1974, that he anticipated filing a civil suit in New York County Supreme Court, New York, New York, claiming (as a taxpayer and citizen of New York State) that ROCKEFELLER's appointee, STEPHEN GOTTLIEB, Commissioner of the New York State Liquor Authority, should return any and all salaries paid to him by New York State inasmuch as his appointment by former Governor ROCKEFELLER, in May, 1972, was illegal as said appointment violated Section 440 (4) and 448 (1) of the New York State Election Laws. He advised that he desired to file his suit within

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the next two or three weeks and party defendants would include the nominee, STEPHEN GOTTLIEB and New York State Assemblyman JOSEPH ZARETZKI. He advised that assuming ROCKEFELLER is passed upon by Congress and sworn in as Vice President he would continue with his suit nevertheless. In addition to the aforementioned suit, [] advised he anticipated filing an additional suit charging New York County District Attorney RICHARD KUH with official neglect of duty in that he failed to take any positive action vis-a-vis the ROCKEFELLER, GOTTLIEB, ZARETZKI situation when same was brought to his attention.

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[] stated that he was not personally acquainted with the nominee and that his reason for taking the above described course of action is because "it is galling to see this sort of thing going on in Government." He advised he had no desire whatsoever to see NELSON A. ROCKEFELLER become Vice President.

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Included in []'s enclosures were Xerox copies of the pertinent provisions of the New York State Election Laws:

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Section 440. Giving consideration for franchise

Any person who directly or indirectly, by himself or through any other person:

- (4). Makes any gift, loan, promise, offer, procurement or agreement as aforesaid to, for or with any person to induce such person to procure or endeavor to procure the election of any person or the vote of, any voter at any election; or

Section 448. Corrupt use of position or authority

Any person who:

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3.

NY 161-2961

- (1). While holding public office, or being nominated or seeking a nomination or appointment therefore, corruptly uses or promises to use, directly or indirectly, any official authority or influence possessed or anticipated, in the way of conferring upon any person, or in order to secure, or aid any person in securing, any office or public employment or any nomination, confirmation, promotion or increase of salary, upon consideration that the vote or political influence or action of the person so to be benefited or of any other person, shall be given or used in behalf of any candidate, officer or party or upon any other corrupt condition or consideration; or

NY 161-2961

IV. MISCELLANEOUS

a. List of Real Property

On September 9, 1974, SAMUEL H. GILLESPIE III, Law Firm of Milbank, Tweed, Hadley and McCloy, Midtown Office, 1 Rockefeller Plaza, New York, New York, made available an additional list of real property owned by NELSON A. ROCKEFELLER and also property held as tenant in common with JOHN D. ROCKEFELLER III, LAURANCE S. ROCKEFELLER, and DAVID ROCKEFELLER.

Individually owned property,
Town of Mount Pleasant, New York

146 Union Avenue, Tarrytown Crest, Tarrytown, New York. Acquired from Tarrytown Homes, Incorporated, by deed dated October 14, 1949, recorded Liber 4791, Page 223, October 19, 1949, Westchester County.

"Lodge" property (98.616 acres), Town of Mount Pleasant, New York. Acquired from The Hills Realty Company, Incorporated, and JOHN D. ROCKEFELLER, JR., by deed dated July 17, 1959, recorded July 21, 1959, Liber 5930, Page 280, Westchester County.

Property adjacent to the "Lodge" property (17.525 acres). Acquired from The Hills Realty Company, Incorporated, by deed dated February 25, 1966, recorded March 31, 1966, Liber 6601, Page 220, Westchester County.

586 and 592 Bedford Road (2.648 acres), Town of Mount Pleasant, New York. Acquired from The Hills Realty Company, Incorporated, by deed dated April 1, 1972, recorded April 10, 1972, Liber 7049, Page 427, Westchester County.

Property Held as Tenant in Common with
JOHN D. ROCKEFELLER III, LAURANCE S.
ROCKEFELLER and DAVID ROCKEFELLER

Kykuit Park Property and Moore Property (249 acres). Acquired from The Hills Realty Company, Incorporated, by deed dated July 28, 1954, recorded July 29, 1954, Liber 5356, Page 141, Westchester County.

NY 161-2961

A portion of NELSON A. ROCKEFELLER'S undivided interest in the above properties was conveyed to JOHN D. ROCKEFELLER III by deed dated December 28, 1972, recorded Liber 7118, Page 98, Westchester County.

County House Road Property, North Tarrytown, New York. Acquired by deed dated March 5, 1957, from The Incorporated Village of North Tarrytown, recorded March 12, 1957, Liber 5681, Page 29, Westchester County. (Correction deed dated June 20, 1957, recorded June 28, 1957, Liber 5713, Page 379, Westchester County.)

Property East of Sleepy Hollow Road (1.064 acres), Town of Mount Pleasant, New York. Acquired by deed from Estate of JOHN D. ROCKEFELLER, JR., dated (?), recorded (?). Interest of WINTHROP ROCKEFELLER in above property acquired by the four brothers by deed dated (?), recorded (?).

Parcel of 15.110 acres, Town of Mount Pleasant, New York. Acquired from The Hills Realty Company, Incorporated, by deed dated December 30, 1969, recorded January 23, 1970, Liber 6907, Page 98, Westchester County.

Westchester County Properties (2155.340 acres, including two small parcels described in next item), New York. Acquired from The Hills Realty Company, Incorporated, by deed dated December 11, 1972, recorded December 12, 1972, Liber 7098, Page 239, Westchester County.

Two small parcels, North Tarrytown, New York. Acquired from The Hills Realty Company, Incorporated, by deed dated December 12, 1972, recorded December 12, 1972, Liber 7098, Page 217, Westchester County.

Lot Number 28, Town of Mount Pleasant, New York. Acquired from The Hills Realty Company, Incorporated, by deed dated November 28, 1972, recorded December 6, 1972, Liber 7097, Page 128, Westchester County.

Lot Number 31, Town of Mount Pleasant, New York, 44 Willard Avenue. Acquired from The Hills Realty Company, Incorporated, by deed dated June 29, 1972, recorded July 24, 1972, Liber 7070, Page 13, Westchester County.

NY 161-2961

Lot Number 11, Town of Mount Pleasant, New York. Acquired from The Hills Realty Company, Incorporated, by deed dated November 28, 1972, recorded December 6, 1972, Liber 7097, Page 135, Westchester County.

In addition to the above property, Mr. GILLESPIE made available information pertaining to the ownership of two properties which are known as "Parke-Bernet Property" and the "Moorestown Property".

The nominee obtained an undivided 25 percent interest in the Parke-Bernet Property as a tenant in common in a leasehold interest in the land and a fee interest in the improvements on the land from The Hills Realty Company in December, 1972. JOHN D. ROCKEFELLER III, NELSON A. ROCKEFELLER, LAURANCE S. ROCKEFELLER and DAVID ROCKEFELLER became tenants in common, and the above Assignment was recorded on December 12, 1972, in the Office of the City Register, New York County.

Mr. ROCKEFELLER obtained an undivided 25 percent interest in the Moorestown Property as a tenant in common in an undivided 80 percent fee interest in the land and improvements at the Moorestown Property by Deed, dated December 5, 1972, from Hills to Messrs. ROCKEFELLER, which was recorded in the Office of the Clerk of Burlington County on December 12, 1972, in Book 1825 of Deeds, folio 708. Mr. ROCKEFELLER obtained his undivided 25 percent interest in connection with the liquidation of Hills on December 5, 1972.

Hills obtained its undivided 80 percent fee interest in the land and improvements at the Moorestown Property by Deed, dated October 11, 1966, from 981 Madison Avenue Corporation to Hills, which was recorded on October 17, 1966, in the Clerk's Office of Burlington County, at Mount Holly, New Jersey, in Book 1627 of Deeds, folio 765.

The Moorestown Property is presently subject to the Lease, dated October 11, 1966, between the Messrs. ROCKEFELLER and 981 Madison Avenue Corporation (formerly Moorestown Center, Incorporated) as Landlord, and N.K. Winston Oklahoma Corporation (formerly Moorestown Management, Incorporated) as tenant.

NY 161-2961

A review of deeds at the Division of Land Records, County Clerk's Office, White Plains, New York, on September 10, 1974, by Special Agent (SA) [redacted] confirmed all transactions as noted above with the exception of the "property east of Sleepy Hollow Road (1.064 acres), Town of Mount Pleasant, New York". It is noted that no Liber reference was furnished with regard to this parcel of land.

b6
b7C

On September 10, 1974, [redacted] Tax Assessor, Town of Mount Pleasant, advised SA [redacted] that his records indicate that when JOHN D. ROCKEFELLER, JR. died in 1960 he conveyed this property to JOHN D. ROCKEFELLER III, LAURANCE S. ROCKEFELLER, DAVID ROCKEFELLER and NELSON A. ROCKEFELLER in equal shares by transfer through his will. Mr. SHAEFFER could not furnish the Liber reference where this was recorded, and said that in some instances deeds were not recorded in the Division of Land Records and that this was probably one of them. He noted that the property was originally purchased from the City of New York by JOHN D. ROCKEFELLER, JR. and this transaction was recorded in Liber 5926, page 353.

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b7C

Liber 5926, page 353, indicated that this property was purchased from the City of New York by JOHN D. ROCKEFELLER JR. at public auction at a cost of \$7,550, which was the highest bid on June 16, 1959.

None of the above properties in New York State were encumbered with any covenants pertaining to race, color, creed or religion.

NY 161-2961

On September 10, 1974, [redacted], Mortgage Examiner, City Register, City of New York, New York City, advised Special Agent [redacted] that records of their office contain the following information:

b6
b7C

The "Parke-Bernet Property" at 974-990 Madison Avenue, New York, New York, is listed under Block 1391, Lot 14, with owner as [redacted] (Trustee), [redacted]
[redacted], New Jersey.

b6
b7C

The above property had no encumbrances or covenants.

NY 161-2961

b. Records, United States Court of Appeals

Records
United States Court of Appeals,
Second Circuit
New York, New York

On September 9, 1974, [] stated that their records reflected under docket number 72-1450 in the case entitled "Inmates of Attica Prison versus Governor NELSON ROCKEFELLER Et Al" that the argument of appeal was heard on March 21, 1972. On April 18, 1972 the judgment of the United States District Court, Southern District of New York was affirmed.

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b7C

10
15
Assistant Director in Charge, New York
(161-2961) (Attention: SA Joseph E. Henehan)

September 13, 1974

Director, FBI

NELSON A. ROCKEFELLER
SPECIAL INQUIRY (REVIEW OF FINANCES)

Re New York Telecopier call 9/13/74.

Referenced Telecopier call requested preparation of two graphic charts presenting information concerning Mr. Rockefeller's finances. Enclosed are 11 copies each of two charts as requested per referenced Telecopier call.

Enclosures (22)

1 - Special Investigative Division (MR. AUERSWALD) (SENT DIRECT)

EPA
EPA (4) aeh

25 DA

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

REC-73

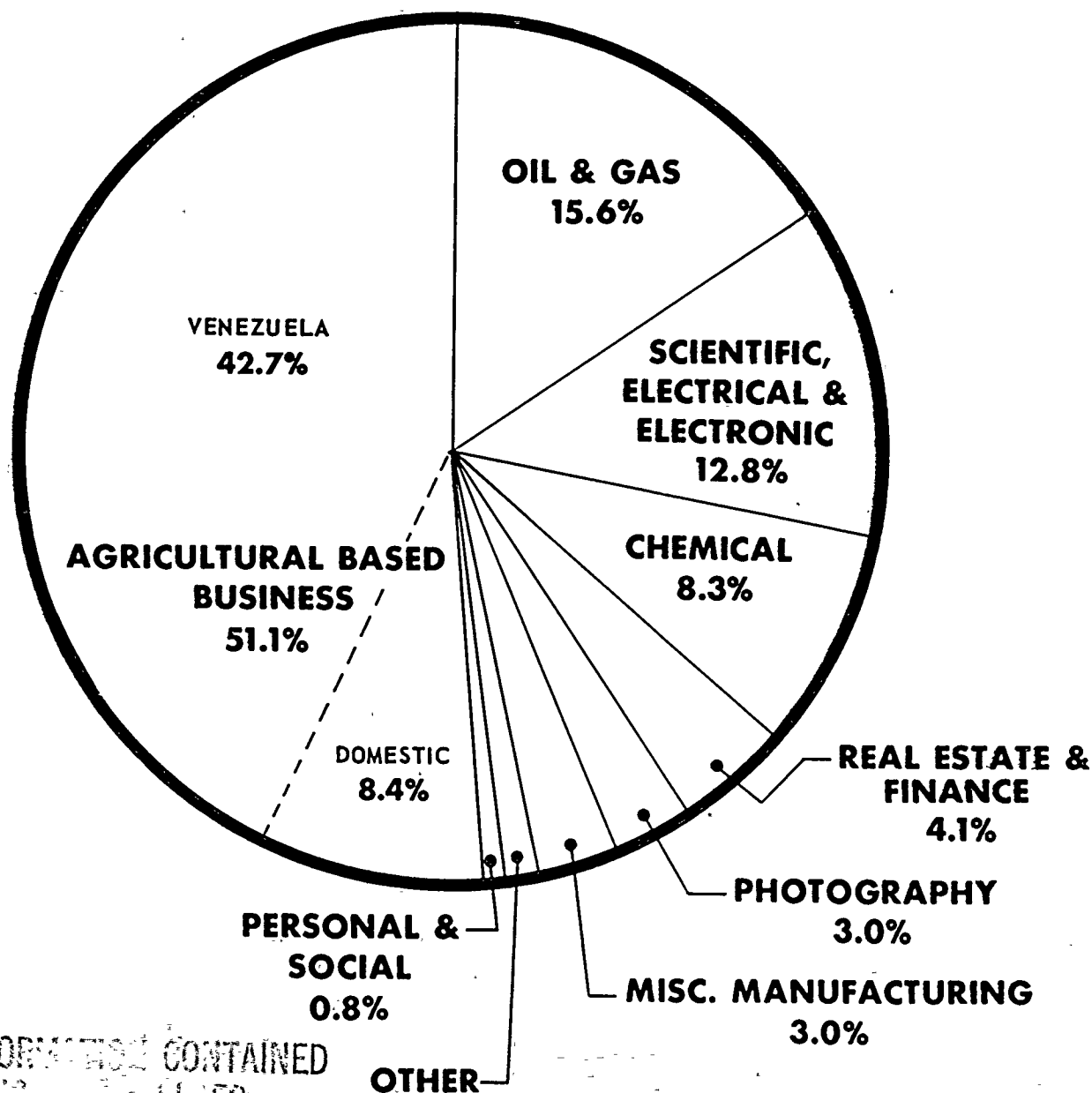
EX 104 161-6197-39
161-6197-366X
SEP 16 1974

MAILED 3
SEP 13 1974
4-FBI

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MAIL ROOM ☒ TELETYPE UNIT ☐

**NELSON A. and MARGARETTA ROCKEFELLER
NATURE OF SECURITIES OWNED DIRECTLY
AS OF AUGUST 23, 1974**



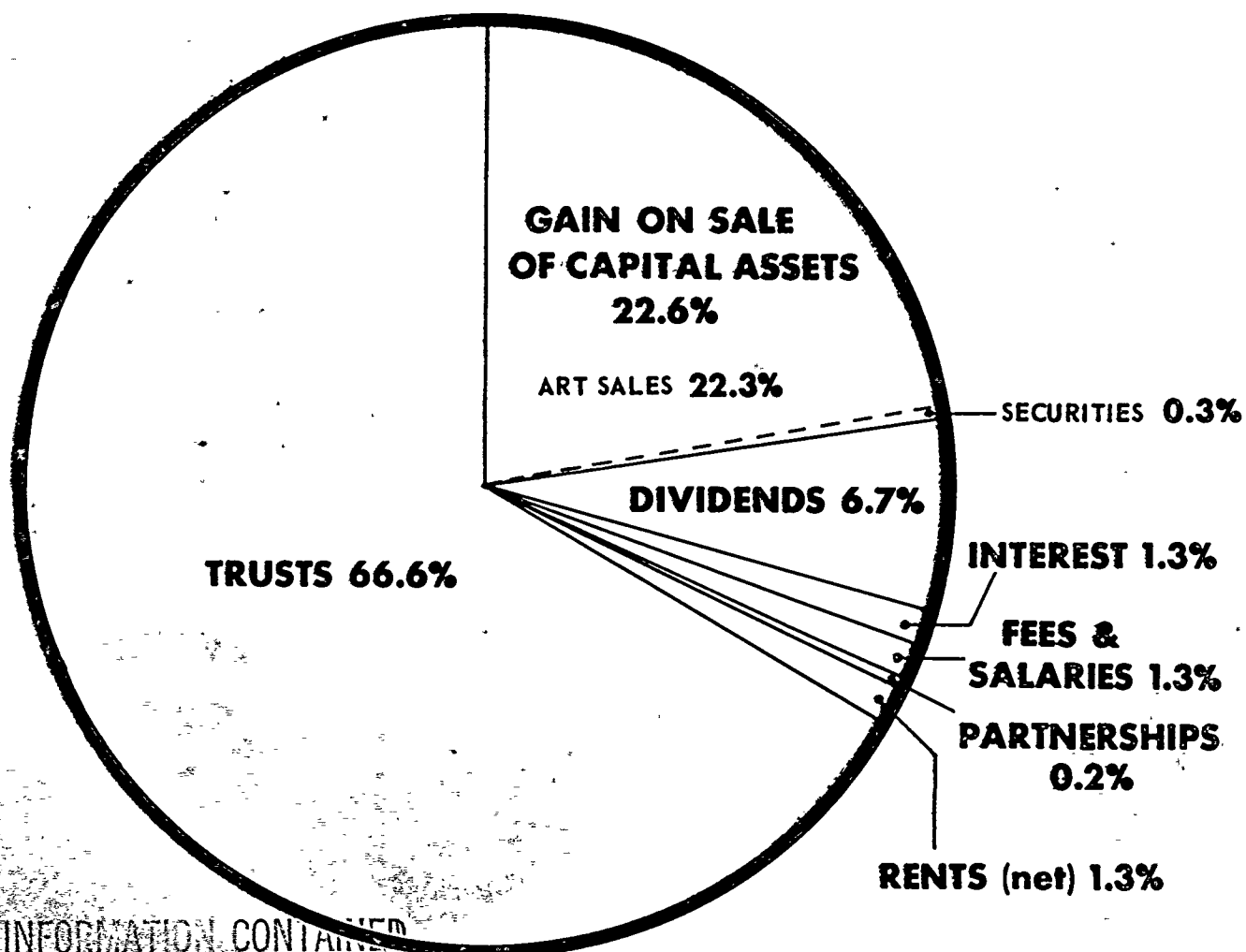
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NELSON A. and MARGARETTA ROCKEFELLER
SOURCES OF INCOME
1973



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HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

ENCLOSURE

161-6197-29

3667

F B I

Date: 9/14/74

Transmit the following in _____
(Type in plaintext or code)Via AIRTEL _____
(Priority)

TO: DIRECTOR, FBI
(ATT: MOVEMENT UNIT)

FROM: SAC, NEW YORK (161-2961)

SUBJECT: NELSON A. ROCKEFELLER
SPECIAL INQUIRY
(REVIEW OF FINANCES)

This is to advise the Bureau that SA [redacted] will depart NYC at 5:15 p.m., 9/14/74, returning to his headquarters city, Houston, Texas. SA [redacted] is the first accountant to return to his normal assignment from the group of seven assigned to financial review.

The Bureau will be promptly advised when any other accountants are returned to their normal assignments.

- 2 - Bureau
- 1 - Houston
- 1 - New York

JEH:ecs
(4)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

161-6197-367
NOT RECORDED

14 JAN 2 '75

THREE
Spec. Inq.

Approved: JFM/12
58 JAN 14 1975 Special Agent in Charge

Sent _____ M Per _____

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

Assoc. Dir.	_____
Dep. A.D.-Adm.	_____
Dep. A.D.-Inv.	_____
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FTB013 124PM 9/14/74 JTG

STATE 622

SEP 14 1974

TELETYPE

11:15 AM URGENT 9/14/74 JST

TO DIRECTOR (161-6197) NR 125-14

FROM LEGAT CARACAS (161-1) 1P

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY.

REBUTEL SEPTEMBER 13, 1974.

VENEZUELAN TAX RECORDS FOR ROCKEFELLER HOLDINGS ARE LOCATED IN LOCAL OFFICES AT CARACAS, MARACAY, VALENCIA, AND BARQUISIMETO. ABNORMALITIES IN FILING PROCEDURES HAVE BEEN DEVELOPED AND IT IS NOT YET POSSIBLE TO SAY WHETHER THESE PROBLEMS ARE INTERNAL WITH THE TAX AUTHORITIES OR WHETHER THEY MAY REFLECT ON ROCKEFELLER BUSINESS OPERATIONS.

VENEZUELAN AUTHORITIES HANDLING INQUIRY HAD STILL NOT BEEN SUCCESSFUL AS OF CLOSE OF BUSINESS ON FRIDAY, SEPTEMBER 13, 1974, IN LOCATING ALL THE FILES REQUIRED TO COMPLETE INVESTIGATION. WHAT STARTED OUT AS A ROUTINE INQUIRY IS NOW CAUSE OF CONSIDERABLE CONSTERNATION TO COOPERATING OFFICIALS. LEGAT HAS BEEN PROMISED A REPORT ON EVERY DAY SINCE LAST WEDNESDAY AND THE LATEST PROMISE IS FOR MONDAY AFTERNOON, SEPTEMBER 16, 1974.

URGENCY OF MATTER HAS BEEN EXPLAINED IN DETAIL AND IT IS FELT ALL POSSIBLE EFFORT IS BEING EXPENDED BY INVESTIGATING OFFICERS. LEGAT WILL FOLLOW AND REPORT.

END

JRM FTB

RELAYED TO NY.

JOE HENEHAN ADVISED 9/14/74

REC-100

RECORDED

14 JAN 2 1975

9/14

Airtel

9-16-74

To: SAC, Washington Field (161-5674) - Enc.

From: Director, FBI (161-6197)

NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

ReBucal today.

Attached is a copy of a letter received by the
Bureau from Captain [] dated 9-7-74.

Make a check of the files of the Library of
Congress for pertinent information concerning Rockefeller
which may appear in the six books mentioned by [] in his
letter.

Handle immediately and advise.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSL

161-6197-369

NOT RECORDED

74 DEC 31 1974

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OJA:dcm
(4)

MAILED 7
SEP 16 1974
FBI

RETURN TO MR. AUERSWALD, ROOM 1258.

MAIL ROOM 1975 TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WVC*

FROM : O. E. Coleman *OEC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-16-74

ALL INFORMATION CONTAINED
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DATE 12-19-91 BY 9803 RDD/KSP

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On late Friday, Samuel Gillespie, nominee's attorney, advised that as a result of a conference with Senator Howard W. Cannon, Senate Committee on Rules and Administration, "various marching orders were issued." Cannon apparently raised some objections to the former presentation of the nominee's Statement of Net Worth and the Rockefeller staff were revising the Statement. There will be two significant changes. The Art and Real Estate valuations that were deducted from the nominee's net worth as a result of their being pledged to charitable organizations at the time of his death will not be deducted. Also the \$2½ million deducted for gift tax for recent gifts to his children will not be deducted as the trust number one will pay this, resulting in it not being a liability of the nominee. In addition certain "minor valuation changes" will be made. It is interesting to note that each of the significant changes required by Senator Cannon were previously brought to the attention of the nominee's staff by the FBI and they were advised we were qualifying our report as a result of these items. They had initially advised it was the nominee's Statement and he had the right to present it as he wanted to. It is anticipated that the net worth will increase a minimum of \$21 million but as yet we have not received any information with regard to the valuation for the Art and this item could increase substantially.

Gillespie advised that their deadline for preparing the revised Statement was today (Monday) and they "hoped" to have the nominee review it Tuesday a.m., after which they would furnish a copy to the FBI. Gillespie was asked if he could give a rough draft copy to us as soon as it was ready so as we could incorporate same into our report which was already being assembled, under the condition that if the nominee raises or makes any changes we would return it and accept a new one thereafter. Gillespie advised he was very interested in our expediting the preparation of the FBI report and felt certain his boss, Mr. Jackson, would agree to furnishing us a rough draft copy of the revised Statement prior to approval by the nominee.

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Cleveland

1 - Mr. Gebhardt
1 - Mr. Coleman

14 DEC 31 1974

JEL:dmc (6)
50 JAN 4 1975

CONTINUED - OVER

Memorandum to Mr. Cleveland
RE: NELSON ALDRICH ROCKEFELLER

All typing was completed over the weekend. The report is being assembled this morning. We are awaiting the revised Statement of Net Worth and we have a proforma insert already prepared which will show the initial Statement received 8-28-74, adjustments, and the revised Statement received 9/16 or 17/74. There are also a number of minor items still pending that we hope to resolve today with investigative reporting being expeditiously typed and inserted. One minor problem developed this morning as we were advised an additional trust was located in Philadelphia for Mrs. Rockefeller. We had previously been advised of two trusts. However, Gillespie advised this morning a third Philadelphia trust had been located. This appears to be a minor item and a detailed review will not be set forth. We plan to add a paragraph to our investigative insert for Trusts, setting forth the available details for this new trust and the fact that we were advised of this information today. There does not appear to be any justification for a detailed audit.

If all goes as currently planned, we would hope to have a report in final form by close of business Tuesday, 9-17-74.

Also on late Friday a call was received from Gary Romar, Senate Rules Committee, who advised he was handling the review of campaign finances for the Congressional group reviewing the nominee's finances. He wanted to know if his group could have a copy of the overall FBI report for reviewing of the nominee's finances as well as access to the underlying work papers for the review of campaign finances. He stated his purpose was to avoid duplication as well as to coordinate with the FBI the work being performed. After contact with FBIHQ Romar was recontacted and advised that he would have to contact the Deputy Attorney General's Office with his request.

There are currently six Special Agent Accountants working on this matter as SA [redacted] returned to Houston, his office of assignment, on Saturday evening. As soon as the work load permits, accountants will be released with those on per diem going first. The Bureau will be advised. It is hoped that we would be able to leave by close of business Tuesday or close of business Wednesday depending on the receipt of the revised Statement and the lack of any problems contained therein. b6 b7c

ACTION:

For information.

K nelson jma WVC

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 16 1974

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Director's Sec'y	_____

NR 028 NY CODE

9:25 P.M. URGENT 9-16-74 PLQ

TO DIRECTOR (161-6197)

PHILADELPHIA (161-1373)

FROM NEW YORK (161-2961) (P)

NELSON ALDRICH ROCKEFELLER, SPI (REVIEW OF FINANCES)

REPHNITEL TO BUREAU AND NEW YORK DATED SEPTEMBER 11, 1974.

ATTORNEY FOR NOMINEE ADVISED THIS DATE APPROVAL HAS BEEN
OBTAINED FOR FBI PERSONNEL TO REVIEW RECORDS OF PREVIOUSLY
DESCRIBED TRUST WHICH HAS BEEN FURTHER IDENTIFIED AS NO. 02-037-
350, AT FIRST PENNSYLVANIA BANKING AND TRUST COMPANY,
PACKARD BUILDING, 15TH AND CHESTNUT, PHILADELPHIA, PENNSYLVANIA,
ATTENTION [REDACTED], SR. V.P., TURST DEPARTMENT.

LEAD

PHILADELPHIA

AT PHILADELPHIA, PENNSYLVANIA WILL AT THE FIRST
PENNSYLVANIA BANKING AND TRUST COMPANY, COVER LEAD SET FORTH
IN NYTELETYPE TO BUREAU AND PHILADELPHIA DATED SEPTEMBER , 1974,
SUTEL NEW YORK OFFICE RESULTS BY NOON SEPTEMBER 17? 1974.

END

K

Mr. Coleman
Room 246

161-6197-371

NOT RECORDED

14 JAN 2 1975

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 9803 RDD/jcsr

58 JAN 4 1975

September 16, 1974

AIRMAIL

REC-100

161-6197-372

Angell

Captain [redacted]

Federal Republic of Germany

Dear Captain [redacted]:

This is to acknowledge receipt of your letter of September 7th enclosing a copy of "None Dare Call It Conspiracy."

Sincerely yours,

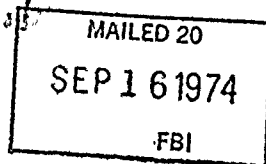
C. M. Kelley

Clarence M. Kelley
Director

NOTE: Bufiles contain two prior letters from [redacted] which were not acknowledged in view of his strongly worded opposition . . . to Mr. Hoover's views on the subject of gun control. He has previously identified himself as a pilot for Pan American World Airways, stationed in Germany. It would appear to serve no useful purpose in commenting on his remarks regarding Vice presidential nominee, Rockefeller.

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Director Sec'y _____

mhb:vb (3)



ALL INFORMATION CONTAINED
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DATE 10/19/83 BY SP1 GSK/DMF

12-19-91 9803 RDD/KSR

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 Director Sec'y _____

Sept 7, 1974

Director,
 Federal Bureau Of Investigation,
 Washington, D.C.

Gentlemen:

In your investigation of the background of Nelson Rockefeller as potential Vice President of The United States, I am certain that you desire to retain the clean record of the FBI (only slightly be-smirched by Nixon). It is vital that you do not miss anything in your investigation of Mr Rockefeller. You and the House Judiciary Committee have an enormous responsibility, because Mr Rockefeller is dangerous to our Nation. Therefore please obtain and read the following books which vitally concern your present research on this man. (I enclose one book with this letter--"None Dare Call It Conspiracy" by Gary Allen, Belmont Publ Co., Belmont, Mass.) The next book was written by a former member of the FBI, Mr. W. Cleon Skousen, a professor now at Brigham Young University- his book is:-

1. THE NAKED CAPITALIST, W. Cleon Skousen , \$2.00. 2197 Berkely St , Salt Lake City Utah 84109
2. Tragedy & Hope by Dr Carroll Quigley
 (Tragedy & Hope- A History Of The World In Our Time) publ by The MacMillan Co, NY& Collier-MacMillan Ltd, London.
3. DAVID- A Report On A Rockefeller, Wm Hoffman, Lyle Stuart, NY
4. The Federal Reserve Bank , H.S. Kenan, The Noontide Press box 76052, Los Angeles, Cal 90005 \$1.00
 (A VERY revealing book) IMPORTANT REC-100 161-6177-372
5. The Capitalist Conspiracy, Edward Griffin, 4 P.O. Box 11365 Thousand Oaks, Cal. 91360
6. The News Twisters , Edith Efron, Nash Publishing, Los Angeles

Please include the information from these books in your investigation of Nelson Rockefeller, and I hope you will give him a thumbs down.

Sincerely yours

CORRESPONDENCE

ack
 nhb: vb
 9-16-74

[ENCLOSURE]

ENCL. BEING FILE

City abroad

Captain

WHAT THOSE "IN THE KNOW" SAY ABOUT NONE DARE CALL IT CONSPIRACY

I wish that every citizen of every country in the free world and every slave behind the Iron Curtain might read this book.

Ezra Taft Benson
Former Secretary of Agriculture

NDCC is an admirable job of amassing information to prove that communism is socialism and socialism (a plot to enslave the world) is not a movement of the downtrodden but a scheme supported and directed by the wealthiest of people.

If enough Americans read and act upon NDCC, they really can save the Republic from the conspirators—whose plans for the destruction of our country are galloping fast toward completion.

Dan Smoot
Former Assistant to J. Edgar Hoover

Now that NDCC is available, I no longer need to answer "no" to the question which is often put to me, namely: "Mr. Dodd, is there a book which I can read so I can know what you know?" No higher praise is possible for this book.

Norman Dodd
Chief Investigator
Reece Committee to Investigate Foundations

This book concerns the way in which our nation and other nations are actually governed. As Benjamin Disraeli said, this is not the way in which most people think nations are governed. The whole subject of the Insiders who so largely control our political and economic lives is a fascinating mystery.

For the reader who is intelligent but uninitiated in the literature of superpolitics, I can think of no better introduction to the field than NDCC.

Dr. Medford Evans
Former Chief of Security for the
Atom Bomb Project

Since people of the Jewish faith have been the number one historical victims of the Communist Conspiracy, we wish every member of our faith would carefully read this book so they will become aware of the forces which often attempt to manipulate them.

Dr. Barney Finkel
President, The Jewish Right

Whatever one dares to call the apparatus described and documented in this book, he will ignore it at his peril. 1972 may well be our last chance to defuse this destructive device. This book tells you how you can expose and demolish it.

Dean Clarence E. Manion
Former Dean Notre Dame Law School

NONE DARE CALL IT CONSPIRACY

Gary Allen

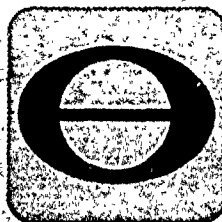
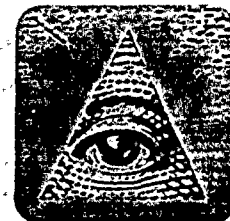
\$100

NONE DARE CALL IT CONSPIRACY

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED BY GARY ALLEN

DATE 12-19-91 BY WIT/CLARRY ABRAHAM



Introduction by Congressman JOHN G. SCHMITZ

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 17 1974

TELETYPE

NR029 NY CODE

10:38PM URGENT 9-17-74 PLQ

TO DIRECTOR (161-6197)

PHILADELPHIA (161-1375)

FROM NEW YORK (161-2961)

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY.

REFERENCE NEW YORK TELEPHONE CALL TO PHILADELPHIA,
SEPTEMBER 17, 1974.

IN CONNECTION WITH NEW YORK'S TELEPHONIC REQUEST TO
PHILADELPHIA OFFICE TO INTERVIEW [REDACTED], THE FOLLOWING
BACKGROUND INFORMATION IS BEING SET FORTH:

DURING 1970 GUBERNATORIAL CAMPAIGN BETWEEN NELSON A.
ROCKEFELLER AND ARTHUR J. GOLDBERG, BOOK WAS WRITTEN BY VICTOR
LASKY ENTITLED: "ARTHUR J. GOLDBERG, THE OLD AND THE NEW". IT
WAS PRINTED BY ARLINGTON HOUSE, NEW YORK ROCHELLE, NEW YORK, AND
ACCORDING TO ARTHUR J. GOLDBERG THIS BOOK WAS LIBELOUS AND
ASSERTIONS CONTAINED THEREIN WERE COMPLETELY UNFOUNDED. PHILADEL-
PHIA SHOULD BEAR IN MIND THAT INFORMATION OBTAINED FROM
GOLDBERG DURING INTERVIEW SHOULD NOT BE DIVULGED AND NO OBLIGA-
TION OF POLITICAL BACKING SHOULD BE IMPLIED.

JOHN WELLS, SENIOR PARTNER, ROGERS AND WELLS, NEW YORK
CITY, ADVISED HE AND VICTOR LASKY HAD GOTTEN TOGETHER DURING

Mr. Tolson	_____
Mr. DeLoach	_____
Mr. Mohr	_____
Mr. Bishop	_____
Mr. Casper	_____
Mr. Callahan	_____
Mr. Conrad	_____
Mr. Felt	_____
Mr. Gale	_____
Mr. Rosen	_____
Mr. Sullivan	_____
Mr. Tavel	_____
Mr. Trotter	_____
Tele. Room	_____
Mr. Holmes	_____
Miss Gandy	_____

Mr. Coleman
Room 1246

[Handwritten signature]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

58 JAN 14 1975

DATE 12-19-91 BY 9803

RDB/KSR

14 DEC 31 1974

161-6197-373

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[Handwritten initials]

NY 161-2961

PAGE TWO

1970 AND TALKED OF ORGANIZING AND WRITING AFOREMENTIONED BOOK. CORPORATION WAS SET UP IN STATE OF DELAWARE CALLED LITERARY PUBLICATIONS, INCORPORATED. WELLS APPROACHED NOMINEE IN CONNECTION WITH OBTAINING FINANCIAL BACKING FOR BOOK, AND NOMINEE MADE NO COMMENTS OR ANY COMMITMENTS WHATSOEVER CONCERNING PROPOSED PUBLICATION.

WELLS FURTHER STATED THAT ONE ROBERT ORR, RETAINED COUNSEL FOR ROCKEFELLER FAMILY, OBTAINED BACKING FOR THIS BOOK FROM ONE [REDACTED], ATTORNEY IN LAW FIRM OF DILWORTH, PAXSON, KALISH, KOHN AND DILKS, PHILADELPHIA. WELLS STATED [REDACTED] PURCHASED 600 SHARES OF AFOREMENTIONED CORPORATION VALUED AT \$60,000. ACCORDING TO WELLS, [REDACTED] RECEIVED ONLY \$3,000 IN RETURN ON HIS ORIGINAL INVESTMENT DUE TO POOR SALES OF BOOK.

ROBERT ORR, UPPER BLACK EDDY, PENNSYLVANIA, FORMER FULL TIME ATTORNEY WITH ROCKEFELLER FAMILY, ADVISED THAT LAURANCE ROCKEFELLER, NOMINEE'S BROTHER, PROVIDED CAPITAL FOR FINANCING OF PUBLICATION OF GOLDBERG BOOK AND THAT IT WAS ORR'S BELIEF THAT JOSEPH RICHARDSON DILWORTH, ACTING ON BEHALF OF LAURANCE ROCKEFELLER, HAD [REDACTED] ACT AS HIS (DILWORTH'S) AGENT IN PURCHASE OF ALL OF STOCK OF NEWLY FORMED LITERARY PRODUCTIONS,

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NY 161-2961

PAGE THREE

INCORPORATED. ORR DID NOT KNOW IF NOMINEE WAS AWARE THAT HIS BROTHER HAD PROVIDED FINANCIAL BACKING FOR THIS CORPORATION.

JOSEPH RICHARDSON DILWORTH, SENIOR FINANCIAL ADVISOR, ROCKEFELLER FAMILY, ADVISED THAT DURING 1970 CAMPAIGN OF NOMINEE FOR GOVERNOR HE WAS ASKED BY "SOMEONE TO MAKE CONTACT IN PENNSYLVANIA SO AS TO SECURE AN INDIVIDUAL TO ACT AS NOMINEE FOR AN UNRECALLED CORPORATION". AS RESULT OF THIS REQUEST, DILWORTH CONTACTED HIS UNCLE, THE LATE RICHARDSON DILWORTH, REGARDING POSSIBLE SECURING OF MEMBER OF HIS LAW FIRM TO ACT AS AGENT. DILWORTH DENIED KNOWING VICTOR LASKY AND DENIED KNOWING IF UNRECALLED CORPORATION WAS TO INVOLVE LASKY IN ANY MANNER WHATSOEVER.

PHILADELPHIA, AS PREVIOUSLY REQUESTED BY REFERENCED TELEPHONE CALL, LOCATE AND INTERVIEW [REDACTED] REGARDING ABOVE ALLEGATION.

END

PLS HOLD

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1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt
1 - Mr. Cleveland

1 - Mr. Coleman
1 - Mr. Young
1 - Mr. Auerswald

The Attorney General

September 17, 1974

Director, FBI

NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

Reference is made to my memoranda dated September 3, 1974, and September 10, 1974, which furnished you the results of investigation thus far concerning Nelson Aldrich Rockefeller, former Governor of New York and Vice President Designate.

Enclosed herewith is a copy of a letter dated September 9, 1974, directed to me by Mr. [REDACTED], an attorney in Dallas, Texas.

It is noted that information concerning Mr. [REDACTED] as well as samples of his correspondence was included in the results of the investigation of Governor Rockefeller. No further action is being taken by this Bureau concerning Mr. [REDACTED]'s letter.

This is being furnished for your information. The investigation of Governor Rockefeller is continuing, the results of which will be furnished to you as quickly as possible.

Enclosure

1 - The Deputy Attorney General - Enclosure

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.: _____
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. _____
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Spec. Inv. _____
Training _____
Telephone Rm. _____
Director Sec'y _____

DHY:dc:dcmb
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MAILED 2
SEP 17 1974
FBI

MAIL ROOM ☐ TELETYPE UNIT ☐

RETURN TO MR. AUERSWALD,
ROOM 1258.

NOT RECORDED

DEC 31 1974

DATE 12-19-91 BY 9803 RDD/KCS

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1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt
1 - Mr. Cleveland
1 - Mr. Coleman
1 - Mr. Young
1 - Mr. Auerswald

September 17, 1974

BY LIAISON

General Alexander M. Haig, Jr.
The White House
Washington, D. C.

Dear General Haig:

Reference is made to my letters dated September 3, 1974, and September 10, 1974, which furnished you the results of investigation thus far concerning Nelson Aldrich Rockefeller, former Governor of New York and Vice President Designate.

Enclosed herewith is a copy of a letter dated September 9, 1974, directed to me by Mr. [REDACTED], an attorney in Dallas, Texas.

It is noted that information concerning Mr. [REDACTED] as well as samples of his correspondence was included in the results of the investigation of Governor Rockefeller. No further action is being taken by this Bureau concerning Mr. [REDACTED]'s letter.

This is being furnished for your information. The investigation of Governor Rockefeller is continuing, the results of which will be furnished to you as quickly as possible.

Sincerely,

Clarence M. Kelley
Director

161-6177-375
NOT RECORDED

14 DEC 31 1974

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
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Training _____
Telephone Rm. _____
Director Sec'y _____

Enclosure

DHY:dc:dc
(9)

58 JAN 4 1975

RETURN TO MR. AUERSWALD, ROOM 1258.

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

[Redacted]

Office: PHILADELPHIA, PA.

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Date: 9-17-74

Field Office File #: 161-1373

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis:

[Redacted] interviewed and explained his involvement with the purchase of 600 shares of stock in Literary Productions, Inc.

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DETAILS:

SA [Redacted] Investigation at Philadelphia, Pa., conducted by

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

On September 17, 1974, [redacted], Attorney at Law, Dilworth, Paxson, Kalish, Levy, and Coleman, 123 South Broad Street, Philadelphia, Pa., was interviewed concerning his knowledge of Literary Productions, Inc., and this company's involvement in the publication of a book concerning ARTHUR J. GOLDBERG. [redacted] advised that in early July, 1970, he was contacted by RICHARDSON DILWORTH, former Mayor of Philadelphia, Pa., now deceased, who was then his superior in the above-mentioned law firm. He said Mr. DILWORTH requested him to act as a nominee on behalf of his nephew, J. RICHARDSON DILWORTH, who was residing in New York City. Mr. [redacted] advised that he agreed to do this as a favor to RICHARDSON DILWORTH.

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Mr. [redacted] advised that he received a letter from J. RICHARDSON DILWORTH dated July 29, 1970. This letter appointed Mr. [redacted] as nominee for J. RICHARDSON DILWORTH with respect to the investment of funds. As nominee, [redacted] was to act at the direction of J. RICHARDSON DILWORTH. Accompanying this letter was a check for \$40,000. He said to the best of his recollection this check was issued by J. RICHARDSON DILWORTH. Mr. [redacted] stated he received this letter on July 31, 1970, as indicated by his records.

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On July 31, 1970, a letter was received by Mr. [redacted] from one ROBERT BRUCE ORR requesting a check for \$35,000 be made out to Literary Productions, Inc., and sent to ORR at his office at Rockefeller Plaza in New York City. Mr. [redacted] advised he also received another letter on July 31, 1970, from J. RICHARDSON DILWORTH in New York, advising Mr. [redacted] that he was, in addition to acting upon DILWORTH's direction, to act at the direction of ROBERT B. ORR and one DONALD C. O'BRIEN, JR. Mr. [redacted] advised that pursuant to ORR's letter of July 31, 1970, he enclosed by letter to Mr. ORR a check for \$35,000 which he sent to Room 5600, 30 Rockefeller Plaza. The payee on this check was Literary Productions, Inc. In this letter [redacted] requested that ORR insure the proper investment of funds and furnish the necessary confirmation and certification.

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Mr. [redacted] advised that at approximately the same time he opened an account at the Lincoln National Bank and deposited the \$40, \$40,000 that he had received from J. RICHARDSON DILWORTH on July 31, 1970. The account number was [redacted].

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Mr. [] advised that on August 11, 1970, he received a letter from Mr. ORR returning the \$35,000 check, which was to be voided. A telephone call the same day from Mr. ORR advised Mr. [] that a messenger from Royall, Koegel and Wells, 1730 "K" Street, N. W., Washington, D. C., would be coming to deliver 350 shares of common stock in Literary Productions, Inc. Mr. [] was instructed to give a cashier's check in the amount of \$35,000 to the messenger from Royall, Koegel and Wells. On August 13, 1970, the messenger came to Mr. []'s law office and gave him 350 shares of Literary Productions, Inc., in the name of []. [], in return, gave the cashier's check for \$35,000 to the messenger. The payee on this check was Literary Productions, Inc.

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Mr. [] advised that on August 17, 1970, he wrote ORR and advised him that he was in possession of the 350 shares of stock and that he had given the cashier's check in the amount of \$35,000 to the messenger.

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Mr. [] further advised that on August 27, 1970, he received another letter from ORR with a check for \$25,000 enclosed. To the best of his recollection this check was issued by ROBERT B. ORR. This letter advised that the same process would be used to obtain additional stock.

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On September 1, 1970, a messenger again came from Royall, Koegel and Wells with 250 shares of common stock in Literary Productions, Inc. [] advised that a cashier's check in the amount of \$25,000 made out to Literary Productions, Inc., was given to the messenger.

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Mr. [] advised that on October 6, 1970, he sent a letter to ORR at the above-mentioned New York address confirming the \$25,000 stock transaction.

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Mr. [] stated that on October 12, 1971, he received a letter from []. This letter advised [] that Mr. ORR had retired and that he, Mr. [], would be taking care of matters concerning Literary Productions, Inc. The letter advised that Literary Productions, Inc., was being dissolved and a (consent of stockholder) form was enclosed to be executed by Mr. [] so that the company could be legally dissolved. Mr. [] advised that on October 18, 1971, he sent a letter to Mr. [] with the executed form to dissolve the company.

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Mr. [] advised that on April 27, 1973, while in the process of cleaning up some past work, he sent a letter to [] requesting instructions on the disposition of \$6,827.26 that was still in the account at the Lincoln National Bank. Mr. [] advised that his records indicate that he had deposited \$1,827.26 in this account on December 28, 1971. He said he is unable to recall the source of this money deposited on that date.

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Mr. [] advised that on May 9, 1973, he received a letter from Mr. [] thanking him for the services he had provided and instructing him to make a check payable in the amount of \$6,827.26 to J. RICHARDSON DILWORTH in New York City.

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Mr. [] advised that on May 21, 1973, he sent a check in the amount of \$6,827.26 to the order of J. RICHARDSON DILWORTH and asked him what he should do with the stock certificates that continued to be in his possession.

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Mr. [] advised that on June 25, 1973, he received a letter from Mr. []. This letter contained the information that a check to J. RICHARDSON DILWORTH had been canceled and a new check should be issued in the same amount and sent to DILWORTH. []'s letter further instructed Mr. [] to also enclose the stock certificates.

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Mr. [] advised that on July 12, 1973, a substitute \$6,827.26 check was sent to J. RICHARDSON DILWORTH and the stock certificates were enclosed. Mr. [] concluded his account of events by stating that on July 20, 1973, the account at the Lincoln National Bank was closed since all funds had been depleted. Mr. [] advised that he knows no member of the Rockefeller family, nor is he acquainted with a Mr. WELLS or the author of a book entitled, Arthur J. Goldberg, The Old and the New, a Mr. LASKY.

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Mr. [] advised that his only connection with J. RICHARDSON DILWORTH was through the previously mentioned written communications and that he has never met him personally. He advised that he entered into this arrangement for one reason and one reason only and that was because his superior, the former Mayor of Philadelphia, RICHARDSON DILWORTH, had requested him to. He advised that he knew of no plan to discredit ARTHUR J. GOLDBERG in the 1970 gubernatorial campaign. He stated that he would have to contact J. RICHARDSON DILWORTH before he would voluntarily release copies of the previously mentioned letters to the FBI.

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UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland

DATE: 9-17-74

FROM : O. E. Coleman

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. _____
Ident. _____
Inspection _____
Intell. _____
Laboratory _____
Plan. & Eval. _____
Spec. Inv. _____
Training _____
Off. of Cong. & Public Affs. _____
Telephone Rm. _____
Director Sec'y _____

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 9803 RDD/KSL

As you will recall we were advised by the Rockefeller staff that they had a deadline for the revised Net Worth Statement for Monday which would be presented to the nominee this morning for his approval. Samuel Gillespie, nominee's attorney, advised he would attempt to get a draft copy of the statement to the FBI sometime Monday so we could immediately start our work on the condition that we would not use the statement if the nominee made any changes. Gillespie advised yesterday at noon that they had a new valuation figure for the Art which is the main item the FBI has not as yet looked at. He advised that Howard Bolton, who was preparing the new statement, refused to furnish the figure to him for the FBI as it was "sensitive" and he wanted the nominee to see it first. At 6 p.m. yesterday, Gillespie advised he was in a meeting with William Jackson, attorney, and Howard Bolton and they were reviewing the entire matter of the revised Net Worth Statement but were not in a position as yet to say when it would be available for submission to the FBI. They hoped to be showing it to the nominee in the immediate future.

This morning at 8 a.m., Howard Bolton was contacted and he was completely evasive and would not answer specific questions as to the status of the revised statement. He advised he was aware of our desire to get it and it would be furnished to the FBI as soon as it was available. He stated he was going over it line by line. When asked whether this meant that the statement was ready Bolton advised no it wasn't. He refused to specifically identify what items were not as yet resolved. We had been told previously the three main items to be changed and they would have been very easily implemented. The situation with Bolton was very similar to the problems we encountered when attempting to get the first Statement of Net Worth. It was impossible to get a straight answer from him.

1 - Mr. Callahan
1 - Mr. McDermott

1 - Mr. Gebhardt (Attention: Mr. Long)
1 - Mr. Cleveland
1 - Mr. Coleman

JEH:dc

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CONTINUED - OVER

NOT RECORDED

DEC 31 1974

58 JAN 14 1975

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

Robert Douglass, a top aide to the nominee, was telephonically contacted and advised of the problems we were having. Douglass stated that they had a commitment to meet a Saturday deadline for a Net Worth Statement to the Senate Committee. The main problem they were encountering was that the Senate wanted all other related interests of the nominee put down and included in the Net Worth Statement. He was of the opinion that the statement being prepared for the Senate was actually not a Net Worth Statement as there were all sorts of miscellaneous items being included. He was asked for specifics and he stated that the Senate desired that evaluations for trusts be included in the statement which may require reference to actuarial tables. Also there were security holdings that were listed at costs for which there was no way of determining a current market value. Douglass was of the opinion that they should just pick a figure out of the air and throw it in.

It was pointed out to Douglass that we had been through item by item on the initial Net Worth Statement and had determined the exact basis for each valuation set forth. The Bureau noted those items for which a claim was made that a current market value is not available and we were advised that only three significant changes were going to be made in the revised statement and neither Bolton nor Gillespie ever advised that they were working on a revised statement specifically setting forth items requested by the Senate. He was advised that we have a 381-page report sitting on the desk waiting for the revised statement that we were promised 10 days ago and we could not accept a revised statement today that would not agree with a statement they plan on releasing to the committee and possibly to the national press on Saturday. Douglass had stated that the statement being prepared was going to have a "public relations" approach as they anticipated it would be released to the press. He advised that he would have a revised Net Worth Statement for the FBI by close of business today or "heads will roll."

Douglass was advised that it was extremely important that the statement we have tonight does not change significantly with the one they plan on releasing Saturday as our report was going to The White House and the Attorney General, and would be reviewed by selected members of Congress. Douglass then volunteered that he thought possibly the revised statement would include the additional information, estimated current market values, etc., by note form rather than specifically changing each item. He made assurances that each change listed on the revised statement to be furnished tonight would set forth detailed information bridging back to the initial statement furnished to the FBI.

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

It appears that the Senate is taking a completely different approach from the FBI. We have analyzed the nominee's holdings, including his trusts, for the purpose of determining the nature of the holdings specifically identifying the areas and sources of his income. In addition, we have set forth the available valuations qualifying those items for which no current market value was available. We will include the most recent estimated dollar valuation for the assets of each trust. However, it was considered more important to identify what the assets were specifically, identifying the type and nature of businesses involved. The Senate seems to be trying to determine exactly what the nominee is worth which we feel is secondary to the goal of describing in detail the nature of his holdings and influence in the business community.

The main problem that now exists is that we might receive a statement tonight that will disagree substantially with the statement being prepared for release Saturday to the Congress and the press which none of the Rockefeller staff mentioned to us and was obviously the reason for the evasiveness.

We plan on speaking with Robert Douglass today around 1 p.m. to insure we have a complete understanding that the statement we receive tonight will not differ substantially from that being prepared for the Congress on Saturday.

ACTION:

You will be kept advised of the status of the promised revised Statement of Net Worth.

nbc
WVC
JMA
K

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WVC*

DATE: 9-17-74

FROM : O. E. Coleman *OSC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.: _____
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. ☒ _____
Ident. _____
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Plan. & Eval. _____
Spec. Inv. ☒ _____
Training _____
Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

Robert Douglass, aide to the nominee, advised at 4:45 p.m. today that the revised Statement of Net Worth was 98 percent completed. They were in the need of two additional figures that they would have early Wednesday morning. Douglass stated that this revised Statement will be the same Statement given to the Congressional Committees and there will be no changes at all. He offered the option of taking the Statement as it now stood (98 percent completed) and adding a footnote to our report of the two additional changes which will be incorporated into the Statement tomorrow morning. I advised the FBI would wait until tomorrow morning and accept the Statement in its completed form.

Douglass advised that there should be no concern that there would be any different Statement furnished to the Congressional Committees and the press this coming weekend. He indicated they had abandoned the "public relations considerations" in preparing the Statement which will be available first thing tomorrow morning.

We will be required to do some auditing work with the valuations indicated for Art and hope to be able to complete this expeditiously. Every effort will be made to have the report in completed form ready for transportation to Washington Thursday morning if no problems develop.

ACTION:

For information. You will be kept apprised of the status.

- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Gebhardt (Attention: Mr. Long)
- 1 - Mr. Cleveland
- 1 - Mr. Coleman

JEH:dmc (6)

58 JAN 11 1975

161-6197-378
NOT RECORDED

DEC 31 1974

WVC

gm

ga

UNITED STATES GOVERNMENT

for
Memorandum

TO : Mr. J. B. Adams

DATE: 9/17/74

FROM : Legal Counsel *[Signature]*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT-DESIGNATE
SPECIAL INQUIRY

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
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Inspection _____
Intell. _____
Laboratory _____
Plan. & Eval. _____
Spec. Inv. ☒
Training _____
Legal Coun. ☒
Telephone Rm. _____
Director Sec'y _____

[Signatures: Jantel, C. E. ...]

SYNOPSIS:

The President and heads of Federal agencies have constitutional authority to invoke executive privilege. Relative to congressional demands for information, executive privilege is not to be used without specific Presidential approval. Access to FBI reports in the "Gerald Rudolph Ford, Vice President-Designate, Special Inquiry" matter was limited to two Senators and eight Congressmen.

RECOMMENDATION:

None. For information.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

[Signature]
ENC.

4 - ENCLOSURE

- 1 - Mr. Gebhardt
- 1 - Mr. McDermott
- 1 - Mr. Cleveland
- 1 - Mr. Coleman
- 2 - Mr. Mintz
- 1 - Mr. Laturno

CONTINUED - OVER

GML:lsh
(8)

[Signatures: GML, lsh]

161-6197-379
NOT RECORDED

14 DEC 31 1974

58 JAN 14 1975

Memorandum to Mr. J. B. Adams
Re: Nelson Aldrich Rockefeller
Vice President-Designate
Special Inquiry

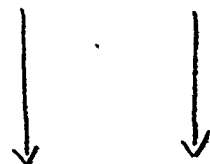
DETAILS:

By memorandum dated 9/6/74, Mr. Callahan reported on discussions between Bureau and Departmental officials regarding captioned matter. One item of discussion concerned restricting the review of FBI reports in this matter to four Senators and eight Congressmen. Deputy Attorney General Silberman specifically asked what legal authority the Attorney General had to refuse members of Congress access to FBI reports. Mr. Callahan replied he would have our Legal Counsel determine if this came up during the Ford case.

By memorandum dated 9/5/74, a copy of which is attached, Legal Counsel analyzed the question of executive privilege and the right of congressional review of executive material. This analysis revealed that the President and heads of Federal agencies have constitutional authority to invoke executive privilege and on a number of occasions executive privilege has been invoked when the Congress asked to review FBI reports. In a memorandum to the heads of executive departments and agencies dated 3/4/69, however, President Nixon stated that relative to congressional demands for information, executive privilege will not be used without specific Presidential approval.

Review of the investigative file captioned "Gerald Rudolph Ford, Vice President-Designate, Special Inquiry" reveals that the Acting Attorney General limited access to FBI reports in that matter to selected Senators and Congressmen. In letters to Congressman Rodino, Chairman, Judiciary Committee, and Senator Cannon, Chairman, Committee on Rules and Administration, dated 10/25/73, and 10/24/73, respectively, copies of which are attached, the Acting Attorney General offered to provide access to the FBI investigative reports in the Ford Special Inquiry to Senator Cannon, Congressman Rodino and the ranking Republican members of the above committees only.

In the Senate, disclosure of FBI reports was, in fact, limited to Senator Cannon and the ranking Republican member of the Senate Committee on Rules and Administration, Senator Marlow C. Cook. In a letter dated 11/8/73,



Memorandum to Mr. J. B. Adams
Re: Nelson Aldrich Rockefeller
Vice President-Designate
Special Inquiry

Congressman Rodino, however, requested that the Department make available FBI investigative reports to a designated panel of eight members of the House Judiciary Committee. By letter dated 11/9/73, a copy of which is attached, the Acting Attorney General acquiesced to Congressman Rodino's request "only because of [his] concern that the confirmation hearings of Mr. Ford would be unduly delayed." The Acting Attorney General added that "Such delay would have been aggravated by the suggestion that you would subpoena the reports unless the Department . . . made them available" He then commented that his agreeing to make the reports available should not be considered a precedent, explaining that "The widespread dissemination of FBI investigative reports . . . carries too much potential for injury to reputations of innocent persons and damage to the ability of the FBI to obtain candid assessments to become standard practice."

Note that Congressman Rodino suggested he would subpoena the reports unless they were made available. For your information, in every instance in which the doctrine of executive privilege has been invoked the executive's assertion has prevailed. Until 1973, however, it prevailed without any attempt by Congress to secure adjudication of its claim. (Circuit Judge Wilkey's dissenting opinion in Nixon v. Sirica, 487 F.2d 700, 778.) In Senate Select Committee v. Nixon, 22 LW 2212 (1973), the United States District Court for the District of Columbia held that it did not have jurisdiction to enforce a subpoena duces tecum that the plaintiff directed to the President for the production of tapes and documents relating to possible illegal activities. The court stated there is nothing in the Constitution that makes it an official duty of Presidents to comply with Congressional subpoenas and that it is not "free from doubt" that his official responsibilities require compliance.

Accordingly, if a subpoena was directed against the President for the production of certain FBI reports, the material would appear to be protected from the Congress, other than those individual Congressmen specifically designated to review the material, for the Senate Select Committee case held that a Federal court does not have

Memorandum to Mr. J. B. Adams
Re: Nelson Aldrich Rockefeller
Vice President-Designate
Special Inquiry

jurisdiction to enforce such a subpoena. One may add the caveat, however, that Senate Select Committee was not appealed, and there is no other litigation on the question to guide us. Also the House Judiciary Committee recently voted 21 to 17 that former President Nixon's failure to honor the committee's subpoenas was impeachable.

Jma

K

Mr. J.B. Adams

September 5, 1974

Legal Counsel

EXECUTIVE PRIVILEGE AND CONGRESSIONAL
REVIEW OF FBI MATERIALS

SYNOPSIS:

United States v. Nixon, 42 L W 5237 (1974), held that when the ground for asserting executive privilege as to subpoenaed materials sought for use in a criminal trial is based only on a generalized interest in confidentiality, it cannot prevail over the fundamental demands of due process of law. In Nixon, the Supreme Court was concerned only with the balance between the President's assertion of generalized privilege of confidentiality and the need for relevant evidence in a criminal trial. Since 1792, Presidents have invoked executive privilege relative to requests by Congress for information. If challenged by court action, a claim of executive privilege would appear to protect FBI materials pertaining to foreign, military, and national security matters. The Comptroller General's statutory authority cannot void a claim of executive privilege by the President.

RECOMMENDATION:

None; for information.

- 1 - Mr. Wannall
- 2 - Mr. Mintz
- ① - Mr. Laturno

GML:vlc
(5)

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DATE 12-19-91 BY 9803 RDD/KSR

CONTINUED - OVER

ENCLOSURE

161-6197-379

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Material

DETAILS:

You asked that a study be undertaken of the Supreme Court's decision in United States v. Nixon on the scope of executive privilege and whether the privilege may be used to protect FBI material from review by the Comptroller General and his representatives.

For your information, in the Nixon case the Special Prosecutor, following the indictment of certain White House staff members, filed a motion under rule 17 (c) of the Federal Rules of Criminal Procedure for a subpoena duces tecum for the production before trial of certain tapes and documents relating to precisely identified meetings and conversations between the President and his staff members. The President, claiming executive privilege solely on the broad, undifferentiated claim of public interest in the confidentiality of the conversations, filed a motion to quash the subpoena. The lower court proceeded to issue an order for an in camera examination of the material, and the President then sought review in the Court of Appeals. The Special Prosecutor responded by filing a petition for a writ of certiorari before the Supreme Court. The Supreme Court granted the writ and held that when the ground for asserting privilege as to subpoenaed materials sought for use in a criminal trial is based only on a generalized interest in confidentiality, it cannot prevail over the fundamental demands of due process of law in the fair administration of justice. The Court reasoned that neither the doctrine of separation of powers, nor the need for confidentiality of high-level communications, without more, can sustain an absolute, unqualified Presidential privilege of immunity from judicial process under all circumstances.

Note that in Nixon, the Court was concerned only with the balance between the President's assertion of generalized privilege of confidentiality and the need for relevant evidence in a criminal trial. The Court was not concerned in any way with a balance between a President's claim of privilege and a request for information from him by the Congress. This latter problem, however, has been the subject of a great deal of discussion.

The question of executive privilege and the right of Congressional inquiry was apparently first considered by

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

President Washington and his Cabinet on the occasion of a Congressional resolution passed in 1792 calling for papers pertaining to a military campaign. Washington and his cabinet concluded:

First, that the House was an inquest, and therefore might institute inquiries. Secondly, that it might call for papers generally. Third, that the Executive ought to communicate such papers as the public good would permit, and ought to refuse those, the disclosure of which would injure the public: consequently were to exercise a discretion. 3 Annals of Congress 493.

Since 1792, many Presidents have invoked executive privilege and refused to give to the Congress information, the disclosure of which would injure the public. (Circuit Judge MacKinnon's dissenting opinion in Nixon v. Sirica, 487 F. 2d 700.) William Howard Taft, who served as President from 1909 to 1913, commented:

The President is required by the Constitution from time to time to give to Congress information on the state of the Union, and to recommend for its consideration such measures as he shall judge necessary and expedient, but this does not enable Congress or either House of Congress to elicit from him confidential information which he has acquired for the purpose of enabling him to discharge his constitutional duties, if he does not deem the disclosure of such information prudent or in the public interest. Taft, Our Chief Magistrate and His Powers, 129 (1915).

In 1941, the House Committee on Naval Affairs asked to see certain FBI reports. Attorney General Jackson replied to Chairman Vinson:

It is the position of this Department, restated now with the approval of and at the direction of the President, that all investigative reports are confidential documents of the executive department of the Government, to aid in the duty laid upon

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

the President by the Constitution to "take care that the laws be faithfully executed," and that congressional or public access to them would not be in the public interest. 40 Ops. A. G. 45 (1941).

On March 5, 1948, Representative Hoffman introduced into Congress a

Joint resolution directing all executive departments and agencies of the Federal Government to make available to any and all standing, special, or select committees of the House of Representatives and the Senate information which may be deemed necessary to enable them to properly perform the duties delegated to them by the Congress. 94 Cong. Rec. 2279.

President Truman responded on March 13 with a "Memorandum to all Officers and Employees in the Executive Branch of the Government":

The efficient and just administration of the Employee Loyalty Program . . . requires that reports, records, and files relative to the program be preserved in strict confidence. This is necessary in the interest of our national security and welfare, to preserve the confidential character and sources of information furnished, and to protect Government personnel against the dissemination of unfounded or disproved allegations. It is necessary also in order to insure the fair and just disposition of loyalty cases.

For these reasons, and in accordance with the long-established policy that reports rendered by the Federal Bureau of Investigation and other investigative agencies of the executive branch are to be regarded as confidential, all reports, records, and files relative to the loyalty of employees or prospective employees (including reports of such investigative agencies), shall be maintained in confidence, and shall not be transmitted or disclosed except as required in the efficient conduct of business.

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

Any subpoena or demand or request for information, reports, or file of the nature described, received from sources other than those persons in the executive branch of the Government who are entitled thereto by reason of their official duties, shall be respectfully declined, on the basis of this directive, and the subpoena or demand or other request shall be referred to the Office of the President for such response as the President may determine to be in the public interest in the particular case. There shall be no relaxation of the provisions of this directive except by my express authority. 13 Fed. Reg. 1959 (1946).

The Hoffman Resolution was passed by the House on May 13, 1948, and sent to the Senate. There it was referred to the Committee on Expenditures in the Executive Department, where it died. 94 Cong. Rec. 5826.

On 5/8/54, President Truman observed:

The President is responsible for the administration of his office and that means for the administration of the entire executive branch. It is not the business of Congress to run any of the agencies for him.

Unless this principle is observed, it is impossible to have orderly government. The Legislative power will ooze into the Executive offices. It will influence and corrupt the decisions of the executive branch. It will affect promotions and transfers. It will warp and twist policies.

Not only does the President cease to be master in his own house, but the whole house of government becomes one which has no master. The power of decision then rests only in the legislative branch, and the legislative branch by its very nature is not equipped to perform these executive functions.

To this kind of encroachment it is the duty of the President to say firmly and flatly "No". The investigative power of Congress is not limitless. It extends only so far as to permit the Congress to acquire the information that it honestly needs to

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

exercise its legislative functions. Exercised beyond these limits, it becomes a manifestation of unconstitutional power. It raises the threat of legislative dictatorship. Barth, Government by Investigation, 219 (1955).

Since 1792, executives have generally cooperated regarding requests for information by the Congress. In every instance in which the doctrine of executive privilege has been invoked, however, the executive's assertion has prevailed. (Circuit Judge Wilkey's dissenting opinion in Nixon v. Sirica, supra.) Only in 1973, however, did the Congress file suit against a President, and thus it cannot be said that the Congress seriously challenged the earlier claims of privilege. In Senate Select Committee v. Nixon, 22 L W 2212 (1973), the United States District Court for the District of Columbia held that it did not have jurisdiction to enforce a subpoena duces tecum that the plaintiff directed to the President for the production of tapes and documents relating to possible illegal activities. The court stated there is nothing in the Constitution that makes it an official duty of Presidents to comply with Congressional subpoenas and that it is not "free from doubt" that his official responsibilities require compliance.

Accordingly, if a subpoena were directed against the President for the production of FBI files, all material would be protected from Congressional review, for Senate Select Committee, supra., tells us that a Federal court does not have jurisdiction to hear the case. But Senate Select Committee was not appealed, and there is no other litigation on the question to guide us. Also, the House Judiciary Committee recently voted 21 to 17 that former President Nixon's failure to honor the committee's subpoenas was impeachable. We should, then, inquire regarding the merits of the controversy. Assuming that a Federal court had jurisdiction in the matter, could the privilege apply to all FBI files or only certain kinds of materials?

A number of Supreme Court decisions contain statements and observations, which, by analogy, appear to be relevant to this problem.

In C. & S. Air Lines v. Waterman Steamship Corp., 333 U.S. 103 (1948), the Court said:

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Material

The President, both as Commander-in-Chief and as the Nation's organ for foreign affairs, has available intelligence services whose reports are not and ought not to be published to the world. It would be intolerable that courts, without the relevant information, should review and perhaps nullify actions of the Executive taken on information properly held secret. Id. at 111.

In United States v. Reynolds, 345 U.S. 1 (1952), dealing with a claimant's demand for evidence in a civil action against the Government, the Court said:

It may be possible to satisfy the court, from all the circumstances of the case, that there is a reasonable danger that compulsion of the evidence will expose military matters which, in the interest of national security, would not be divulged. When this is the case, the occasion for the privilege is appropriate, and the court should not jeopardize the security which the privilege is meant to protect by insisting upon an examination of the evidence, even by the judge alone, in chambers. Id. at 10.

In Environmental Protection Agency v. Mink, 410 U.S. 73 (1973), an action brought under the Freedom of Information Act (5 USCS § 552 (b)), individual members of Congress sought to obtain several government documents containing recommendations made by various government officials and agencies with respect to underground nuclear testing. Some of the documents were classified as "Top Secret" or "Secret" pursuant to Executive Order 10501. The Supreme Court held, as to those latter documents, that such a classification was sufficient to entitle those documents to exemption from disclosure, that the District Court was not authorized or permitted to conduct an in camera inspection of the classified documents to separate the secret from the nonsecret portions, and that the Act could not be subverted to subject executive security classifications to judicial review at the insistence of anyone who might question them. However, as to the unclassified interagency or intra-agency memoranda sought by the plaintiffs that were used in the decision-making processes of the executive branch, the court, though recognizing that the efficiency of Government would be greatly hampered if,

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

with respect to local and policy matters, all Government agencies were prematurely forced to "operate in a fishbowl," nonetheless stated that "the privilege that has been held to attach to intra-governmental memoranda clearly has finite limits," and noted that "in the absence of a claim that disclosure would jeopardize state secrets, . . . in applying the privilege, courts often [are] required to examine the disputed documents in camera, in order to determine which should be turned over or withheld."

In Nixon the court expressly noted that the President did not place his claim of privilege on the ground that military or diplomatic secrets were involved. As to these Presidential duties, the Court stated, the courts have traditionally shown the utmost deference. Thus, the Court commented: "Absent a claim of need to protect military, diplomatic or sensitive national security secrets, we find it difficult to accept the [President's] argument . . ."

Accordingly, if challenged by a court action, a claim of executive privilege would appear to protect all classified FBI material from review by both the court and the Congress. The executive may be required to allow the court in camera to review unclassified material pertaining to foreign, military and national security matters, but the privilege would appear to apply to these materials whether classified or unclassified. As developed by the courts, however, the privilege does not appear to apply to other types of materials.

We may then inquire whether the Executive would be required to turn remaining FBI files and records over to the Congress, assuming a Federal court had jurisdiction in the matter. Note that the Comptroller General is attempting to exercise a legislative function. Thus, if we are to protect this remaining material, it is submitted that the Government must argue before the court that the investigative power of the Comptroller General is limited, that it extends only so far as to permit it to acquire the information that it legitimately needs to exercise its legislative functions, and that it is unnecessary for this Congressional agency to indiscriminately review all FBI files. In addition, the Government must emphasize that the efficient and just administration of the FBI requires that our reports, records and files must be preserved in strict confidence and that this confidential status is necessary in the interest of our national security and welfare.

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

Note, however, that the Comptroller General has been authorized by statute to conduct investigations that may be ordered by Congress in matters relating to revenue, appropriations or expenditures (Title 31, U.S.C., § 53) and that he has the statutory right to examine any books, papers, documents or records of any department or establishment (Title 31, U.S.C., § 54). The question is raised as to whether this statutory authority can void the President's executive privilege claim. In United States v. Nixon, supra., the Special Prosecutor argued the Constitution does not contain an executive privilege provision, and thus one does not exist. The Supreme Court replied that the silence of the document on the question does not dispose of the question. The executive's privilege, the Court stated, can be said to derive from the supremacy of each branch within its own assigned area of Constitutional duties. The Court explained that certain powers and privileges flow from the nature of enumerated powers and that the protection of the confidentiality of communications in the executive branch has a similar basis in the Constitution.

Thus, it does not appear that the Congress, by statute, can abrogate the concept of executive privilege. The privilege is based on the Constitution and Congress, by statute, is incapable of changing that document.

We may also inquire whether we may call upon executive privilege as a bar to production if a subpoena is addressed to the Director, FBI, and does not mention the President. In addition, what procedural steps would govern the invocation of executive privilege? In United States v. Reynolds, supra., the Supreme Court indicated that the head of a Federal executive agency may invoke executive privilege when a subpoena is directed to him. In a memorandum to the heads of executive departments and agencies dated 3/24/69, however, President Nixon stated that relative to Congressional demands for information, executive privilege will not be used without specific Presidential approval. The following procedural steps are to govern the invocation of executive privilege.

1. If the head of an Executive department or agency (hereafter referred to as "department head") believes that compliance with a request for information from a Congressional agency raises a substantial question as to the need for invoking

Memorandum to Mr. J.B. Adams
Re: Executive Privilege and Congressional
Review of FBI Materials

Executive privilege, he should consult the Attorney General through the Office of Legal Counsel of the Department of Justice.

2. If the department head and the Attorney General agree, in accordance with the policy set forth above, that Executive privilege shall not be invoked in the circumstances; the information shall be released to the inquiring Congressional agency.

3. If the department head and the Attorney General agree that the circumstances justify the invocation of Executive privilege, or if either of them believes that the issue should be submitted to the President, the matter shall be transmitted to the Counsel to the President, who will advise the department head of the President's decision.

4. In the event of a Presidential decision to invoke Executive privilege, the department head should advise the Congressional agency that the claim of Executive privilege is being made with the specific approval of the President.

5. Pending a final determination of the matter, the department head should request the Congressional agency to hold its demand for the information in abeyance until such determination can be made. Care shall be taken to indicate that the purpose of this request is to protect the privilege pending the determination, and that the request does not constitute a claim of privilege.

Office of the Attorney General

Washington, D.C. 20530

October 24, 1973

Honorable Howard W. Cannon
Chairman
Committee on Rules and Administration
United States Senate
Washington, D.C. 20510

Dear Mr. Chairman:

This letter will provide the Committee on Rules and Administration with the guidelines and procedures which the Department of Justice feels will be most appropriate for the handling of the investigative reports of the Federal Bureau of Investigation in connection with the nomination of Gerald R. Ford to be Vice President of the United States, which nomination is pending with your Committee.

Recognizing the need for careful consideration of all investigative reports regarding the nominee, we would propose to provide you and the ranking Republican member of your Committee with access to the FBI investigative reports in their entirety, rather than summaries thereof. At the same time, however, to insure to the greatest extent possible that you will be provided with all relevant materials in a fashion that fully safeguards the rights and interests of innocent parties against improper disclosure, access to such investigative reports should be strictly limited and should not extend to Committee staff members. In addition, all such FBI materials should remain in the custody of officials of the Department of Justice, who will make these files available for your personal review in a manner that is mutually agreeable. No materials will be left with the Committee, but will be returned to the Department of Justice at the conclusion of each session during which they are available for your personal review. To assist you in reviewing the voluminous investigative reports, a knowledgeable FBI representative will accompany my representative and will be present during the review.

ENCLOSURE

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Honorable Howard W. Cannon
October 24, 1973

-2-

The Director of the FBI has advised me that the experience of the FBI clearly indicates that disclosure of, or access to, investigative reports should be very narrowly circumscribed to protect innocent parties from publication of unfounded allegations. The risk of improper disclosure of raw FBI investigative data increases greatly where the number of individuals to whom such data is made available is not held to the very minimum. The policy of limited access is based on an obvious need to protect individual privacy, and in some instances to protect the lives of persons where FBI investigations have required confidential interviews. Even disclosure of information which is true and accurate can be harmful to innocent persons or undermine procedural fairness. It is absolutely essential that citizens of this country be able to speak to agents of the FBI without fear. The threat of disclosure and subsequent retribution would impose an intolerable burden on the freedom of communication. Equally important, disclosure of FBI files would deny to the FBI needed information in possession of persons who, because of a natural reluctance, would be unwilling to assist in an investigation if they had reason to believe that their information would not be held in confidence. In that regard, it is probable that Members of Congress interviewed by the FBI during the current investigation would not want their comments subject to widespread disclosure.

The events of the last several months have made us acutely aware of the dangers involved in the improper disclosure of information furnished on a confidential basis. Every effort should be made to preserve the confidentiality of these reports and to protect against leaks of sensitive information from these reports. Such unauthorized disclosures would not only prejudice the nominee, but would harm innocent persons, and impair the ability of the FBI to carry out thorough investigations in the future.

The procedure set forth in this letter is entirely consistent with the approach traditionally followed in connection with confirmation hearings before the Senate Judiciary Committee. During the hearings earlier this year on the nomination of L. Patrick Gray III to be Director of the FBI, Mr. Gray departed from that tradition, prompting the American Civil Liberties Union to write to the Chairman of the Senate Judiciary Committee to voice its concern over

Honorable Howard W. Cannon
October 24, 1973

-3-

Mr. Gray's offer "to turn over all of the FBI files on the Watergate case to members of the Senate." That offer was not made upon the authority of the Attorney General and was quickly withdrawn on orders of the Attorney General. The ACLU perceived that such an arrangement might "affect the rights of individuals to their privacy and to procedural fairness during this legislative investigation."

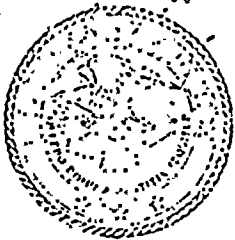
We feel the approach outlined above will serve the Committee's legitimate needs and interests, while protecting innocent persons and safeguarding the interests of the public by maintaining the FBI capacity to collect critically important information.

Sincerely,

Robert H. Bork
Acting Attorney General

I have apprised the appropriate officials in the White House of the concerns and suggested procedures set forth above. William Timmons, Assistant to the President for Legislative Affairs, advises me that the White House defers to the Department of Justice on these issues involving availability of FBI investigative reports.

Sincerely



Office of the Attorney General
Washington, D. C. 20535

October 25, 1973

Honorable Peter W. Rodino, Jr.
Chairman
Judiciary Committee
House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

This letter will provide the Judiciary Committee with the guidelines and procedures which the Department of Justice feels will be most appropriate for the handling of the investigative reports of the Federal Bureau of Investigation in connection with the nomination of Gerald R. Ford to be Vice President of the United States, which nomination is pending with your Committee.

Recognizing the need for careful consideration of all investigative reports regarding the nominee, we would propose to provide only you and the ranking Republican member of your Committee with access to the FBI investigative reports in their entirety, rather than summaries thereof. At the same time, however, to insure to the greatest extent possible that you will be provided with all relevant materials in a fashion that fully safeguards the rights and interests of innocent parties against improper disclosure, access to such investigative reports should be strictly limited and should not extend to other Committee members or staff members. In addition, all such FBI materials should remain in the custody of officials of the Department of Justice, who will make these files available for your personal review in a manner that is mutually agreeable. No materials will be left with the Committee, but will be returned to the Department of Justice at the conclusion of each session during which they are available for your personal review. To assist you in reviewing the voluminous investigative reports, a knowledgeable FBI representative will accompany my representative and will be present during the review.

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The Director of the FBI has advised me that the experience of the FBI clearly indicates that disclosure of, or access to, investigative reports should be very narrowly circumscribed to protect innocent parties from publication of unfounded allegations. The risk of improper disclosure of raw FBI investigative data increases greatly where the number of individuals to whom such data is made available is not held to the very minimum. The policy of limited access is based on an obvious need to protect individual privacy. Even disclosure of information which is true and accurate can be harmful to innocent persons or undermine procedural fairness. If a background investigation is to be thorough and exhaustive, the FBI must be able to receive the broadest range of information - some of it confidential. Improper disclosure of FBI files would deny to the FBI needed information in possession of persons who, because of a natural reluctance, would be unwilling to assist in an investigation if they had reason to believe that their information would not be held in confidence. In that regard, it is probable that Members of Congress interviewed by the FBI during the current investigation would not want their comments subject to widespread disclosure.

The events of the last several months have made us acutely aware of the dangers involved in the improper disclosure of information furnished on a confidential basis. Every effort should be made to preserve the confidentiality of these reports and to protect against leaks of sensitive information from these reports. Such unauthorized disclosures would not only prejudice the nominee, but would harm innocent persons, and impair the ability of the FBI to carry out thorough investigations in the future.

The procedure set forth in this letter is entirely consistent with the approach traditionally followed in connection with confirmation hearings before the Senate Judiciary Committee. During the hearings earlier this year on the nomination of L. Patrick Gray, III to be Director of the FBI Mr. Gray departed from that tradition, prompting the American Civil Liberties Union to write to the Chairman of the Senate Judiciary Committee to voice its concern over

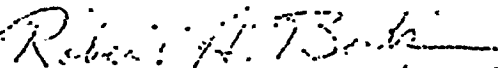
Honorable Peter V. Rodino, Jr.

-3-

Mr. Gray's offer "to turn over all of the FBI files on the Watergate case to members of the Senate." That offer was not made upon the authority of the Attorney General and was quickly withdrawn on orders of the Attorney General. The ACLU perceived that such an arrangement might "affect the rights of individuals to their privacy and to procedural fairness during this legislative investigation."

We feel the approach outlined above will serve the Committee's legitimate needs and interests, while protecting innocent persons and safeguarding the interests of the public by maintaining the FBI capacity to collect critically important information. I have apprised the appropriate officials in the White House of the concerns and suggested procedures set forth above. William Timmons, Assistant to the President for Legislative Affairs, advises me that the White House defers to the Department of Justice on these issues involving availability of FBI investigative reports.

Sincerely,



Robert H. Bork
Acting Attorney General

Copy: Honorable Edward Hutchinson



Office of the Solicitor General
Washington, D.C. 20530

November 9, 1973

The Honorable Peter W. Rodino, Jr.
Chairman
Committee on Judiciary
House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

This is in response to your November 8, 1973 letter notifying me of your intention to commence hearings on the nomination of Gerald R. Ford to be Vice President, and requesting that FBI investigative reports be made available to a designated panel of Committee members.

The Director of the FBI strongly disapproves of the procedure of expanding the access to these reports for the reasons outlined in my letters to you on the subject, dated October 25 and November 1, 1973. Although I share his views, I have acquiesced in your request only because of my concern that the confirmation hearings of Mr. Ford would be unduly delayed. Such delay would have been aggravated by the suggestion that you would subpoena the reports unless the Department of Justice made them available in the manner outlined in your letter.

The extraordinary procedure we are following in this case should not be considered a precedent for the future. The widespread dissemination of FBI investigative reports, containing unevaluated data and hearsay, carries too much potential for injury to the reputations of innocent persons and damage to the ability of the FBI to obtain candid assessments to become standard practice. We were pleased with the cooperation of the Senate Committee on Rules and Administration that made it possible to limit access to the raw reports to the Chairman, Senator Howard W. Cannon, and to the ranking Republican member, Senator Marlow W. Cook. That procedure seems to us to accommodate the interests of all parties in the proper manner.

I have made arrangements to make the investigative reports concerning Mr. Ford available in their entirety

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Assoc. Dir. ☒
Asst. Dir.:
Admin. ☐
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Files & Com. ☐
Gen. Inv. ☐
Ident. ☐
Inspection ☐
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Spec. Inv. ☐
Training ☐
Legal Coun. ☒
Telephone Rm. ☐
Director Sec'y ☐

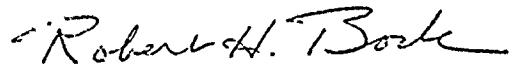
to you and the designated Committee members upon reasonable notice.

The reports will be in the custody of an official of the Department of Justice, who will be accompanied by an FBI representative familiar with them in a position to assist the Committee members in their review. None of the materials will be left with a Committee member but will be returned to the Department of Justice after each review session.

Contact should be made with [REDACTED] Executive Assistant to the Deputy Attorney General, for the arrangement of delivery of the investigative reports to designated Committee members. His telephone number is 739-2108.

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Sincerely,



Robert H. Bork
Acting Attorney General

September 17, 1974

161-6197

~~161-6197-380~~

Mr. [redacted]
Post Office Box [redacted]
New York, New York [redacted]

Dear Mr. [redacted]:

I received your letter, with enclosures, on September 12th and very much appreciate your interest in writing as you did. It was most thoughtful of you to furnish your views to the FBI.

Sincerely yours,

C. M. Kelley

Clarence M. Kelley
Director

NOTE: Mr. [redacted] has written to the Bureau on previous occasions expressing his views regarding the threat of communism. He is extremely fearful that the communists will take over this country.

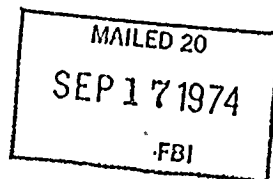
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Telephone Rm. _____
Director Sec'y _____



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Aug 16
Sept 6 1974

My Dear Mr Kelley:

You've been very kind in replying to my earlier 3 letters, and my deepest gratitude is expressed for being so faithful!

Currently, I have vital and unique information I wish to submit as a private citizen to the F.B.I. in regarding specific and explicit documentation on Mr Nelson A Rockefeller's membership a most interesting organization called, the Council on Foreign Relations; which was written by Miss Phoebe Courtney in a book (198 pages) entitled, Nixon and the CFR. (1971) library of Congress Catalog # 74-150491

Miss Courtney is a dedicated patriotic woman who is against all subversive groups in our nation, and is the managing editor of the Independant American, a national Conservative newspaper she founded in 1955! The address is P.O Box 4223 / New Orleans La 70118.

In regarding the CFR, it's basic objectives is to establish a oneworld Socialist (alias Communist) govt under their control, thro which they could attempt to eliminate economic competition, and exercise hegemony over world trade, banking, etc!

At the beginning of her book, a summary of the CFR is presented by Dan Smoot, who was a special agent of the F.B.I and also an

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REC-1 386
14 JUL 01 1974

161-6197
NOT RECORDED

MAR 2 1976

ENCLOSURE

ORIGINAL FILED IN 62-115853-2X

assistant to the late J. Edgar Hoover!

I am enclosing a xerox copy of Dan Smoot's 1964 report of the CFR.

Also from the following pages of the book by Miss Courtney is a documented comparison chart showing side by side, the similarity of domestic and foreign positions between the CFR and the Communist party U.S. Xerox copies are enclosed as further evidence.

Important facts to know Mr Kelley is the following:

1) The CFR is located at 58 E 68 St NY NY (several streets from the Soviet Consulate) and remains so secretive that even F.B.I agents I spoke to in NY had never heard of the CFR.

2) It began its operations in 1929 under funds thru the Rockefeller foundations, and later the Carnegie & Ford Foundations.

3) Members of the CFR for many years have been (eg.) Alger Hiss, and Lauchlin Currie; both later identified as Soviet espionage agents!

Other CFR members as Mr Owen Lattimore (with powerful influence in the Roosevelt & Truman administration) were subsequently identified not as actual communists, but as conscious articulate instruments of the totalitarian Soviet empire! (In a report by Dan Smoot)

The obvious fact that Communists, Soviet espionage agents and pro-communists could work inconspicuously for many years as influential members of the U.S. reveals that ignorance is omitted, while subversion & treason is intended!

Primarily because David Rockefeller and Nelson A Rockefeller have for many years (organized at first) and retained membership in the secretive CFR, I strongly request that my private information be accorded a special priority investigation, and that my name be kept confidential to avoid any possible physical retaliation by those connected with the Soviet subversion; which is behind the infliction of evil in our nation

In closing, please relay your answer to me as early as possible, for verification of my special letter to you!

If no early reply is given within a sufficient time, I will hasten to contact legislators personally at their house, for their political action that will hit this evil; as hard as the other evil manipulated by Mr. Richard M Nixon; who himself was a "non-resident" member of the CFR, from 1962-63! (Whatsoever a man soweth, that shall he also reap. Galatians 6:7)

In Cordial Regards



b6
b7C

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 18 1974

TELETYPE

Assoc. Dir.	_____
Dep. A.D. Adm.	_____
Dep. A.D. Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director's Sec'y	_____

NR 10 PH CODE

635 PM SEPTEMBER 18, 1974 NITEL RJC

TO DIRECTOR (161-6197)

FROM PHILADELPHIA (161-1373) (RUC)

NELSON ALDRICH ROCKEFELLER; SPIN

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-14-91 BY 9803 RDD/KS

RE REPORT OF SA [REDACTED], DATED SEPTEMBER

17, 1974, AT PHILADELPHIA AND BUREAU TELEPHONE CALL TO
PHILADELPHIA SEPTEMBER 18, 1974.

PAGE TWO, PARAGRAPH TWO, AFTER AMOUNT \$40,000, ADD
SENTENCE, HE SAID TO THE BEST OF HIS RECOLLECTION THIS
CHECK WAS ISSUED BY J. RICHARDSON DILWORTH.

PAGE TWO, PARAGRAPH THREE, AFTER NEXT TO LAST SENTENCE
ADD SENTENCE, THE PAYEE ON THIS CHECK WAS LITERARY PRO-
DUCTIONS, INC.

PAGE THREE, PARAGRAPH TWO, AFTER LAST SENTENCE, ADD
SENTENCE, THE PAYEE ON THIS CHECK WAS LITERARY PRODUCTIONS,
INC.

PAGE THREE, PARAGRAPH FOUR, AFTER FIRST SENTENCE, ASS-
TO THE BEST OF HIS RECOLLECTION THIS CHECK WAS ISSUED BY
ROBERT ORR.

PAGE THREE, NEXT TO LAST PARAGRAPH, AFTER AMOUNT
\$25,000 ADD, WAS MADE OUT TO LITERARY PRODUCTIONS, INC.
END

Mr. Coleman b6
Room 1246 b7C

161-6197381
NOT RECORDED

14 DEC 31 1974

58 JAN 14 1975

F B I

Date: 9/18/74

Transmit the following in _____
(Type in plaintext or code)Via AIRTEL _____
(Priority)

TO: DIRECTOR, FBI (161-6197)

FROM: SAC, WFO (161-5674) (RUC)

NELSON ALDRICH ROCKEFELLER
SPECIAL INQUIRY
BUDED 8/28/74 PAST

Re Bureau airtel to WFO dated 9/16/74.

Enclosed for the Bureau are summaries of the following three (3) books as obtained from the Book Review Digest at the Library of Congress, Washington, D.C.:

- 1) Exhibit A - "Tragedy and Hope; a History of the World in Our Time" by CARROLL QUIGLEY.
- 2) Exhibit B - "The News Twisters" by EDITH EFRON.
- 3) Exhibit C - "DAVID" by WILLIAM HOFFMAN.

The Book Review Digest at the Library of Congress contained no record of the following books:

- 1) "The Naked Capitalist" by W. CLEON SKOUSEN.
- 2) "The Federal Reserve Bank" by H. S. KENNAN, 1974.
- 3) "The Capitalist Conspiracy" by EDWARD GRIFFIN.

1-Bureau (Enc. 3) ENCLOSURE
1-WFO
RJR/eml
(2)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 GPD/MSR

Approved: _____
Special Agent in Charge

Sent _____ M Per _____

58 JAN 14 1975

QUIGLEY, CARROLL--Tragedy and hope: a history of the world in our time. 1348p \$12.50
Macmillan (N Y)

909.82 History. Modern--20th century 65-13589

"The book begins with a typology of the life history of civilization, proceeds to sketch the background of World War I, and then with August 1914, enters upon a full scale narrative of world history, extending the story until 1963-'64." (Book Week) Index.

Reviewed by L. S. Stavlanos
Am Hist R 72:123 O '66 500w

Reviewed by O. G. Darlington
Ann Am Acad 365:241 N '66 500w

Reviewed by J. A. Fitzgerald
Best Sell 25:131 F 15 '66 950w

"What raises this book entirely above the level of a standard textbook is Professor Quigley's effort to explain contemporary history. He does this, mainly, on two levels. On the first he argues that a partially hidden and, initially, entirely private money power constituted one of the determinants of social and political as well as of economic developments. . . . [The] second major level of interpretation is more interesting and considerably more original for he organizes his narrative account of the post-World War II decades around changes in weaponry. . . . The net result is a highly idiosyncratic work, which combines bright ideas, arresting assertions, partial truths, lop-sided analyses and downright caricature. Quigley's central problem, it seems to me, is that he has not put his materials together into any coherent whole and leaves his reader floundering." W. H. McNeill

Book Week p4 Ja 16 '66 1000w
Choice 3:348 Je '66 170w

"Mr. Quigley, professor of history, School of Foreign Service, Georgetown University, has written a very remarkable book: very long, very detailed, very critical, very daring and very good. Its length may discourage those who are most ignorant of the details of 20th-century world history; its unique emphasis upon economics and technology may antagonize the thoughtlessly orthodox; its uninhibited criticism of all nations and their self-justifying modern mythologies will certainly raise many hackles. . . . Mr. Quigley's coverage of the

world is amazingly encyclopedic and well-balanced, and his concern is with the harsh realities of present power--personal, political and economic--in their most complex forms. . . . Every library is advised to purchase this book." R. K. Roca

Library J 90:3284 Ag '65 170w

"[This book] is mainly a study of Great Powers, and indeed of European Great Powers. The arbitrary emphasis illustrates a problem of the writer. . . . The author has made, squarely and reasonably, the decision that power, economic development and new ideas, are the formative forces in history, and that all these appear in their most influential forms in the great nations and the relations among them. . . . Given this handicap, it may be said that 'Tragedy and Hope' provides a business-like narrative in which an incredible amount of information is compressed--and, in some cases, is presented--with drama and distinction, as in the excellent sections dealing with World War II and its aftermath." Lawrence Lafore

N Y Times Bk R p3 Ja 23 '66 1000w
"The author fills his pages with facts, outlines, 'basic' lists, tables, and creaking categories. For those who approve of this way of writing history his rambling volume may have a certain excellence. Others may feel that things would have been easier for the reader if the raw materials had been reduced. Professor Quigley writes with an assurance that seldom flags and a confidence that his readers cannot always share. . . . [Those] who remember [the 1961 election] conclude that Professor Quigley is not quite right in saying. . . . the major political issue in the country was the financial struggle behind the scenes between the old wealth. . . . [standing] for values and aims close to the Western traditions of diversity, tolerance, human rights, and values. . . . [and] the new wealth, standing for the narrow and fear-racked aims of petty bourgeois insecurity, and egocentricity. This, surely, is not the language of careful appraisal and cool reasonableness." Goldwin Smith

Sat R 49:34 F 12 '66 600w

Reviewed by B. E. Carter
Va Q R 42:301-spring '66 300w

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-98 BY 9803 RD/D/KSR

161-6197-382

ENCLOSURE

Exhibit A

EFRON, EDITH. The news twisters. 355p
\$7.95 Nash pub.
384.55 Television broadcasting. Reporters
and reporting.
SBN 8402-1206-2 LC 72-167511

"This book charges the national television networks (NBC, CBS, ABC) with bias during the political campaign for president in 1968. The study is based on news reports from 7 to 7:30 P.M. during the last seven weeks (mostly) of the pre-election campaign. The author finds that during her period of study the news was biased in favor of liberal, Democratic, and left-wing opinion, and against conservative, Republican and right-wing interests. On some fifteen issues she (seeks to illustrate) how bias was shown. This she blames on the newscasters and those who selected the news, who (have been described as) liberal, Democratic, left-wingers." (Best Sell)

"The author makes it clear that the television networks . . . are regulated by the Fairness Doctrine of 1949, set up by the Federal Communications Commission and sanctioned by a Supreme Court Decision in 1965. According to that doctrine, the covering of controversial issues and opinions should be non-partisan, equal and of equal force. . . . There is no question that, since TV is going to play an increasingly important part in presidential elections, the matter demands attention. Unfortunately, the author's recommendations of how to settle this difficult problem are not particularly helpful." William Schweder
Best Sell 31:341 N 1 '71 360w

"Out of careful, exhaustive research (the author) has produced documentation which shows that all the principal network prime-time news

programs have practiced a degree of bias which cannot be other than gravely disturbing. The networks contend that Miss Efron's study is slanted. . . . Even if one could find serious fault with, say, 20 percent of her borderline classifications, (her) case that there is substantial network one-sidedness would still stand up. One thing is clear Her findings must not be dismissed with a corporate shrug. Her evidence needs to be examined by the Federal Communications Commission or by a Congressional investigation—or both. [This book] deserves to be read." Roscoe Drummond
Christian Science Monitor p7 O 21 '71
500w

"This vicious attack . . . is an intriguing and dangerous book. It sets out to prove massive liberal left bias on the part of the television news media. . . . Efron's own massive bias can, however, be detected in her selection of topics to 'investigate'—reportage on the New Left, demonstrators, radicals, black militants; in her equivalencies—radical and New Left appear to be exact synonyms, and there is no indication in her comments that she is aware of a radical right; in her language—'This quiet, steady spewing-out of justifications for violence' (does one speak quietly and steadily?); and in her demands." Eric Moon
Library J 97:67 Ja 1 '72 220w

ALL INFORMATION CONTAINED

DATE 12-19-91 BY 9803 RDD/KSR

161-6197-382

ENCLOSURE

Exhibit B

HOFFMAN, WILLIAM. David. 192p \$5.95
Stuart, L.
B or 92 Rockefeller, David
LC 76-121504

From magazines and newspapers the author seeks to describe the chairman of the board of the Chase Manhattan Bank.

"[Hoffman] has, it appears, taken a good many peeks at a profile of his subject, by E. J. Kahn Jr., that The New Yorker published in January, 1965. . . . The Report lacks an index, and thank goodness. At least we are spared another round of James Hazin (for Hazen) Hydes, James Joseph (for Johnson) Sweeney's, and McAlpines (for McAlpin)." B. T. Hellman

Book World p5 Ag 15 '71 1450w

"[The author] recounts an absolutely overwhelming number of facts and statistics. However, nowhere in the book does the subject come alive. We know about his wealth, his power, his love of paintings, . . . about the Rockefeller family and all the various companies with which David or any of his brothers are involved. The book abounds in facts and statements, most of them direct quotations. However, it shows a complete lack of insight, undoubtedly attributable to Hoffman's failure to gain a personal interview with Rockefeller. . . . Buy if you feel you must; but surely a better biography of the man can be expected." Sylvia Mechanic

Library J 96:1703 My 15 '71 220w

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 9802 RDD/KSR

161-6197-382

ENCLOSURE

Exhibit C

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

SEP 18 1974

TELETYPE

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director's Sec'y	_____

NR 007 PH CODE

640 PM NITEL SEPTEMBER 18, 1974 PAM 5P

TO DIRECTOR (161-6197)
NEW YORK (161-2961)

FROM PHILADELPHIA (161-1373) (RUC)

NELSON ALDRICH ROCKEFELLER; SPIN (REVIEW OF FINANCES)

Mr. Coleman
Room 1246

RE NEW YORK TELS TO BUREAU AND PHILADELPHIA, SEPTEMBER 6 AND
16, 1974.

ON SEPTEMBER 18, 1974, MISS [REDACTED]

[REDACTED], TRUST DEPARTMENT,
FIRST PENNSYLVANIA BANKING AND TRUST COMPANY, PACKARD BUILDING,
15TH AND CHESTNUT STREETS, PHILADELPHIA, PA., ADVISED THAT THE
"TRUST UNDER WILL OF JANE AUDENRIED FITLER PER APPT. UNDER
WILL OF WILLIAM WONDERLY FITLER, JR., FOR MARGARETTA FITLER
ROCKEFELLER", ACCOUNT NUMBER 02-037-350-00, HAD DIVIDEND INCOME
FIGURES THAT CORRESPOND TO THOSE INDICATED IN NEW YORK TEL
DATED SEPTEMBER 6, 1974. MISS [REDACTED] COULD NOT PROVIDE THE
DIVIDEND INCOME FOR 1973, SINCE DETERMINING SUCH A FIGURE WOULD
INVOLVE MANY HOURS OF WORK AND SHE DID NOT HAVE IN HER POSSESSION
THE TAX RETURNS THAT APPLY TO THIS PERIOD OF TIME.

ALL INFORMATION CONTAINED

HERE

DATE 12-19-91

9803 RDD/KSR

58 JAN 4 1975

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14 JAN 2 1975

PH 161-1373 PAGE TWO

MISS PROVIDED THE FOLLOWING ADDITIONAL INFORMATION
REGARDING THE TRUST AS REQUESTED IN NEW YORK TEL DATED
SEPTEMBER 6, 1974:

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RE NEW YORK TEL, NUMBER TWO PHILA. LEAD;

ASSET	MARKET VALUE	AS OF
ABINGTON TWP. SCHOOL DISTRICT	\$8,517	AUGUST 30, 1974
CITIZENS AND SOUTHERN HOLDING CO.	\$6,000	AUGUST 30, 1974
GENERAL MOTORS	\$5,000	AUGUST 30, 1974
GENERAL TELEPHONE & ELECTRONICS	\$3,000	AUGUST 30, 1974
NEW JERSEY TURNPIKE AUTH.	\$10,835	AUGUST 30, 1974
NEW YORK HOUSING AUTH.	\$6,387.20	AUGUST 30, 1974
NEW YORK STATE BR AUTH.	\$14,297.20	AUGUST 30, 1974
NEW YORK STATE POWER AUTH.	\$29,050	AUGUST 30, 1974
NEW YORK STATE THRUWAY AUTH.	\$19,950	AUGUST 30, 1974
PITTSBURGH SCH DIST.	\$9,757.92	AUGUST 30, 1974
SO SAN JOAQUIN IRRG. CALIF. TRI-DAM	\$9,150	AUGUST 30, 1974
LONE STAR INDUSTRIES	\$7,200	SEPTEMBER 12, 1974
INTERNATIONAL TEL & TEL	\$8,925	SEPTEMBER 12, 1974
REYNOLDS METALS CO.	\$3,185	SEPTEMBER 12, 1974

PH 161-1373 PAGE THREE

AMERICAN EXPRESS CO.	\$20,916	SEPTEMBER 12, 1974
AMERICAN TEL & TEL CO.	\$12,877	SEPTEMBER 12, 1974
ATLANTIC RICHFIELD CO.	\$77,125	SEPTEMBER 12, 1974
DOW CHEMICAL CO.	\$32,298.75	SEPTEMBER 12, 1974
GENERAL ELECTRIC CO.	\$12,400	SEPTEMBER 12, 1974
GULF OIL CO.	\$20,700	SEPTEMBER 12, 1974
INA CORP.	\$5,622.50	SEPTEMBER 12, 1974
INTL. BUSINESS MACHINES CORP.	\$20,501.50	SEPTEMBER 12, 1974
PACIFIC GAS & ELECTRIC CO.	\$13,747.50	SEPTEMBER 12, 1974
PHILIPS INCANDESCENT LAMP WORKS	\$229.50	SEPTEMBER 12, 1974
PROVIDENT NATIONAL CORP.	\$10,050	SEPTEMBER 12, 1974
UNION CARBIDE CORP.	\$7,200	SEPTEMBER 12, 1974

RE NEW YORK TEL, NUMBER THREE PHILA. LEAD:

IN HIS LAST WILL AND TESTAMENT, WILLIAM WONDERLY FITLER, JR., STATED THAT "I HEREBY EXERCISE THE LIMITED POWER OF APPOINTMENT GIVEN ME UNDER THE WILL OF MY MOTHER, JANE AUDENRIED FITLER, DATED OCTOBER 10, 1942, AND DIRECT THAT UPON MY DEATH THE PRINCIPAL OF ONE-HALF OF HER RESIDUARY ESTATE, OF WHICH I AM THE INCOME BENEFICIARY, SHALL BE PAID OVER TO MY TRUSTEES HEREINAFTER NAMED, IN TRUST, TO HOLD, INVEST, SELL AND RE-INVEST, WITH THE POWERS

PAW - D.C.
NY

Refellen

AUDENRIED FITLER, SUR ^{see}
MARGARETTA FITLER
APPOINTED BY THE WILL OF Rockefeller

THE BENEFICIARIES OF WILLIAM WONDERLY FITLER, JR., RECEIVE THE INCOME DISTRIBUTION FOR LIFE. THE PROPERTY IS DISTRIBUTED MARGARETTA FITLER ROCKEFELLER'S DESCENDANTS PER STIRPES UPON DEATH.

THE TRUSTEES DO NOT HAVE CONTRAOL OVER ANY BUSINESSES.

THE FIRST PENNSYLVANIA BANKING AND TRUST COMPANY DETERMINES WHICH SECURITIES SHOULD BE BOUGHT OR SOLD AND THE TRUSTEES HAVE

PH 161-1373 PAGE FIVE

VETO POWER OVER ANY SUGGESTED TRANSACTIONS.

RE NEW YORK TEL, NUMBER SIX PHILA. LEAD:

THE ORIGINAL SOURCE OF ASSETS IN THE TRUST ARE FROM
THE ESTATE OF JANE AUDENRIED FITLER, DATE OF DEATH, NOVEMBER 20,
1942.

RE NEW YORK TEL, NUMBER SEVEN PHILA. LEAD:

THE NOMINEE HAS NO INVOLVEMENT IN THE TRUST AND IS IN NO
WAY A BENEFICIARY.

RE NEW YORK TEL, NUMBER EIGHT PHILA. LEAD:

THERE HAVE BEEN NO SIGNIFICANT TRANSACTIONS INVOLVING THE
TRUST IN THE PAST TWO MONTHS.

END

WFO 161-5674

It is noted that the book "The Federal Reserve Bank" has been charged out of the Library of Congress and will not be available for at least three weeks.

The card catalog at the Library of Congress which lists all the books which the Library maintains contains no record of the following two books:

- 1) "The Capitalist Conspiracy" by EDWARD GRIFFIN.
- 2) "The Naked Capitalist" by W. CLEON SKOUSEN.

It is noted that the Library of Congress had a book entitled "The Naked Communist" by W. CLEON SKOUSEN. A review of this book revealed that there was no reference made to the nominee in the entire book. JOHN D. ROCKEFELLER was referred to in that during the middle part of the year 1919 he was one of 36 prominent people to whom bombs were sent in the mail, allegedly by Communists.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: JOHN E. WARREN
Date: 9/18/74

Office: New York, New York

Field Office File #: 161-2961

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis:

An undated report of the "Citizens For The Rockefeller Team" reflected disbursement of \$12,624 on 11/30/70 to Arlington House Publishers for printing. [redacted] Vice President, Arlington House Publishers, advised Citizens For The Rockefeller Team engaged his firm to publish VICTOR LASKY'S book and print 100,000 copies. Check for \$12,624 was forwarded to Arlington House by [redacted], Attorney, Rogers and Wells, New York City, advised he acted as liaison between Literary Productions, Incorporated, and Arlington House Publishers. On 9/13/74, a class action for violation of Federal Constitutional Rights in the amount of \$100,000,000 was filed in the USDC, SDNY, by [redacted], ET AL, against NELSON ALDRICH ROCKEFELLER, ET AL.

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- P -

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

NY 161-2961

MISCELLANEOUS

On September 13, 1974, [redacted] Attorney for 5600, Rockefeller Center, New York, New York, made available for review several reports from miscellaneous committees which were active in the 1970 campaign of NELSON A. ROCKEFELLER for Governor of New York State.

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One of the reports was that of the committee, "Citizens for the Rockefeller Team." An undated report, entitled "Final Report," prepared by [redacted] Treasurer, reflected a disbursement in the amount of \$12,624 on November 30, 1970, to Arlington House Publishers, 81 Centre Avenue, New Rochelle, New York, for printing expense.

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No other reports were produced for this committee.

NY 161-2961

On September 16, 1974, [redacted], Vice President, Arlington House Publishers, Incorporated, 81 Centre Avenue, New Rochelle, New York, advised that his firm published the book "Arthur J. Goldberg, The Old And The New" written by VICTOR LASKY. The Citizens for the Rockefeller Team engaged his firm to publish the book and print 100,000 copies.

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Mr. [redacted] located correspondence indicating that a check in the amount of \$12,624 was paid Arlington House by the Citizens for the Rockefeller Team. Mr. [redacted] felt that this was not the full amount of the transaction since the cost of printing was usually approximately 48 cents a copy, but he said all invoices dating back to 1970 have been destroyed and he could locate no other record confirming whether the above amount was payment in full of the account.

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Mr. [redacted] said that the firm of Royall, Koegel and Wells, 200 Park Avenue, New York City, acted as intermediary between Literary Productions, Incorporated, principal offices Wilmington, Delaware, and Arlington House in this transaction. He furnished a copy of a letter which indicated that the total amount might have been \$48,000, but stated he could not be certain of this in that this figure might have included other transactions.

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This letter is as follows:

"200 Park Avenue
New York

December 11, 1970
BY HAND DELIVERY

Mr. Neil McCaffrey
President
Arlington House Publishers, Inc.
81 Centre Avenue
New Rochelle, New York 10801

NY 161-2961

"Dear Mr. McCaffrey:

"We are enclosing a check of Citizens for the Rockefeller Team in the amount of \$12,624.00 in full payment of your invoice number D-58047. Also enclosed is a check of William F. Koegel in the amount of \$100.00 in full payment for a certain number of copies purchased directly from Literary Productions, Inc.

"To date Arlington House Publishers, Inc., has received full payment from Literary Productions, Inc., in the amount of \$48,000 pursuant to the parties-agreement of August 14, 1970, as amended in mid-September, 1970.

"From the total enclosed amount of \$12,724 you are hereby authorized to retain the amount of \$2,294.24 in reimbursement of the expenses of Arlington House, Inc., as evidenced by its invoice number 39684 and the additional statement forwarded to you on November 30, 1970.

"I am advised that you have been requested to prepare a check from Arlington House Publishers, Inc., payable to Literary Productions, Inc., in the amount of \$10,429.76 to be presented to me simultaneously with the delivery of the above checks.

"We trust that you will make the necessary arrangements to assure that Literary Productions, Inc., will receive its statement of account and payments as required by paragraph three of the agreement of August 14, 1970.

"Thank you.

"Sincerely,

/s/

[Redacted Signature]

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NY 161-2961

On September 17, 1974, Mr. [REDACTED], Partner in the law firm of Rogers and Wells, 200 Park Avenue, New York, New York, advised SA [REDACTED] that he had been a member of the Rockefeller Staff counsel from December, 1965, until February, 1969, when he came with the firm of Rogers and Wells. He stated that the firm of Royall, Koegel and Wells had become Rogers and Wells upon the return of former Secretary of State WILLIAM ROGERS from his Washington, D. C., post.

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Mr. [REDACTED] stated that the firm of Rogers and Wells, and Mr. WELLS, personally, had acted as a liaison between Literary Productions, Incorporated (Inc.) and Arlington House Publishers, as Mr. WELLS had been instrumental in the development of the idea for the Victor Lasky book and had undertaken the legal formation of the corporation. He stated that he had acted as liaison for Mr. WELLS, and during his liaison activities had sent Arlington House a check for \$12,000, received from the Citizens for Rockefeller Team for the payment of several thousand copies of Lasky's book, "Arthur Goldberg, the Old and the New," purchased by the Citizens for Rockefeller Team, the statewide, registered, campaign-organizing body headquartered in New York. Mr. [REDACTED] advised that neither he nor Mr. WELLS was employed by, or a member of the staff, in any capacity, of the Citizens for Rockefeller Team.

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Mr. [REDACTED] further advised that in this transaction, he had authorized Arlington House to retain some two thousand dollars for payment of distribution costs of the book, part of the original contract, and requested that some \$10,000 of the money be returned to him to be returned to Literary Productions, Inc., pursuant to terms of the original contract. Mr. [REDACTED] advised that Arlington House had been paid \$48,000 for publishing the book, and VICTOR LASKY paid \$10,000 for his work in writing the book by Literary Productions, Inc., out of the \$60,000 stock of Literary Productions, Inc., purchased by [REDACTED] of Philadelphia. He stated that pursuant

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NY 161-2961

to the original contract, money obtained by the distributor, Arlington House, would be paid to the corporation, Mr. LASKY receiving a proportionate amount of profits following sales of the book in excess of the original costs of the book, that is the \$48,000 and the \$10,000 to the respective parties.

Mr. [] stated that in July, 1970, to his knowledge as well as that of Mr. WELLS, Mr. [] was the sole stockholder of Literary Productions, Inc., and in this position, was not affiliated with NELSON ROCKEFELLER or any of the ROCKEFELLER enterprises. b6 b7C

On September 17, 1974, [], Deputy Clerk, Section 5, Clerk's Office, United States District Court, Southern District of New York (SDNY), Foley Square, New York, New York, made available to SA [] the file pertaining to the case entitled "AKIL AL-JUNDI, ETC.; ET AL; PLAINTIFFS AGAINST NELSON A. ROCKEFELLER; ET AL DEFENDANTS," which was filed on September 13, 1974, has docket number 74CIV4030, and is assigned to Judge CARTER. It is a class action in the civil rights category for violation of Federal constitutional rights and the plaintiffs seek damages in the amount of \$100,000,000. An affidavit for Poor Persons Relief filed by the attorney of record, [], revealed that this action is being brought pursuant to Title 42, United States Code, Section 1983 et seq. on behalf of plaintiffs who received injuries while incarcerated at Attica Correctional Facility on and after September 13, 1971, and that they seek damages in the amount of \$100,000,000. It revealed that all the plaintiffs are indigent and many presently incarcerated in New York State Prisons and those who have been released are without funds or assets. b6 b7C

On September 13, 1974, it was ordered that plaintiffs be permitted to commence and present the action without being required to prepay any court fees or give any security therefore.

NR 030 NY CODE

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

11:14 P.M. URGENT 9-18-74 PLQ SEP 18 1974

TO DIRECTOR

TELETYPE

FROM NEW YORK (161-2961)

NELSON A. ROCKEFELLER; SI (REVIEW OF FINANCES)

SAMUEL GILLESPIE, NOMINNE'S ATTORNEY ADVISED LATE TODAY
REVISED STATEMENT OF NET WORTH WOULD BE TURNED OVER LATE TONIGHT.
THIS INFORMATION WAS FURNISHED ONLY AFTER GILLESPIE WAS ADVISED
THE FBI PLANNED TO DISSEMINATE INTERIM REPORT TO WHITE HOUSE
FRIDAY SETTING FORTH FIRST STATEMENT WITH COVER LETTER EXPLAIN-
ING DELAY. AT 9:00 PM, AGENTS WERE STILL AWAITING WORD TO PICK
UP NEW STATEMENT TONIGHT.

AT 8:00 AM, THURSDAY MORNING IT IS EXPECTED THAT 11 COPIES
OF BODY OF REPORT WILL BE AVAILABLE EXCEPT FOR SYNOPSIS WHICH
WILL BE REWRITTEN BASED ON NEW STATEMENT. ALSO AN INSERT WILL
HAVE TO BE PREPARED TO COVER NEW STATEMENT AND RECONCILIATION
TO INITIAL STATEMENT, AS WELL AS AN INSERT FOR REVIEW OF ART,
TABLE OF CONTENTS, AND SEVERAL CORRECTIONS.

IN ABSENCE OF ANY MAJOR PROBLEMS IN THE NEW STATEMENT, IT
IS HOPED THAT COMPLETED REPORT MAY BE AVAILABLE AROUND NOON.
ONE EXHIBIT IS PARTIALLY PREPARED AT BUREAU AWAITING NEW FI-
GURES WHICH WILL BE FURNISHED EXHIBITS SECTION AT 8:00 AM

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSL

58 JAN 14 1975

Assoc. Dir. _____
Dep.-A.D.-Adm. _____
Dep.-A.D.-Inv. _____
Asst. Dir.: _____
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. _____
Ident. _____
Inspection _____
Intell. _____
Laboratory _____
Plan. & Eval. _____
Spec. Inv. _____
Training _____
Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

Mr. Coleman
Room 1246

14 JAN 2 1975

NOT RECORDED

AND EXHIBIT CAN BE INCORPORATED AT BUREAU UPON ARRIVAL OF REPORT. AN INSERT IS ALSO BEING TYPED FOR REVIEW OF A TRUST IN PHILADELPHIA WHICH BE TYPED FIRST THING AM.

ALSO THIS EVENING GILLESPIE ADVISED "MISSING LINK" IN THEIR NEW STATEMENT WAS PHILADELPHIA TRUST INFORMATION AND ASKED IF FBI COULD FURNISH THEM WITH DETAILS OF TRUST AT PHILADELPHIA. THIS INFORMATION WAS GIVEN TO THEM AS REPORTED BY OUR PHILADELPHIA OFFICE.

THE BUREAU WILL BE PROMPTLY ADVISED OF RECEIPT OF NEW STATEMENT AND POSSIBILITY OF HAVE REPORT IN WDC THURSDAY AFTERNOON.

END

FBIHQ FLC CLR TKS

SEE ADDENDUM -- PAGE THREE

TELETYPE TO DIRECTOR, FBI
FROM NEW YORK OFFICE DATED 9/18/74
RE: NELSON A. ROCKEFELLER; SI (REVIEW OF FINANCES)

ADDENDUM JEH:erg 9/19/74

Special Agent Joseph E. Henehan called from the New York Office at 1:30 a.m., 9/19/74, and advised as follows:

Statement of net worth for nominee received 1:00 a.m., this morning, from Howard Bolton, nominee's tax accountant. Telecopies will be forwarded to Bureau first thing this morning. Cover letter discloses new statement is in three parts. Bureau has received only part one which is net worth. Parts two and three are not as yet available and they are: Part two, explanatory notes for net worth and, part three, relating to trusts. Quick review of statement does not disclose any major problems. The net worth has increased from 33 million dollars to 62.5 million dollars which is accounted for by approximate 30 million increase in real estate which are major items. No detailed information has been furnished concerning changes between two statements and Mr. Bolton advised he will be available 8:00 a.m., today, for our Agents to prepare reconciliation between old and new net worth statements. Every effort will be made to follow plan set forth in this teletype.

Bar

MILBANK, TWEED, HADLEY & McCLOY

1 CHASE MANHATTAN PLAZA

NEW YORK, N. Y. 10005

212-422-2660

CABLE: MILTWEED NEW YORK

TELEX: 12-5595

I. T. T. 422962

W. RICE BREWSTER
MORRIS HADLEY
SINCLAIR HAMILTON
A. DONALD MACKINNON
GRENVILLE S. SEWALL

MIDTOWN OFFICE
50 ROCKEFELLER PLAZA
NEW YORK, N. Y. 10020
212 HANOVER 2-2660

September 18, 1974

BURT J. ABRAMS
EDGAR P. BAKER
ANTHONY A. BLISS
HOWARD A. BOLTON
SQUIRE N. BOZORTH
FLOYD E. BRANDOW, JR.
RUSSELL E. BROOKS
HOWARD O. COLGAN, JR.
ANDREW J. CONNICK
F. WILLIAM CRANDALL
ROBERT R. DOUGLASS
ALBERT D. EARLY
PAUL H. FOLWELL
ALEXANDER D. FORGER
GEORGE J. FORSYTH
WILLIAM D. GAILLARD
RICHARD C. GALLOP
JAMES A. GLASCOCK, JR.
ROY C. HABERKERN, JR.
ADLAI S. HARDIN, JR.
SINCLAIR HATCH
ELIOT D. HAWKINS
A. SIDNEY HOLDERNESS, JR.
G. MALCOLM HOLDERNESS
WILLIAM E. JACKSON
JOHN J. JEROME
STUART E. KEEBLER

CHARLES D. KYLE
ALBERT F. LILLEY
JOHN E. LOCKWOOD
FRANCIS D. LOGAN
JOHN J. McCLOY
RALPH C. MURRAY
FRANCIS H. MUSSELMAN
JOHN C. NELSON
DONAL C. O'BRIEN, JR.
ROBERT S. O'HARA, JR.
ROGER B. ORESMAN
MICHAEL F. ORR
WILLIAM PARSONS
CHARLES D. PEET, JR.
SAMUEL S. POLK
EDWARD J. REILLY
PETER D. ROWNTREE
ISAAC SHAPIRO
JOSEPH R. SIPHRON
BRISCOE R. SMITH
RICHARD A. STARK
EDWARD H. STIEFEL
DANIEL G. TENNEY, JR.
WESTON VERNON, JR.
CARROLL L. WAINWRIGHT, JR.
HARRY E. WHITE, JR.
THOMAS A. WILLIAMS

Nelson Aldrich Rockefeller

P

Mr. Joseph E. Henahan
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Henahan:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9806 BJA/MSR

Pursuant to your request, I am furnishing you with a revised net worth statement setting forth the assets owned by, and liabilities and net worth of, Nelson A. and Margaretta F. Rockefeller. It should be understood that this is the first part of a total financial statement being prepared. The remaining portion of the financial statement will set forth explanatory notes on the first part, a second part relating to trusts for the benefit of Nelson A. and Margaretta F. Rockefeller, and a third part relating to trusts created by John D. Rockefeller, Jr., Nelson A. Rockefeller or Margaretta F. Rockefeller for the benefit of the descendants of Nelson A. or Margaretta F. Rockefeller and assets given outright by Nelson A. or Margaretta F. Rockefeller to their descendants.

REC-105 161-6197-386
file in 161-6197
JUL 1
rec'd 1 AM
9/19/74
JUL 1

14 JAN 2 1975

2 ENCLOSURE

1975

There are a few substantive changes between the new financial statement, a portion of which we now send you, and the original net worth statement which you received on August 31, 1974. These changes result, for the most part, from the fact that the initial statement was prepared under considerable time pressure which prevented inclusion of valuations and descriptions not readily at hand.

The following five principal changes are involved:

- (1) The addition of parts two and three of the statement as described above.
- (2) The elimination of offsets in the areas of art and real estate; which offsets had originally been made on the basis of Nelson A. Rockefeller's pledge to give the property to charitable institutions upon death. However, since the actual transfer has not yet taken place, it was decided that these pledged items should be included in the net worth statement.
- (3) With respect to real estate, appraised value has been used where available, and where unavailable, the higher of assessed value or cost has been used.
- (4) The value placed on art has been substantially revised in light of recent appraisals not available at the time the original statement was submitted.
- (5) An offset on account of a gift tax due in November, 1974 has been eliminated.

This information is being made available in accordance with the conditions set forth in your letter to William E. Jackson dated September 4, 1974.

Sincerely yours,

W. E. Jackson
Attorney for
Nelson A. Rockefeller

N.Y.

~~CONFIDENTIAL~~

NELSON A. AND MARGARETTA F. ROCKEFELLER

FINANCIAL STATEMENTS

August 23, 1974

I. ASSETS OWNED BY, AND LIABILITIES AND NET WORTH
OF, NELSON A. AND MARGARETTA F. ROCKEFELLER
(Explanatory notes are attached)

ASSETS:

Cash \$ 394,898

Cash advances:

[REDACTED]
Wayfarer Ketch Corporation
Greenrock Corporation
Subtotal - Cash advances

1,000
13,700
16,000
217,191
247,891

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Notes receivable:

Richard S. Aldrich
[REDACTED]
The Harbor Club
Edward J. Logue
Monte Sacro, S. A.
Hugh Morrow
[REDACTED]
Various employees
Robusta, N.V.
Rodman C. Rockefeller
Steven C. Rockefeller
Subtotal - Notes receivable

10,000
15,000
500
100,000
961,820
30,000
25,000
76,250
70,000
20,250
9,450
1,518,270

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803

RDD/KSC

Accounts receivable:

Aries Valcom, S. A.
Joan Braden
Various employees
International Graphic Arts Society, Inc.
Monte Sacro, S. A.
Insurance claims
Dividend receivable
Interest paid on securities purchased
Subtotal - Accounts receivable

235,767
4,283
3,169
14,000
447,479
3,080
76
5,472
713,326

New York State retirement fund (contributed cost) 21,803

ENCLOSURE

161-6197-384

NELSON A. AND MARGARETTA F. ROCKEFELLER

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 2-

Securities:

Shares of Common Stock (at market value on August 23, 1974, where available; otherwise at cost or estimated value, as noted):

750	Aluminum Co. of America	33,000
800	American Telephone & Telegraph Co.	34,500
58,404	Archer Daniels Midland Corp.	1,065,873
300	Capitol Hill Associates, Inc. (cost)	30,000
4,200	Caterpillar Tractor Co.	194,775
17,100	Coherent Radiation Laboratories, Inc.	128,250
500	Continental Oil Co.	16,250
12,000	Corporate Property Investors (cost)	300,000
3,000	Corning Glass Works, Inc.	151,125
1,500	Daniel International Corp.	24,750
17,637	Dow Chemical Co.	1,053,811
4,315	Eastman Kodak Co.	323,625
14,338	Exxon Corp.	983,945
482	General Electric Co.	16,991
50	Greenrock Corporation (cost)	20,942
1,372	Hewlett Packard Co.	95,011
94,500	C.A. Industria Lactea de Carabobo ("Inlaca") (cost)	434,700
498	Intel Corp.	15,438
12,250	International Basic Economy Corporation.	27,563
5,161	International Business Machines Corp.	980,590
800	International Paper Co.	32,500
1,843	Itek Corp.	12,671
500	Lin-Wood Development Corp. (cost)	5,000
281,803	Malnar, Ltd. (cost)	4,220,845
7,360	Marathon Oil Co.	233,680
1,500	Merchants Inc.	19,500
300	Merck Co., Inc.	17,288
5,070	Mobil Oil Corp.	183,154
5,000	Monte Sacro, S. A. (cost)	789,215
4	News-Week, Inc. (2 shares common and 2 shares preferred) (cost)	200
167	Pan Ocean Oil Corp.	1,503
2,853	Polaroid Corp.	55,990
10,000	Reliance Electric Co.	157,500
165	Rockefeller Brothers, Inc. (estimated value)	2,322
25	Seal Harbor Water Co. (estimated value)	3,175
5,972	Standard Oil Company of California	142,582

NELSON A. AND MARGARETTA F. ROCKEFELLER

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 3-

Securities (Shares of Common Stock) (continued):

5,600	Standard Oil Company (Indiana)	403,900
10,927.27	Teledyne, Inc.	147,518
1,000	Texaco, Inc.	23,625
1/5	Urban National Corporation (one-fifth share preferred) (cost)	10,000
400	Warner Lambert Corp.	8,050
5	Wayfarer Ketch Corporation (cost)	500
6,000	Westinghouse Corp.	64,500

Certificates of Deposit and Bonds:

Banco de Investimentos Lar Brasileiro, negotiable certificate of deposit due March 21, 1976 (cost)	77,872
Banco de Investimentos Lar Brasileiro, negotiable certificate of deposit due June 3, 1976 (cost)	147,530
The Harbor Club, 20-year installment bonds dated December 1, 1970, payable \$500 annually without interest (estimated value)	3,350
Lin-Wood Development Corporation, 5% bonds due June 1, 1981 (cost)	20,000
Northwest Iron Co., Ltd., subordinated notes payable on demand with interest at the prime rate adjusted semiannually (cost)	66,667
Seal Harbor Water Co., 4% bonds due February 1, 1988 (estimated value)	12,600
<u>Subtotal - Securities</u>	<u>12,794,376</u>

Partnership interests:

640-Leisure, 0.333% limited partnership interest (cost)	14,906
Venrock Associates, 1.10753% limited partnership interest (estimated value on September 9, 1974)	142,218
<u>Subtotal - Partnership interests</u>	<u>157,124</u>

Art (at estimated market value):

Paintings and sculpture	22,808,125
Primitive art	5,113,100
Oriental art	1,055,000
Other art	775,600
Porcelains and ceramics	3,309,500
Silver	500,000
<u>Subtotal - Art</u>	<u>33,561,325</u>

NELSON A. AND MARGARETTA F. ROCKEFELLER

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 4-

Real estate (at appraised value where available, otherwise at the higher of cost or assessed value, all as noted):

Cooperative apartment, New York City (at cost of purchases in 1934, 1936, 1937 and 1963)	1,390,057
13 West 54th Street, New York City (at cost of purchase in 1906 plus cost of improvements)	265,938
15 West 54th Street, New York City (at appraised value when acquired on December 1, 1969, plus acquisition costs)	302,219
20-22 West 55th Street, New York City (at cost of purchase in 1956 plus cost of improvements)	612,732
Pocantico Hills, New York, real estate and other properties owned outright (at appraised value except for one property included at cost of \$23,500)	1,745,500
Pocantico Hills, New York family estate (one-fourth interest) (at appraised value in December, 1972 plus cost of improvements)	3,378,900
Property in Tioga County, New York (at costs of purchases from February, 1971 to August 23, 1974)	118,600
2500 Foxhall Road, Washington, D.C. (at assessed value)	1,120,750
Summer Home, Seal Harbor, Maine (at costs of purchases in 1939, 1945, 1952 and 1959, plus cost of improvements)	519,546
Deposit on purchase of property in Kenedy and Willacy Counties, Texas, and expenses in connection therewith	434,603
Shopping Center, Moorestown, New Jersey (one-fourth interest) (at December, 1972 appraised value of equity interest, plus capital additions, less depreciation)	931,731
974-990 Madison Avenue, New York City (one-fourth interest) (at December, 1972 appraised value of equity interest, plus capital additions, less depreciation)	354,401
567 Bedford Road, Pocantico Hills, New York (at cost of purchase in October, 1973)	77,284
<u>Subtotal - Real estate</u>	<u>11,252,261</u>

Furnishings (at cost):

Offices, New York City	230,173
Apartment, New York City	431,656
13 West 54th Street, New York City	29,349

NELSON A. AND MARGARETTA F. ROCKEFELLER

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 5-

Furnishings (at cost) (continued):

Pocantico Hills, New York	311,970
Foxhall Road, Washington, D.C.	71,703
Seal Harbor, Maine	75,849
Venezuela	38,644
Wayfarer Ketch Corporation office, Westchester County, New York	1,979
<u>Subtotal</u> - Furnishings	<u>1,191,328</u>

Automobiles, other vehicles, boats and airplanes

(at cost less depreciation):

Automobiles and other vehicles	213,300
Boats	140,000
Aerojet Commander airplane (one-third interest)	279,700
Grumman Gulfstream airplane (one-third interest)	1,064,600
Bell helicopter (one-sixth interest)	70,300
<u>Subtotal</u> - Automobiles, other vehicles, boats and airplanes	<u>1,767,900</u>

Jewelry (at cost)

521,136

Coins (at cost of purchase during 1973 and 1974)

12,600

Total Assets

64,154,238

LIABILITIES:

Notes payable:

The Chase Manhattan Bank, N.A.	1,250,000
Estate of Winthrop Rockefeller	280,000
Rockefeller Brothers (\$50,000 less one-fourth owed to Nelson A. Rockefeller)	37,500
<u>Subtotal</u> - Notes payable	<u>1,567,500</u>

Miscellaneous accounts payable

5,513

Total Liabilities

1,573,013

NET WORTH

\$62,581,225

~~CONFIDENTIAL~~

NELSON A. and MARGARETTA F. ROCKEFELLER

Statement of Net Worth

August 23, 1974

ASSETS:

Cash \$ 376,168

Cash advances:

[redacted] Account	1,000
[redacted], Attorney	13,700
Wayfarer Ketch Corporation	16,000
Greenrock Corporation	217,191
Subtotal - Cash advances	247,891

b6
b7C

Notes receivable:

Richard S. Aldrich	10,000
[redacted]	15,000
Harbor Club	500
Edward L. Logue	100,000
Monte Sacro, S. A.	837,000
Hugh Morrow	20,000
Various employees	76,250
Robusta, N.V.	270,000
Rodman C. Rockefeller	20,250
Steven C. Rockefeller	9,450
[redacted]	25,000
Subtotal - Notes receivable	1,393,450

b6
b7C

ALL INFORMATION CONTAINED
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DATE 12-19-91 BY 9803 RDD/KSR

Accounts receivable:

Antique Porcelain Co., Ltd.	4,403
Aries Valcom	235,767
Joan Braden	4,283
CORE Special Purpose Fund	20,000
Various employees	3,169
International Graphic Arts Society, Inc.	14,000
Monte Sacro, S. A.	447,479
[redacted]	1,500
Insurance claims	3,080
Interest paid on securities purchased	5,472
Subtotal - Accounts receivable	739,153

b6
b7C

New York State retirement fund, contributed cost

21,803

Securities:

Aluminum Co. of America, stock	33,000
American Telephone & Telegraph Co., stock	34,500
Archer Daniels Midland, stock	1,065,873
Capitol Hill Associates, Inc., stock, at cost	30,000
Caterpillar Tractor Co., stock	194,775
Coherent Radiation Laboratories, stock	128,250

ENCLOSURE

161-6197-386

rec'd 8/31/74
jmt

NELSON A. and MARGARETTA F. ROCKEFELLER

Statement of Net Worth

August 23, 1974

-Page 2-

Securities (continued):

Continental Oil, stock	16,250
Corporate Property Investors, stock, at cost	300,000
Corning Glass Works, stock	151,125
Daniel International Corp., stock	24,750
Dow Chemical Co., stock	1,053,811
Eastman Kodak Co., stock	323,625
Exxon, stock	983,945
General Electric, stock	16,991
Greenrock Corporation, stock, at cost	20,942
Hewlett Packard Co., stock	95,011
Inlaca, stock, at cost	434,700
Intel, stock	15,438
International Basic Economy Corporation, stock	27,563
International Business Machines, stock	980,590
International Paper Co., stock	32,500
Itek Corp., stock	12,671
Linwood Development Corp., stock, at cost	5,000
Malnar, Ltd., stock, at cost	4,220,845
Marathon Oil Co., stock	233,680
Merchants Inc., stock	19,500
Merck Co., Inc., stock.	17,288
Mobil Oil Corp., stock	183,154
Monte Sacro, S. A., stock, at cost	789,215
Newsweek, Inc., stock (common and preferred), at cost	200
Pan Ocean Oil Corp., stock	1,503
Polaroid Corp., stock	55,990
Reliance Electric Co., stock	157,500
Rockefeller Brothers, Inc., stock	4,125
Seal Harbor Water Co., stock, at cost	1,225
Standard Oil Company of California, stock	142,582
Standard Oil Company (Indiana), stock	403,900
Teledyne, stock	147,518
Texaco, Inc., stock	23,625
Urban National Corporation, fractional unit, at cost	10,000
Warner Lambert, stock	8,050
Wayfarer Ketch Corporation, stock, at cost	500
Westinghouse, stock	64,500
Banco de Investimentos Lar Brasileiro, negotiable certificate of deposit due March 21, 1976, at cost on May 30, 1974	77,872

NELSON A. and MARGARETTA F. ROCKEFELLER

Statement of Net Worth

August 23, 1974

-Page 3-

Securities (continued):

Banco de Investimentos Lar Brasileiro, negotiable certificate of deposit due June 3, 1976, at cost on June 14, 1974	147,530
Harbor Club, bond, at cost	8,500
Linwood Development Corporation, bonds, at cost	20,000
Monte Sacro, S. A., bonds, at cost	124,820
Northwest Iron Co., bonds, at cost	66,667
Seal Harbor Water Co., bonds, at cost	21,000
Subtotal - Securities	<u>12,932,599</u>

Partnership interests:

640-Leisure, at cost	14,906
Venrock Associates, estimated market value December 31, 1973	<u>195,544</u>
Subtotal - Partnership interests	<u>210,450</u>

Art, porcelains and silver, at estimated value	24,145,725
Less: Art pledged to charitable organizations, at estimated value	<u>14,122,000</u>
Subtotal - Art, porcelains and silver	<u>10,023,725</u>

Real estate:

Cooperative apartment, New York City, at cost	1,390,057
13-15 West 54th Street, New York City; at cost	568,157
20-22 West 55th Street, New York City, at cost	612,732
Residences and other properties, Pocantico Hills, New York, at cost	4,623,558
Pocantico Hills, New York (one-fourth interest), at cost	3,875,901
Property in Tioga County, New York, at cost	118,600
2500 Foxhall Road, Washington, D. C., at cost	511,988
Summer Home, Seal Harbor, Maine, at cost	519,546
Deposit on purchase of property in Kenedy and Willacy Counties, Texas, and expenses in connection therewith	434,603
Shopping Center, Moorestown, New Jersey (one- fourth interest), at December, 1972 appraised value of equity interest, plus capital addi- tions, less depreciation	928,086
974-990 Madison Avenue, New York City (one- fourth interest), at December, 1972 appraised value of equity interest, plus capital addi- tions, less depreciation	342,914
567 Bedford Road, Pocantico Hills, New York	77,284
Subtotal	<u>14,003,426</u>
Less: Real estate to be transferred to charitable organizations by will	<u>6,327,790</u>
Subtotal - Real estate	<u>7,675,636</u>

NELSON A. and MARGARETTA F. ROCKEFELLER

Statement of Net Worth

August 23, 1974

-Page 4-

Furnishings:

Offices, New York City	230,173
Apartment, New York City	431,656
13 West 54th Street, New York City	29,349
Pocantico Hills, New York	311,970
Foxhall Road, Washington, D. C.	71,708
Seal Harbor, Maine	75,849
Venezuela	38,644
Wayfarer Ketch Corporation office, Westchester County, New York	1,979
Subtotal - Furnishings	<u>1,191,328</u>

Automobiles, other vehicles, boats and airplanes:

Automobiles and other vehicles	213,300
Boats	140,000
Aerojet Commander airplane (one-third interest)	279,700
Grumman Gulfstream airplane (one-third interest)	1,064,600
Bell helicopter (one-sixth interest)	70,300
Subtotal - Automobiles, other vehicles, boats and airplanes	<u>1,767,900</u>

Jewelry, at cost

521,136

Coins

12,600

Total Assets

37,113,839

LIABILITIES:

Notes payable:

The Chase Manhattan Bank, N. A.	1,250,000
Estate of Winthrop Rockefeller	280,000
Rockefeller Brothers (\$50,000 less one-fourth owed to Nelson A. Rockefeller)	37,500
Subtotal - Notes payable	<u>1,567,500</u>

Accounts payable:

Gift taxes due November 15, 1974, estimate	2,500,000
Miscellaneous accounts payable	5,513
Subtotal - Accounts payable	<u>2,505,513</u>

Total Liabilities

4,073,013

NET WORTH

\$33,040,826

"TREAT AS ORIGINAL"

FBI

FACSIMILE

00011

Date: 9/19/74

Best Copy Available PLAIN

Transmit the following in

(Type in plaintext or code)

TELETYPE

IMMEDIATE

(Priority)

Assoc. Dir.	_____
Dep. A.D. Adm.	_____
Dep. A.D. Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

TO: SAC, ALBANY
DIRECTOR, FBI
FROM: SAC, NEW YORK (161-2901)
NELSON A. ROCKEFELLER, SPI

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 19

1003 RDD/KSB

RE NEW YORK TEL CALL, SEPT. 18, 1974.

STEVEN BRILL, FREE-LANCE WRITER FOR NEW YORK MAGAZINE, ADVISED HE HAS WRITTEN ARTICLE CRITICAL OF HOMER FOR ISSUE TO COME OUT SEPT. 23, 1974. ONE CRITICISM PERTAINS TO THE ALLEGATION THAT ROCKEFELLER PERSONALLY INTERVIEWED IN A REGULAR COMPETITIVE BIDDING PROCEDURE ON BEHALF OF ELECTRONIC DATA SYSTEMS CORPORATION, OWNED BY H. ROSS PEROT. CONTRACT WAS FOR THE INSTALLATION OF A COMPUTER SYSTEM IN THE WELFARE DEPARTMENT AND GEORGE BERLINGER, INSPECTOR GENERAL OF THAT DEPARTMENT, CONDUCTED INVESTIGATION THAT CLEARED ROCKEFELLER. BRILL STATED HIS ANALYSIS OF TESTIMONY SHOWED CONTRADICTIONS, INDICATED QUESTIONS TO ELICIT PERTINENT INFORMATION WERE NOT ASKED AND TESTIMONY OF WITNESSES WAS NOT VERIFIED. SUGGESTED THAT DANIEL KRIPSAN, DEPUTY COMMISSIONER AND ABE LEVINE, COMMISSIONER, NEW YORK STATE WELFARE DEPT. BE

161-6197-387
NOT RECORDED
JAN 7 1975

1 - New York
1 - Supv. #18
JLR:sm
(2)

Approved: 58 JAN 14 1976 Special Agent in Charge

Sent _____ M Per _____

U.S. GOVERNMENT PRINTING OFFICE: 1969 O - 344-886 (11)

F B I

Date:

Transmit the following in _____
(Type in plaintext or code)

Via _____
(Priority)

NY 161-2961

PAGE TWO

CONTACTED. ACCORDING TO BRILL, TESTIMONY REVEALS THAT KRIPSAK SENT OUT REJECTION LETTER TO ELECTRONIC DATA SYSTEMS (EDS) AND THE NEXT DAY LEVINE TOLD HIM THAT WAS A MISTAKE AND ORDERED HIM TO SENT OUT A LETTER RESCINDING THE REJECTION. BRILL ALSO REFERRED TO AN ALLEGATION SEVERAL YEARS AGO THAT FORMER SECRETARY OF STATE JOHN LORENZO WAS MAKING DEALS WITH CONSTRUCTION COMPANIES WITH MAFIA BACKGROUND. ROCKEFELLER ORDERED ROBERT FISCHER, DEPUTY ATTORNEY GENERAL, TO INVESTIGATE. BRILL SAID NO REPORT OF THE INVESTIGATION WAS PUBLISHED. FISCHER WAS MADE A JUDGE, AND LORENZO QUIETLY RESIGNED.

ALBANY LOCATE AND INTERVIEW LEVINE, KRIPSAK AND FISCHER RE ALLEGATIONS.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: JOHN E. WARREN
Date: 9/19/74

Office: New York, New York

Field Office File #: 161-2961

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis: J. RICHARDSON DILWORTH, Senior Financial Advisor, ROCKEFELLER Family, advised 9/19/74, that throughout entire transactions involving Literary Productions, Incorporated, he acted as an intermediary. He stated that checks in the amount of \$40,000 and \$25,000 were issued in connection with this corporation, and to his knowledge both checks issued from funds of LAURANCE ROCKEFELLER. [REDACTED], Attorney, 5600 Rockefeller Center, made available file containing transactions involving VICTOR LASKY'S book and Literary Productions, Incorporated.

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- P -

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/10SR

CWC:mmz

1.

NY 161-2961

On September 19, 1974, J. RICHARDSON DILWORTH, Senior Financial Advisor, Rockefeller Family, Room 5600, One Rockefeller Plaza, New York, New York, was interviewed at his place of employment by Special Agent [REDACTED].

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Mr. DILWORTH advised that throughout the entire transactions involving Literary Productions, Incorporated (LPI), a corporation formed to produce and publish a book by VICTOR LASKY, he had acted as an intermediary, along with Mr. ROBERT ORR, another Rockefeller aide. Mr. DILWORTH advised that following the decision to establish LPI, he had secured an individual to act as a financial backer upon the advice and direction of ROBERT ORR. In so doing, he had contacted his now deceased uncle's law firm in Philadelphia, Pennsylvania, Dilworth, Paxson, Kalish, Levy and Coleman, and secured Mr. [REDACTED], a partner in that firm, to act in the capacity of shareholder of record for LPI.

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Mr. [REDACTED] in turn was sent two checks, the first in the amount of \$40,000 and the second in the amount of \$25,000 to enable him, Mr. [REDACTED], to purchase 600 shares, the total number of shares of LPI. He stated that upon the issuance of the first check of \$40,000 in July, 1970, he had advised Mr. [REDACTED] of his position as the nominee, purchaser of the shares, and the fact that Mr. [REDACTED] was to take all his directives from him, J. RICHARDSON DILWORTH. He stated that to his knowledge both checks were issued from the funds of LAURANCE ROCKEFELLER. He stated that a subsequent communication, date unknown, was sent to Mr. [REDACTED] advising him that he should also act pursuant to the directives of Mr. ORR and Mr. O'BRIEN, both associated with the Rockefeller Family.

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CWC:mmz

2.

NY 161-2961

This letter advised Mr. [] that he was to send a check in the amount of \$35,000 in payment for 350 shares of LPI.

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Mr. DILWORTH advised that upon the issuance of the second check in the amount of \$25,000, Mr. ORR advised Mr. [] that he was to remit this amount, in payment for 250 shares of LPI, bringing the total shares to 600.

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Mr. DILWORTH advised that following the dissolution of LPI, Mr. [] had requested directions on what to do with an outstanding balance left in the original account composed of money received in the amount of \$40,000 and \$25,000 checks. Eventually, the amount of approximately \$6800 was sent to him in a check, naming Mr. DILWORTH the payee. Mr. DILWORTH advised that subsequently this check was deposited to his personal account and an additional check written by him in the same amount was made payable to the account of LAURANCE ROCKEFELLER, thereby transferring all remaining monies outstanding.

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Mr. DILWORTH advised that to his knowledge NELSON ROCKEFELLER was not in any way involved in LPI, nor did he have knowledge of the funding or contents of the book.

Mr. DILWORTH advised that on the evening of September 18, 1974, he had contacted LAURANCE ROCKEFELLER, at which time LAURANCE ROCKEFELLER had advised him that he had "zero recollection of this matter".

CWC:mmz

1.

NY 161-2961

On September 19, 1974, [redacted], Attorney for 5600 Rockefeller Center, New York, New York, advised that he had succeeded to ROBERT ORR's position in June, 1971. Mr. [redacted] made available a file concerning the transactions involving VICTOR LASKY's book and Literary Productions, Incorporated (LPI), which contained a number of memoranda.

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The following memoranda and their general contents as noted below, were contained in the portfolio:

A memorandum dated July 29, 1970, from J. RICHARDSON DILWORTH to [redacted] advising [redacted] of an enclosed check in the amount of \$40,000 and advising [redacted] that he was to be the nominee, shareholder of record of all the shares to be issued by LPI. The memorandum noted that Mr. [redacted] was to take all of his directives from J. RICHARDSON DILWORTH.

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A memorandum dated July 30, 1970, from ROBERT B. ORR to Mr. [redacted] (of the Accounting Department) advising Mr. [redacted] to charge LAURANCE S. ROCKEFELLER's account for the amount of \$40,000 and to issue an official check for that amount, payable to [redacted].

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A memorandum dated August 28, 1970, from ROBERT B. ORR, again to the Accounting Department, advising them to charge the amount of \$25,000 to LAURANCE S. ROCKEFELLER's account and to issue an official check for that amount payable to [redacted].

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A memorandum dated July 31, 1970, from [redacted] to ROBERT B. ORR, noting the payment of \$35,000 for 350 shares of stock of LPI.

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CWC:mmz

2.

NY 161-2961

A memorandum dated July 30, 1970, from J. RICHARDSON DILWORTH to [] advising that Mr. [] should also accept the directives of Mr. ORR and Mr. O'BRIEN.

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A memorandum dated August 7, 1970, covering stock certificates, the Articles of Incorporation, noting their incorporation under a "1244 Plan" noting the director of the aforementioned corporation to be ROBERT A. COLLIER and noting the hand delivery of the aforementioned certificates and articles.

Mr. [] advised that he was not familiar with ROBERT A. COLLIER.

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A memorandum dated August 5, 1971, from [] of Rogers and Wells Law Firm, advising that they sought to discontinue the existence of the corporation and terminate all transactions thereof.

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A memorandum dated February 2, 1972, whereby Mr. [] advised that it could be possible that losses sustained in the LPI transactions could be a deductible item, not noting for whom.

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b7C

A memorandum dated May 9, 1973, from [] to [], advising him to send the balance of the amount, some \$6800, in a check payable to J. RICHARDSON DILWORTH.

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A memorandum dated May 21, 1973, from [] noting that the check in the amount of \$6827.26 had been sent to J. RICHARDSON DILWORTH.

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A memorandum dated June 21, 1973, noting that the amount of \$6827.26 had been credited to LAURANCE ROCKEFELLER's account by check made payable to such account by J. RICHARDSON DILWORTH. The conclusion of the memorandum noted a comment of DAVID G. FERNALD, Senior Accountant to the 5600, that "no attempt will be made to write off the balance from a tax standpoint."

CWC:mmz

3.

NY 161-2961

A memorandum dated July 19, 1973, from DAVID G. FERNALD that all losses sustained would be considered personal and non-deductible.

Mr. [] advised that to his knowledge all monies sent to [] had come from the account of LAURANCE ROCKEFELLER. It was his, Mr. []'s, belief that Mr. NELSON A. ROCKEFELLER was not familiar with the exchange of monies, nor the purchase of the shares by Mr. [] or knew about any of the transactions involving LPI.

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On September 19, 1974, DAVID G. FERNALD, Manager of Accounting and Taxes for 5600 Rockefeller Center, New York, New York, advised that following the dissolution of Literary Productions, Incorporated (LPI), it was his decision that any losses actually sustained by LAURANCE ROCKEFELLER would not be taken as a business loss in any way. No losses were noted and/or deducted from Mr. LAURANCE ROCKEFELLER's tax return for 1973, sustained in the LPI transactions. The funding of LPI was not considered a gift, as well. Mr. FERNALD advised that to his knowledge NELSON A. ROCKEFELLER was not aware of the business transactions involved in LPI.

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *wvc*

FROM : O. E. Coleman *OC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-19-74

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Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
Admin. _____
Comp. Syst. _____
Ext. Affairs _____
Files & Com. _____
Gen. Inv. _____
Ident. _____
Inspection _____
Intell. _____
Laboratory _____
Plan. & Eval. _____
Spec. Inv. _____
Training _____
Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

There is attached a facsimile copy of a revised Net Worth Statement furnished to SA Joseph E. Henahan at 1 a.m. today in New York City by a representative of Governor Rockefeller.

It is noted this revised statement shows assets totaling \$62,581,225. The prior Statement of Net Worth submitted by the Rockefeller staff set forth assets of \$33,040,826.

The previous Net Worth Statement and the latest Net Worth Statement received will be set forth in our financial report concerning Governor Rockefeller which we anticipate will be disseminated to The White House and the Attorney General on Friday, 9-20-74.

ACTION:

For information.

Enc.

ENCLOSURE

- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Gebhardt (Attention: Mr. Long)
- 1 - Mr. Cleveland
- 1 - Mr. Coleman

OEC:dc

-6-

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSL

161-6197-389
NOT RECORDED

14 DEC 31 1974

38 JAN 14 1975

1 CHASE MANHATTAN PLAZA

NEW YORK, N. Y. 10005

MURRAY J. BARR
EDMAN M. BARR
ANTHONY A. BARR
HOWARD A. BARR
GUYARD U. BARR
FLOYD E. BARR
MURRAY E. BARR
HOWARD G. BARR
ANDREW J. BARR
F. WILLIAM COVAT
ROBERT E. COVAT
ALBERT D. FARR
PAUL H. FARR
ALEXANDER E. FARR
GEORGE J. FARR
WILLIAM D. GALL
NICHAM D. GALL
JAMES A. GLASS
ROY E. HADGONCE, JR.
ADLAI E. HADGONCE, JR.
SINCLAIR HATCH
LUST D. HARKINS
A. HENRY HOLLAND
G. WILCOX HOLLAND
WILLIAM F. JACKSON
JOHN J. JENDRE
STUART F. KEEBLER

CHARLES D. O.
ALBERT F. LILL
JOHN L. LORAN
FRANCIS D. LORAN
JOHN J. MACLO
WALTON E. MURRAY
FRANCIS H. MURRAY
JOHN E. MURRAY
DONALD E. MURRAY, JR.
ROBERT E. MURRAY, JR.
ROBERT E. MURRAY
MICHAEL J. OBY
WILLIAM PARSONS
CHARLES D. PEECE, JR.
SAMUEL E. PEECE
EDWARD J. PEECE
PETER D. ROENTZ
JESSE SHAPIRO
JOSEPH D. SHAPIRO
MICHAEL E. SHAPIRO
RICHARD A. SHAPIRO
EDWARD H. SHAPIRO
DANIEL G. SHAPIRO, JR.
NESTOR SHAPIRO, JR.
CAROL L. SHAPIRO, JR.
HARRY L. WHITE, JR.
THOMAS A. WILLIAMS

212-422-7000

CHASE MANHATTAN NEW YORK

TELEX 12-5355

N. Y. 402002

WILLIAM ROCKEFELLER
WOODS ROCKEFELLER
SINCLAIR ROCKEFELLER
A. ROCKEFELLER
ROCKEFELLER E. ROCK

NEW YORK OFFICE
20 ROCKEFELLER PL.
NEW YORK, N. Y. 10005
NEW HANOVER 6-24

September 18, 1974

Mr. Joseph E. Henahan
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Henahan:

DATE 12-19-91 9803 RDD/MSL

Pursuant to your request, I am furnishing you with a revised net worth statement setting forth the assets owned by, and liabilities and net worth of, Nelson A. and Margaretta F. Rockefeller. It should be understood that this is the first part of a total financial statement being prepared. The remaining portion of the financial statement will set forth explanatory notes on the first part, a second part relating to trusts for the benefit of Nelson A. and Margaretta F. Rockefeller, and a third part relating to trusts created by John D. Rockefeller, Jr., Nelson A. Rockefeller or Margaretta F. Rockefeller for the benefit of the descendants of Nelson A. or Margaretta F. Rockefeller and assets given outright by Nelson A. or Margaretta F. Rockefeller to their descendants.

There are a few substantive changes between the new financial statement, a portion of which we now send you, and the original net worth statement which you received on August 31, 1974. These changes result, for the most part, from the fact that the initial statement was prepared under considerable time pressure which prevented inclusion of valuations and descriptions not readily at hand.

The following five principal changes are involved:

- (1) The addition of parts two and three of the statement as described above.
- (2) The elimination of offsets in the areas of art and real estate; which offsets had originally been made on the basis of Nelson A. Rockefeller's pledge to give the property to charitable institutions upon death. However, since the actual transfer has not yet taken place, it was decided that these pledged items should be included in the net worth statement.
- (3) With respect to real estate, appraised value has been used where available, and where unavailable, the higher of assessed value or cost has been used.
- (4) The value placed on art has been substantially revised in light of recent appraisals not available at the time the original statement was submitted.
- (5) An offset on account of a gift tax due in November, 1974 has been eliminated.

This information is being made available in accordance with the conditions set forth in your letter to William E. Jackson dated September 4, 1974.

Sincerely yours,

William E. Jackson
Attorney for
Nelson A. Rockefeller

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 2-

Securities:

Shares of Common Stock (at market value on August 23, 1974, where available; otherwise at cost or estimated value, as noted):

750	Aluminum Co. of America	33,000
800	American Telephone & Telegraph Co.	34,500
58,404	Archer Daniels Midland Corp.	1,065,873
300	Capitol Hill Associates, Inc. (cost)	30,000
4,200	Caterpillar Tractor Co.	194,775
17,100	Coherent Radiation Laboratories, Inc.	128,250
500	Continental Oil Co.	16,250
12,000	Corporate Property Investors (cost)	300,000
3,000	Corning Glass Works, Inc.	151,125
1,500	Daniel International Corp.	24,750
17,637	Dow Chemical Co.	1,053,811
4,315	Eastman Kodak Co.	323,625
14,338	Exxon Corp.	983,945
482	General Electric Co.	16,991
50	Greenrock Corporation (cost)	20,942
1,372	Hewlett Packard Co.	95,011
94,500	C.A. Industria Lactea de Carabobo ("Inlaca") (cost)	434,700
498	Intel Corp.	15,432
12,250	International Basic Economy Corporation	27,563
5,161	International Business Machines Corp.	980,590
800	International Paper Co.	32,500
1,843	Itek Corp.	12,671
500	Lin-Wood Development Corp. (cost)	5,000
281,803	Malnar, Ltd. (cost)	4,220,845
7,350	Marathon Oil Co.	233,680
1,500	Merchants Inc.	19,500
300	Merck Co., Inc.	17,288
5,070	Mobil Oil Corp.	183,154
5,000	Monte Sacro, S. A. (cost)	739,215
4	News-Week, Inc. (2 shares common and 2 shares preferred) (cost)	200
167	Pan Ocean Oil Corp.	1,503
2,853	Polaroid Corp.	55,990
10,000	Reliance Electric Co.	157,500
155	Rockefeller Brothers, Inc. (estimated value)	322
25	Seal Harbor Water Co. (estimated value)	175
5,972	Standard Oil Company of California	142,582

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 3-

Securities (Shares of Common Stock) (continued):

5,600	Standard Oil Company (Indiana)	403,900
10,927.27	Teledyne, Inc.	147,518
1,000	Texaco, Inc.	23,625
1/5	Urban National Corporation (one-fifth share preferred) (cost)	10,000
400	Warner Lambert Corp.	2,050
5	Wayfarer Ketch Corporation (cost)	500
6,000	Westinghouse Corp.	64,500

Certificates of Deposit and Bonds:

Banco de Investimentos Lar Brasileiro, negotiable certificate of deposit due March 21, 1976 (cost)	77,872
Banco de Investimentos Lar Brasileiro, negotiable certificate of deposit due June 3, 1976 (cost)	147,530
The Harbor Club, 20-year installment bonds dated December 1, 1970, payable \$500 annually without interest (estimated value)	3,350
Lin-Wood Development Corporation, 53 bonds due June 1, 1981 (cost)	20,000
Northwest Iron Co., Ltd., subordinated notes payable on demand with interest at the prime rate adjusted semiannually (cost)	66,667
Seal Harbor Water Co., 43 bonds due February 1, 1983 (estimated value)	12,600
<u>Subtotal - Securities</u>	<u>12,794,375</u>

Partnership interests:

640-Leisure, 0.333% limited partnership interest (cost)	14,906
Venrock Associates, 1.10753% limited partnership interest (estimated value on September 9, 1974)	142,218
<u>Subtotal - Partnership interests</u>	<u>157,124</u>

Art (at estimated market value):

Paintings and sculpture	22,808,125
Primitive art	5,113,100
Oriental art	1,055,000
Other art	775,600
Porcelains and ceramics	3,309,500
Silver	500,000
<u>Subtotal - Art</u>	<u>33,561,325</u>

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 4-

Real estate (at appraised value where available, otherwise at the higher of cost or assessed value, all as noted):

Cooperative apartment, New York City (at cost of purchases in 1934, 1936, 1937 and 1963)	1,390,057
13 West 54th Street, New York City (at cost of purchase in 1906 plus cost of improvements)	265,938
15 West 54th Street, New York City (at appraised value when acquired on December 1, 1969, plus acquisition costs)	302,219
20-22 West 55th Street, New York City (at cost of purchase in 1956 plus cost of improvements)	612,732
Pocantico Hills, New York, real estate and other properties owned outright (at appraised value except for one property included at cost of \$23,500)	1,745,500
Pocantico Hills, New York family estate (one-fourth interest) (at appraised value in December, 1972 plus cost of improvements)	3,378,900
Property in Tioga County, New York (at costs of purchases from February, 1972 to August 23, 1974)	116,600
2500 Foxhall Road, Washington, D.C. (at assessed value)	1,120,750
Summer Home, Seal Harbor, Maine (at costs of purchases in 1939, 1945, 1952 and 1959, plus cost of improvements)	519,546
Deposit on purchase of property in Kenedy and Willacy Counties, Texas, and expenses in connection therewith	434,603
Shopping Center, Moorestown, New Jersey (one-fourth interest) (at December, 1972 appraised value of equity interest, plus capital additions, less depreciation)	931,731
974-990 Madison Avenue, New York City (one-fourth interest) (at December, 1972 appraised value of equity interest, plus capital additions, less depreciation)	354,401
567 Bedford Road, Pocantico Hills, New York (at cost of purchase in October, 1973)	77,284
<u>Subtotal - Real estate</u>	<u>11,252,261</u>

Furnishings (at cost):

Offices, New York City	230,173
Apartment, New York City	431,656
13 West 54th Street, New York City	29,349

NELSON A. AND MARGARETTA F. ROCKEFELLER

Statement of Assets, Liabilities and Net Worth

August 23, 1974

-Page 5-

Furnishings (at cost) (continued):

Pocantico Hills, New York	311,970
Foxhall Road, Washington, D.C.	71,700
Seal Harbor, Maine	75,849
Venezuela	38,644
Wayfarer Ketch Corporation office, Westchester County, New York	1,979
<u>Subtotal - Furnishings</u>	<u>1,191,328</u>

Automobiles, other vehicles, boats and airplanes
(at cost less depreciation):

Automobiles and other vehicles	213,300
Boats	140,000
Aerojet Commander airplane (one-third interest)	279,700
Grumman Gulfstream airplane (one-third interest)	1,064,600
Bell helicopter (one-sixth interest)	70,300
<u>Subtotal - Automobiles, other vehicles, boats and airplanes</u>	<u>1,767,900</u>

Jewelry (at cost)

521,136

Coins (at cost of purchase during 1973 and 1974)

12,600

Total Assets

64,154,238

LIABILITIES:

Notes payable:

The Chase Manhattan Bank, N.A.	1,250,000
Estate of Winthrop Rockefeller	280,000
Rockefeller Brothers (\$50,000 less one-fourth owed to Nelson A. Rockefeller)	37,500
<u>Subtotal - Notes payable</u>	<u>1,567,500</u>

Miscellaneous accounts payable

5,513

Total Liabilities

1,573,013

NET WORTH

\$62,581,225

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WCC*

FROM : O. E. Coleman *OEC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-19-74

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
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Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

Today, at approximately 9:30 a.m., SA Dana E. Caro, assigned to the Employees Security and Special Inquiry Section, accompanied Departmental Attorney [REDACTED] Tax Division, Department of Justice, to the office of Marlow W. Cook, United States Senator from Kentucky, in Washington, D. C., for the purpose of Senator Cook's review of the investigation conducted to date of Rockefeller.

The review commenced at 9:40 a.m., and concluded at 11:30 a.m. Senator Cook reviewed the entire investigation, which has been disseminated to date.

RECOMMENDATION:

For information.

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt (Attention: Mr. Long)
1 - Mr. Cleveland
1 - Mr. Coleman
1 - Mr. Caro

1 - Mr. Bowers

DEC:hjc (8)

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

NOT RECORDED

14 DEC 31 1974

53 JAN 14 1975

September 19, 1974

12-19-91 9803 RDD/KSL

Mr. Charles A. Dun Leavay
Box 189, Times Square Station
New York, New York 10036

Dear Mr. Dun Leavay:

On September 16th I received the copy of your book

"Nelson Rockefeller's Billion Dollar Monopoly Caper," which
you forwarded. It was most thoughtful of you to send this

book to us.

Sincerely yours,

C. M. Kelley

Clarence M. Kelley
Director

NOTE: Bufiles indicate Mr. Dun Leavay was the subject of a Miscellaneous-Civil Suit Inquiry in 1972. The Bureau was asked to ascertain the number of times Dun Leavay had filed suits in the New York State Supreme Court inasmuch as he was believed by the judge to repeatedly institute suits and act as his own counsel. Limited records were available; however, he filed four suits between August, 1971, and August, 1972. One of which was against a publishing company alleging breach of contract for failure to print and bind 1000 copies of a book entitled "Up the Rockefellers." In 1971 Dun Leavay was 55 years old and at one time was employed as a teacher at Morris High School in Bronx, New York. After answer, the book should be forwarded to Special Inquiry for review in connection with our Special Inquiry investigation concerning Mr. Rockefeller's nomination as Vice President.

awt:lb (3)

MAILED 20

SEP 19 1974

FBI

14 DEC 31 1974

GPO 95

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Training _____
Legal Coun. _____
Telephone Rm. _____
Director Sec'y _____

MAIL ROOM

TELETYPE UNIT ☐

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

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Director Sec'y	_____

FTB032 5:52PM 9/18/74 GHS

STATE 131

3:45 PM URGENT 9/18/74 JST

TO DIRECTOR (161-6197) NR 130-18

FROM LEGAT CARACAS (161-1) 3P

SEP 18 1974

TELETYPE

NELSON ALDRICH ROCKEFELLER, SPECIAL INQUIRY

Mr. Coleman
Room 1246

RECARTEL SEPTEMBER 14, 1974.

ON SEPTEMBER 17, 1974, [REDACTED], MANAGER, BANCO
MERCANTIL Y AGRICOLA, CARACAS, VENEZUELA, ADVISED THAT HE HAD
CAUSED A REVIEW OF THEIR BRANCH BANK RECORDS IN VALENCIA,
VENEZUELA, AND DETERMINED THAT THERE ARE NO CURRENT LOANS OUT-
STANDING TO MONTE SACRO S.A., A RESIDENCE AND RANCHING PROPERTY
WHOLLY OWNED BY NELSON ROCKEFELLER. SEVERAL LOANS HAVE BEEN MADE
TO MONTE SACRO IN THE PAST AND ALL HAVE BEEN PROMPTLY HANDLED.
LOAN EXPERIENCE IS DESCRIBED AS "EXCELLENT."

ON SEPTEMBER 17, 1974, INSPECTOR [REDACTED]
INSPECTION AND TAXATION DIVISION, MINISTRY OF FINANCE, CARACAS,
ADVISED THAT TAX RECORDS REFLECT THAT MONTE SACRO HAS FILED RETURNS
EACH YEAR SINCE 1962, AND SHOWED AN OPERATING LOSS EACH YEAR WITH
THE EXCEPTION OF 1970 AND 1971. THERE ARE NO LIENS OR PENDING
OBLIGATIONS ON THE MONTE SACRO ACCOUNT.

END PAGE ONE

161-6197-392

NOT RECORDED

JAN 2 1975

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PAGE TWO

ON SEPTEMBER 17, 1974, DR. RAUL PDLERA, PRESIDENT, ARIES VALCOM S.A. (AVSA), CARACAS, VENEZUELA, ADVISED THAT AVSA HAD BEEN FORMED IN 1973 FOR THE SOLE PURPOSE OF RECEIVING SHARES OF STOCK IN THE COMPANY KNOWN AS C.A. INDUSTRIA LACTEA DE CARABOBO (INLACA), WHICH WERE TO BE SOLD TO VENEZUELANS, AND PREFERABLY TO EMPLOYEES OF INLACA.

ON DECEMBER 28, 1973, AVSA RECEIVED UNDER TRANSFER 60,000 SHARES OF INLACA FROM VENEZUELAN HOLDINGS, INC., A DELAWARE CORPORATION, AND ANOTHER 60,000 SHARES OF INLACA FROM NELSON A. ROCKEFELLER OF NEW YORK, NEW YORK. THE CONTRACTUAL AGREEMENT MADE AT THE TIME SPECIFIED THE PAR VALUE OF INLACA AT BS. 4.00 (APPROXIMATELY \$0.92), AND THE SELLING PRICE AT BS. 27.50 (APPROXIMATELY \$6.40). PAYMENT FOR THESE SHARES WAS TO BE MADE IN EQUAL AMOUNTS TO VENEZUELAN HOLDINGS, INC., AND NELSON A. ROCKEFELLER AT LEAST ONCE A YEAR, AND WITH OPTIONS FOR EARLY REMISSION. AVSA HAS SOLD ALL BUT 28,000 OF THE ORIGINAL 120,000 SHARES AND REGULAR PAYMENTS HAVE BEEN MADE. THE CONTRACT WITH AVSA WAS SIGNED BY FRANCIS W. LEE, PRESIDENT FOR VENEZUELAN HOLDINGS, INC., AND BY NELSON A. ROCKEFELLER.

END PAGE TWO

CAR 161-1

PAGE THREE

OBSSEPTEMBER 18, 1974, INSPECTOR [REDACTED], SUPRA, ADVISED THAT TAX RETURNS FOR INLACA ARE IN FILE THROUGH 1972, NOTING THAT THE 1973 RETURN MAY WELL HAVE BEEN FILED BUT HAS NOT YET BEEN PLACED INTO THE RECORD. ON JUNE 10, 1965, INLACA WAS ADVISED OF A TAX ASSESSMENT IN THE AMOUNT OF BS. 570,922.00 (APPROXIMATELY \$132,788.84). THIS ASSESSMENT WAS APPEALED AND HAS NOT YET BEEN RESOLVED BY THE TAX COURT. ALL OTHER TAXES PAID.

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ON SEPTEMBER 18, 1974, INSPECTOR [REDACTED] ADVISED THAT TAX RETURNS FOR DISTRIBUIDORA DE PRODUCTOS LACTEOS, C.A. (DIPROLAC), AS MAINTAINED IN BARQUISIMETO, VENEZUELA, SHOW A TAX ASSESSMENT OF BS. 76,837.89 (APPROXIMATELY \$17,869.28) IN 1971, AND A TAX ASSESSMENT OF BS. 146,725.00 (APPROXIMATELY \$34,122.10) IN 1972. NO TAX LIENS EXIST, AND IT IS NOT UNUSUAL THAT THIS ASSESSMENT IS NOT PAID.

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END

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt
1 - Mr. Bowers
1 - Mr. Cleveland
1 - Mr. Coleman
1 - Mr. Young
1 - Mr. Auerswald
1 - Mr. Henahan

September 20, 1974

BY LIAISON

General Alexander M. Haig, Jr.
The White House
Washington, D. C.

Dear General Haig:

Reference is made to my letters dated September 3, 1974, and September 10, 1974, which furnished you the partial results of investigation concerning Nelson Aldrich Rockefeller, former Governor of New York and Vice President Designate. Enclosed herewith are the results of additional investigation concerning Governor Rockefeller, the results of which are also being furnished to the Attorney General and the Deputy Attorney General.

Summarizing generally, Governor Rockefeller has furnished a Statement of Net Worth indicating a net worth of \$62.6 million, not including trusts with estimated assets of \$141.2 million. He claims no ownership equity in these trusts; however, in 1973 they provided him with approximately \$4.4 million in income, which represented 66.6% of his income. His total assets are \$64.2 million, 52.3% of which is in art, 20% in securities, 17.5% in real estate, and the remainder in miscellaneous items such as boats, cars, furnishings, etc.

Approximately 42.7% of the securities owned directly are in agricultural based businesses in Venezuela. Governor Rockefeller does not directly own the controlling interest in any domestic public corporation. He owns substantial, although not controlling, major interest in other firms in Venezuela as well as in private family-type domestic firms primarily utilized to serve his family.

14 DEC 31 1974

With regard to the aforementioned trusts there are a total of six, of which four are for his wife. The two main trusts received 44.7% and 21.4% of their income respectively from fuel securities owned in 1973.

See cover memorandum dated 9/20/74 Re:
Nelson Aldrich Rockefeller, Special Inquiry,
DHY:OJA:JEH:dc

-11-

Assoc. Dir. _____
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Dep. AD Inv. _____
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Director Sec'y _____

MAIL ROOM ☐

TELETYPE UNIT ☐

Return to Mr. AUERSWALD, Room 1258.

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b7C

General Alexander M. Haig, Jr.

The inquiry into Governor Rockefeller's finances developed no major inconsistencies. It appears that his financial affairs are being handled in a very conservative manner and inquiry supports his claim that he personally has little to do with the management of his finances. Review of his Federal tax returns for the past ten years disclosed high total income of \$5.6 million in 1967 with an average yearly gross income of \$4.7 million. Federal income tax paid during the same period averaged \$1.1 million per year, ranging from a high of \$1.9 million in 1965 to a low of zero in 1970. He has made gifts in the amount of \$25.5 million during the past ten years as shown on his tax returns.

A review of campaign finance material furnished disclosed that the major source of campaign contributions was his family as evidenced in the 1970 Gubernatorial campaign when two committees received contributions of \$6.5 million of which \$4.9 million came from his family. No problems were located as a result of interviews and record reviews conducted concerning campaign financing.

In furnishing the Statement of Net Worth on September 19, 1974, Governor Rockefeller's representatives advised that they plan to furnish additional financial information, described as explanatory notes and trust information, to the FBI and to the Senate Committee on Rules and Administration on September 21, 1974. They advised that this information will not add anything to that which they have previously furnished to the FBI.

With regard to the background investigation of Governor Rockefeller, inquiry indicates that on September 13, 1974, a class action was filed against Governor Rockefeller and other New York State officials claiming violation of Federal constitutional rights and seeking damages in the amount of \$100 million. The plaintiffs in this action were incarcerated in the Attica Prison during the uprising there in September, 1971. That action is presently pending.

Investigation further indicates that during the 1970 Gubernatorial election campaign a book entitled, "Arthur Goldberg, the Old and the New," was published which concerned

General Alexander M. Haig, Jr.

Ambassador Arthur J. Goldberg who was Governor Rockefeller's opponent in that campaign. Ambassador Goldberg advised that the book contained libelous and unfounded statements concerning him and disappeared from publication immediately after the election. Ambassador Goldberg stated he does not feel that Governor Rockefeller had anything to do with the publication of the book but said he has always wondered who was behind its publication. Investigation has developed that the book was financed by Governor Rockefeller's brother, Laurance S. Rockefeller.

The Internal Revenue Service has advised that income tax returns were filed by Governor Rockefeller for the years 1971, 1972, and 1973, and there is no record of unpaid taxes, liens, criminal tax investigations, or civil penalties for fraud or negligence.

One [redacted] who is presently confined in the California State Penitentiary in Chino, California, where he is serving a term for armed robbery, advised that one Sascha Brastoff, a businessman in Beverly Hills, California, told [redacted] that he, Brastoff, had received [redacted] from [redacted] and that he, Brastoff, had attended a party on Fire Island, New York, at which Happy Rockefeller was in attendance. He said Brastoff implied he and [redacted] with Happy Rockefeller at that party. It should be noted that [redacted] has been described as a pathological liar, a "wheeler-dealer," and a person who cannot be trusted. Sascha Brastoff was interviewed and advised that he has never received a grant from the Rockefeller Foundation. He said he met Governor Rockefeller on one occasion but has never met Happy Rockefeller. He said he has never attended a party which was attended by Happy Rockefeller. He stated he was acquainted with Winthrop Rockefeller, Governor Rockefeller's deceased brother, and with Winthrop Rockefeller's wife. He stated he was a friend of Winthrop Rockefeller's for many years. Due to the unreliability of the source of this information which was not substantiated, the information has not been included in the enclosed results of investigation.

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General Alexander M. Haig, Jr.

The foregoing should not be considered a complete summary of the results of investigation of Governor Rockefeller to date. It supplements the information in my letters of September 3, 1974, and September 10, 1974, and is set forth to give you a general idea of the results of our investigation. Investigation is continuing, the results of which will be furnished to you as quickly as possible.

Sincerely yours,

Clarence M. Kelley
Director

Enclosures (2)

for
The Attorney General

Director, FBI

O
NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt
1 - Mr. Bowers
1 - Mr. Cleveland
1 - Mr. Coleman
1 - Mr. Young
1 - Mr. Auerswald
1 - Mr. Henahan

September 20, 1974

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/CSB

Reference is made to my memoranda dated September 3, 1974, and September 10, 1974, which furnished you the partial results of investigation concerning Nelson Aldrich Rockefeller, former Governor of New York and Vice President Designate. Enclosed are three copies of the results of additional investigation concerning Governor Rockefeller, the results of which are also being furnished to General Alexander M. Haig, Jr., at The White House. One copy of the results is being furnished to the Deputy Attorney General.

Summarizing generally, Governor Rockefeller has furnished a Statement of Net Worth indicating a net worth of \$62.6 million, not including trusts with estimated assets of \$141.2 million. He claims no ownership equity in these trusts; however, in 1973 they provided him with approximately \$4.4 million in income, which represented 66.6% of his income. His total assets are \$64.2 million, 52.3% of which is in art, 20% in securities, 17.5% in real estate, and the remainder in miscellaneous items such as boats, cars, furnishings, etc. *100-6197-394*

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With regard to the aforementioned trusts there are a total of six, of which four are for his wife. The two main trusts received 44.7% and 21.4% of their income respectively from fuel securities owned in 1973.

DHY:OJA:JEH:dc *YHJ*

See cover memorandum dated 9-20-74 re Nelson Aldrich Rockefeller, Special Inquiry, DHY:OJA:JEH:dc

Return to Mr. AUERSWALD, Room 1258. *WVC* *05K*

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Legal Coun. _____
Plan. & Eval. _____
Spec. Inv. _____
Training _____
Telephone Rm. _____
Director Sec'y _____

MAIL ROOM ☐ TELETYPE UNIT ☐

The Attorney General

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The Attorney General

Ambassador Arthur J. Goldberg who was Governor Rockefeller's opponent in that campaign. Ambassador Goldberg advised that the book contained libelous and unfounded statements concerning him and disappeared from publication immediately after the election. Ambassador Goldberg stated he does not feel that Governor Rockefeller had anything to do with the publication of the book but said he has always wondered who was behind its publication. Investigation has developed that the book was financed by Governor Rockefeller's brother, Laurance S. Rockefeller.

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b7c

The Attorney General

The foregoing should not be considered a complete summary of the results of investigation of Governor Rockefeller to date. It supplements the information in my memoranda of September 3, 1974, and September 10, 1974, and is set forth to give you a general idea of the results of our investigation. Investigation is continuing, the results of which will be furnished to you as quickly as possible.

Enclosures (6)

1 - The Deputy Attorney General - Enclosures (2)

*Delivered to Executive Thomas
Office of Deputy AG
1st pr 9/25/74
JTB*

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland

DATE: 9-20-74

FROM : O. E. Coleman

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 9803 RJS

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
Asst. Dir.:
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Comp. Syst. _____
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Telephone Rm. _____
Director Sec'y _____

Associate Deputy Attorney General James Wilderotter contacted the Bureau this morning, 9-20-74, concerning a letter addressed to the Attorney General by Chairman Howard W. Cannon of the Senate Committee on Rules and Administration dated 9-16-74. In this letter, a copy of which is attached, Senator Cannon requested that the FBI be authorized to share with the committee or its representative its "findings, reports, working papers, etc." concerning campaign finances of Governor Rockefeller. He requested specifically that this material be made available for study to Mr. [REDACTED], a General Accounting Office representative in New York City.

Mr. Wilderotter also advised that Senator Robert P. Griffin (Republican-Michigan), desired to review some of this material and he, Mr. Wilderotter, would like to discuss it with a Bureau representative in his office at 2 p.m., 9-20-74.

SAs Joseph E. Henahan and O. E. Coleman met with Mr. Wilderotter and Mr. [REDACTED], Executive Assistant to the Deputy Attorney General, in Mr. Wilderotter's office at his request. After discussing this matter Mr. Wilderotter made the decision that that section of SA Henahan's report dated 9-20-74 at New York, New York, dealing exclusively with campaign finances should be made available for review by Mr. [REDACTED] along with the Special Agent Accountants' work papers. He said that Mr. [REDACTED] desired to conduct this review at 11 a.m., Sunday, 9-22-74, at Bureau Headquarters. Mr. Wilderotter asked that SA Henahan notify Mr. [REDACTED] in New York that authorization had been given for his review of this material. SA Henahan is so notifying Mr. [REDACTED] and will be available to go over this material with [REDACTED] on Sunday.

Enc.
ENCLOSURE

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt (Attn: Mr. Long)

1 - Mr. Bowers
1 - Mr. Cleveland
1 - Mr. Coleman

NOT RECORDED

DEC 31 1974

CONTINUED - OVER

OEC:dc

JAN 17 1975

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

With regard to Senator Griffin's request to review the campaign financing material, Mr. Wilderotter advised that Senator Griffin had contacted Richard Burrell, Counsel at The White House, with the request that arrangement be perfected for one of his staff members to review this Bureau material. After noting the fact that Senator Griffin is not one of the four Senators on the Senate Rules Committee who is scheduled to review the Bureau's reports concerning Governor Rockefeller, it was Mr. Wilderotter's decision that he would contact Senator Griffin and authorize Senator Griffin himself to review pages 365 through 410 of SA Henahan's report dealing with campaign finances in the presence of an Agent and an attorney from the Department on condition that Senator Griffin work out the arrangements for such review with Senator Cannon. He noted that this would be in conformance with the ground rules previously established that requests of this nature would be furnished to the Department through the chairman of the committee. He would also inform Senator Griffin that copying of this portion of SA Henahan's report would be prohibited although he would be allowed to make notes. The above-mentioned pages which Senator Griffin would be allowed to review do not include the results of personal interviews with Rockefeller staff officials who furnished information concerning Governor Rockefeller's campaign activities over the years. Those interviews are set forth on pages 347-364. After discussing this matter further with Senator Griffin, Mr. Wilderotter will recontact the Bureau.

ACTION:

None. For information.

KI

WVC

Jma

mpc
Jm

HOWARD W. CANNON, NEV., CHAIRMAN
CLAIBORNE PELL, R.I.
ROBERT C. BYRD, W. VA.
JAMES D. ALLEN, ALA.
HARRISON A. WILLIAMS, N.J.
MARLOW W. COOK, N.Y.
HUGH SCOTT, PA.
ROBERT P. GRIFFIN, MICH.
MARK O. HATFIELD, OREG.

WILLIAM MCWHORTER COCHRANE, STAFF DIRECTOR
HUGH Q. ALEXANDER, CHIEF COUNSEL
JOSEPH E. O'LEARY, PROFESSIONAL STAFF
MEMBER (MINORITY)

United States Senate

COMMITTEE ON
RULES AND ADMINISTRATION
WASHINGTON, D.C. 20510

SEP 13 8 41 AM '74

DEPT. OF JUSTICE
MAIL ROOM
ORON

September 16, 1974

The Honorable William B. Saxbe
The Attorney General
Department of Justice
Washington, D. C.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/RSR

Dear Mr. Attorney General:

The Committee on Rules and Administration is investigating to determine the qualifications of Nelson A. Rockefeller to be Vice President.

Campaign contributions and expenditures received or made by or on behalf of Mr. Rockefeller during the course of his campaigns for nomination for or election to various political offices are being examined by both the Committee and the Federal Bureau of Investigation.

Some of those files, working papers, and other related materials are in the possession or under the custody of FBI representatives and are not therefore available for study by our General Accounting Office representative, Mr. [REDACTED], in New York.

Since public hearings will begin Monday, September 23, 1974, it would be helpful to the Committee if the FBI were authorized to share its findings, reports, working papers, etc., with the Committee or its representative prior to the first day of hearings.

Thanking you for your kind cooperation, and with best wishes,
I am

RECEIVED

SEP 19 1974

O.L.A.

Sincerely,

OFFICE OF LEGISLATIVE AFFAIRS

Howard W. Cannon
HOWARD W. CANNON
Chairman

SEP 18 1974
R.A.O.
OFFICE OF LEGISLATIVE AFFAIRS

HWC:Dco

161-6197-395
ENCLOSURE

FED. BU. OF INV.

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *WVC*

FROM : O. E. Coleman *OC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-20-74

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Director Sec'y _____

The FBI report, Part VI, setting forth the review of the nominee's finances sets forth his net worth as being \$62.6 million, not including trusts with estimated assets of \$141.2 million. It is noted that the nominee has released to the press today that his trusts are valued at \$120 million. *you'd say August 1974*

The FBI figure was based on information from the Chase Manhattan Bank that as of 6-28-74 the assets of trust number one were valued at an estimated \$126.7 million which was described by individuals at the bank as the best information available. You will note the exhibits on page 7 for the assets of trust number one carries the date 6-28-74. It has been determined from Robert R. Douglass, aide to the nominee, that the trust number one assets as of yesterday had fallen to a value of \$106 million. The \$20 million drop in market value of stocks in trust number one accounts for the difference between the FBI figure of an estimated \$141.2 million and the nominee's press release today \$120 million. *J*

RECOMMENDATION:

For information.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Gebhardt (Attention: Mr. Long)
- 1 - Mr. Cleveland
- 1 - Mr. Coleman

1 - Mr. Bowers

JH JEH:dmc (6)
dmc

161-6197-396
WVC
NOT RECORDED
14 DEC 31 1974
JH

58 JAN 14 1975

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *W.C.*

FROM : O. E. Coleman *OEC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-20-74

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Dep. AD Inv. _____
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Telephone Rm. _____
Director Sec'y _____

For record purposes it is noted that on the afternoon of 9-20-74, [redacted], Executive Assistant to the Deputy Attorney General, advised you of a request received from Senator Howard W. Cannon for information concerning the number of Agents who participated in the Rockefeller investigation, the number of field offices involved, and the number of interviews conducted.

After checking, Mr. [redacted] was advised by you that over 300 Agents participated in this investigation in 37 field offices and that over 1400 interviews had been conducted.

ACTION:

None. For information.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSR

- K*
- 1 - Mr. Callahan
 - 1 - Mr. McDermott
 - 1 - Mr. Gebhardt (Attn: Mr. Long)
 - 1 - Mr. Bowers
 - 1 - Mr. Cleveland
 - 1 - Mr. Coleman

OEC:dc *dc*
-7-

wvc
npc
jmd
161-6197-397
NOT RECORDED
14 DEC 31 1974
J

58 JAN 14 1975

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *wvc*

FROM : O. E. Coleman *off*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

DATE: 9-20-74

Assoc. Dir. _____
Dep. AD Adm. _____
Dep. AD Inv. _____
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Telephone Rm. _____
Director Sec'y _____

This is to advise that the review of the nominee's finances conducted at the New York Office has been completed. SA [] returned to Houston at 5:45 p.m. 9-19-74. SA [] and SA Joseph E. Henehan returned to FBIHQ at 9:00 p.m. 9-19-74. SA [] returned to the White Plains Resident Agency at 8:00 p.m. 9-19-74.

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SA [] Minneapolis Office, is working in New York today finishing up pending matters and will stay in New York over the weekend as his presence has been requested by a Departmental attorney in Washington, D. C., on Monday morning, 9-23-74, in connection with a civil case he handled.

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All individuals except SA [] have returned to their normal assignments.

b6
b7C

RECOMMENDATION:

For information.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/16SR

- 1 - Mr. Callahan
- 1 - Mr. McDermott
- 1 - Mr. Gebhardt (Attention: Mr. Long)
- 1 - Mr. Cleveland
- 1 - Mr. Coleman
- 1 - Mr. Henehan
- 1 - Movement Unit

JEH:dmc (7)

NOT RECORDED

14 DEC 31 1974

58 JAN 14 1975

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Cleveland *W.C.*

DATE: 9-20-74

FROM : O. E. Coleman *OEC*

SUBJECT: NELSON ALDRICH ROCKEFELLER
VICE PRESIDENT - DESIGNATE
SPECIAL INQUIRY

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 12-19-91 BY 9803 RDE/1652

Assoc. Dir. _____
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Dep. AD Inv. _____
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Reference is made to my memoranda of 9-3-74 and 9-10-74 which outlined the results of the investigation conducted concerning Rockefeller up to 9-10-74 and recommended transmittal of the results to The White House, the Attorney General, and the Deputy Attorney General, which was done.

The major portion of the investigation into Rockefeller's finances has now been completed. Also, except for a very few isolated leads which have just arisen, the background investigation of Rockefeller has been completed.

Rockefeller furnished revised Statement of Net Worth indicating net worth of \$62.6 million, not including trusts with estimated assets of \$141.2 million. He claims no ownership equity in these trusts; however, in 1973 they provided him with approximately \$4.4 million in income, which represented 66.6% of his income. Rockefeller's total assets are \$64.2 million, 52.3% of which is in art, 20% in securities, 17.5% in real estate, and the remainder in miscellaneous items such as boats, cars, furnishings, etc.

Approximately 42.7% of the securities owned directly are in agricultural based businesses in Venezuela. Rockefeller does not directly own controlling interest in any domestic public corporation. He owns substantial, although not controlling, major interests in other firms in Venezuela as well as in private family-type domestic firms primarily utilized to serve his family.

With regard to the aforementioned trusts there are a total of 6, 4 of which are for his wife. The 2 main trusts received 44.7% and 21.4% of their income respectively from fuel securities owned in 1973.

Encs. *Sent 9-20-74*

NOT RECORDED

14 DEC 31 1974

1 - Mr. Callahan
1 - Mr. McDermott
1 - Mr. Gebhardt

1 - Mr. Bowers
1 - Mr. Cleveland
1 - Mr. Coleman

1 - Mr. Young
1 - Mr. Auerswald
1 - Mr. Henahan

DHY:OJA:JEH:dc

CONTINUED - OVER

8 JAN 10 1975

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

The inquiry into Rockefeller's finances developed no startling inconsistencies. It appears that his financial affairs are being handled in a very conservative manner and inquiry supports his claim that he personally has little to do with the management of his finances.

Review of his Federal tax returns for the past ten years disclosed high total income of \$5.6 million in 1967 with an average yearly gross income of \$4.7 million. Federal income tax paid during same period averaged \$1.1 million per year, ranging from a high of \$1.9 million in 1965 to a low of zero in 1970. He has made \$25.5 million in gifts during the past 10 years as shown on tax returns.

A review of campaign finance material furnished disclosed that the major source of campaign contributions was his family as evidenced in the 1970 Gubernatorial campaign when two committees had contributions of \$6.5 million of which \$4.9 million came from his family. No problems were located as a result of interviews and record reviews conducted concerning campaign financing.

The cover letter for the Statement of Net Worth received 9-19-74 indicates Rockefeller plans to furnish additional financial information which is described as explanatory notes and trust information. We have been assured by Robert Douglass, Rockefeller's attorney, that the FBI has all this information already and the information is not as yet in final form but they hope to have it ready to submit to the Senate Committee this Saturday in support of the Statement of Net Worth. We will be furnished copies of this material when it is available.

With regard to the background investigation of Rockefeller, investigation indicates that on 9-13-74 a class action suit was filed against Rockefeller and other New York State officials claiming violation of Federal constitutional rights and seeking damages in the amount of \$100 million. The plaintiffs in this action were prisoners who were incarcerated in the Attica Prison during the uprising there in September, 1971. That action is presently pending.

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

Investigation further indicates that during the 1970 New York Gubernatorial election campaign a book was written about Arthur J. Goldberg who was Rockefeller's opponent. Goldberg has stated this book contains libelous and unfounded statements concerning him and disappeared from publication immediately after the election. Goldberg stated he does not feel that Rockefeller had anything to do with the publication of the book but stated he has always wondered who was behind its publication. Extensive investigation was conducted into this matter and it developed that the book was financed by Laurance Rockefeller through a series of intermediaries.

Internal Revenue Service has advised that income tax returns were filed by Rockefeller for the years 1971, 1972, and 1973, and there is no record of unpaid taxes, liens, criminal tax investigations, or civil penalties for fraud or negligence.

The remaining investigation in this matter is being very closely followed to insure that it is completed without undue delay.

Information Being Included In Transmittal Letters Only

One [redacted] who is presently confined to the California State Penitentiary in Chino, California, where he is serving a term for armed robbery, advised that one Sascha Brastoff, a businessman in Beverly Hills, California, told [redacted] that he, Brastoff, had [redacted]

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[redacted] and that he, Brastoff, had attended a party on Fire Island, New York, at which Happy Rockefeller was in attendance. He said Brastoff implied he [redacted] with Happy Rockefeller at that party. It should be noted that [redacted] has been described as a pathological liar, a "wheeler-dealer," and a person who cannot be trusted. We interviewed Brastoff who denied everything. He stated he has never received a grant from the Rockefeller Foundation. He said he met Nelson Rockefeller on one occasion but has never met Happy Rockefeller. He said he has never attended a party which was attended by Happy Rockefeller. He said he was acquainted with Winthrop Rockefeller, Nelson Rockefeller's deceased brother, and was a friend of Winthrop's for many years.

Memorandum to Mr. Cleveland
Re: Nelson Aldrich Rockefeller

ACTION:

Attached are letters to General Haig at The White House and to the Attorney General (with copy to the Deputy Attorney General) transmitting the results of the additional investigation thus far. Three copies of the results are being furnished to the Attorney General for use in the review by the appropriate Congressional Committees.

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA JOHN W. MILLER
Date: 9/20/74

Office: ALBANY

Field Office File #: 161-965

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-19-91 BY 9803 RDD/KSL
C.N. 293,981

Synopsis: ABE LAVINE, Commissioner, New York Department of Social Services, advised Governor ROCKEFELLER did not intervene in selection of computer firm. He stated rejection letter to Electronic Data Systems Corporation sent out in error. State Supreme Court Judge ROBERT L. FISCHER advised when he was Deputy Attorney General in charge of New York State Organized Crime Task Force he initiated investigation on information furnished by an informant into the affairs of ALBERT ELIA Corp. of Niagara Falls, New York, to determine if they carried members of the Mafia on their payroll and further to determine if they were obtaining State contracts through an association with the then Secretary of State of New York, JOHN LOMENZO. FISCHER states a report of this investigation was furnished to Governor ROCKEFELLER. Further, FISCHER stated that he examined LOMENZO under oath with his consent. He advised that the results of investigation could not be made public under Section 70 of the Executive Law. He advised any material that was developed was referred to the District Attorneys of Monroe and Albany counties. FISCHER advised that in his opinion, the report submitted to ROCKEFELLER did not warrant the Governor's accusing LOMENZO of being dishonest or removing him. FISCHER stated that he was formerly a county judge in New York and that when he was put in charge of the Task Force it was with the understanding he would resign when a Supreme Court Judgeship vacancy occurred. He stated it did occur and he resigned and was elected to the post in an open election.

- RUC -

AL 161-965

DETAILS:

The following investigation was conducted by Special Agent EDWARD P. HOGAN at Albany, New York, on September 20, 1974:

ABE LAVINE, Commissioner, New York Department of Social Services, Albany, New York, was advised that a magazine article critical of former Governor ROCKEFELLER would reportedly be published on September 23, 1974, in which reference is made to an allegation that ROCKEFELLER intervened on behalf of the Electronic Data Systems Corporation concerning a contract for the installation of a computer system in the New York State Welfare Department. LAVINE advised that his department reviewed the qualifications of various data processing firms. A total of 13 firms had furnished information regarding their type of work.

LAVINE stated that subsequently the number of firms under consideration was reduced by the board which was reviewing the various submissions of these firms to three and that he asked the Deputy Commissioner, ROBERT SKRIPAK, to submit additional information on three other firms and to send out rejection letters to the remaining firms. LAVINE stated that Deputy Commissioner SKRIPAK apparently misunderstood his directive and sent out rejection letters to ten firms.

LAVINE stated that on the following Saturday morning, he noticed that a letter of rejection had been sent to the Electronic Data Systems Corporation and that he then directed the Deputy Commissioner to send a letter to the Electronic Data Systems Corporation correcting this mistake. LAVINE advised that ROCKEFELLER did not intervene or exert any pressure in the selection process.

AL 161-965

The following investigation was conducted by Special Agent EDWARD P. HOGAN at Albany, New York, on September 20, 1974:

ROBERT SKRIPAK, Deputy Commissioner, New York Department of Social Services, advised that the State had asked 13 firms to submit information relative to their data processing systems in order that such a system could be instituted in the New York State Welfare Department.

SKRIPAK stated that 13 firms submitted qualifications regarding their data processing systems and that during a discussion by the procedure board, the number of firms under consideration was narrowed down to three. In addition to these three firms, he, SKRIPAK, was asked by Commissioner LAVINE to submit additional information on three other companies and to send rejection slips or letters to the remaining seven companies. He advised that he submitted to LAVINE information concerning these other three companies, one of which was the Electronic Data Systems Corporation. He stated that by the end of the week, he had heard nothing from LAVINE regarding these three companies, so he sent out rejection letters to ten of the 13 original firms, one of which was the Electronic Data Systems Corporation. On the following Monday, he received information from LAVINE that the rejection letter to the Electronic Data Systems Corporation was in error and that he should so notify that firm. He stated that this was the only reversal made by LAVINE.

He stated that eventually the selection was down to three, one of which was the Electronic Data Systems Corporation, and another as he recalls was the International Business Machines Corporation. He advised that each of these three companies received the same authorization for a study which consisted of one contract for income maintenance and another contract for medical assistance. He stated that each contract called for a payment of \$20,000.00 to the firm involved. He added that the International Business Machines Corporation did not charge the State for the two contracts it was awarded.

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The following investigation was conducted by Special Agent ROBERT W. KENNEDY on September 20, 1974:

New York State Supreme Court Judge ROBERT L. FISCHER, Binghamton, New York, was advised that in an article which will reportedly appear in the September 23, 1974, issue of the New York Magazine, the author alleges, among other things, that several years ago, former Secretary of State of New York State JOHN LOMENZO was making deals with Mafia-backed construction companies and that Governor ROCKEFELLER ordered Judge FISCHER, then Deputy Attorney General and Chief of the New York State Organized Crime Task Force, to conduct an investigation into this allegation. Further, the author alleges that no report of FISCHER'S investigation was ever made public, that LOMENZO was permitted to quietly resign, and that FISCHER received a Judgeship as a result.

In response to this, Judge FISCHER advised as follows:

As Deputy Attorney General in charge of the New York State Organized Crime Task Force, he initiated an investigation into the affairs of the Albert Elia Corporation of Niagara Falls, New York. This investigation, which was instituted through information furnished by an informant, was conducted to determine whether the Albert Elia Corporation carried members of the Mafia on its payroll. There was a further allegation that this firm was obtaining state contracts through an association with New York State Secretary of State JOHN LOMENZO.

Since Mr. LOMENZO was a cabinet officer in the Administration of Governor ROCKEFELLER, Judge FISCHER advised Governor ROCKEFELLER and the Attorney General of the New York State of the investigation. Judge FISCHER commented that one interesting facet was that neither Governor ROCKEFELLER nor anyone in his Administration asked that the investigation be "pulled backed or slowed down" or that anything be overlooked. The Governor merely asked to be kept advised and he was.

After the investigation had been underway for some time, Mr. WOODY FISCHETTE, a Gannett Newspaper representative in Albany, New York, interviewed Secretary of State LOMENZO and publicity resulted. When this publicity occurred, the Governor asked the New York State Organized Crime Task Force

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for a written report. Mr. LOMENZO continued on as Secretary of State and Judge FISCHER examined him under oath with his consent. This examination was on record over a course of several days. The Governor obtained a report from the New York State Organized Crime Task Force regarding this investigation.

The New York State Organized Crime Task Force operated under Section 70, Sub-Division A of Executive Law which has a secrecy provision similar to the secrecy proceedings of a Grand Jury. Therefore, the results of that investigation could not be publicly disclosed. Any material that was developed, Judge FISCHER referred to the District Attorneys of Monroe and Albany Counties with the knowledge of Governor ROCKEFELLER. The New York State Organized Crime Task Force does not have prosecutorial options. Judge FISCHER stated, in his opinion, the report submitted by him to Governor ROCKEFELLER did not warrant Governor ROCKEFELLER'S accusing Mr. LOMENZO of being dishonest and did not warrant LOMENZO'S removal from office.

Judge FISCHER stated he resigned a County Judgeship in Broome County, New York, to become Deputy Attorney General in charge of the New York State Organized Crime Task Force with the understanding that when a Supreme Court Judgeship vacancy occurred in Broome County, he would resign as Deputy Attorney General and return to Broome County to run for that position. When numerous Supreme Court Judgeship vacancies were created throughout New York State last year, Judge FISCHER did resign as Deputy Attorney General and ran for election as Supreme Court Judge in Broome County. He was elected to the post in a regular open election. Judge FISCHER said he was not appointed to his Judgeship by Governor ROCKEFELLER. He stated that shortly thereafter LOMENZO resigned and left public office.